

# Tableaux and Resource Graphs for Separation Logic

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**Abstract** Separation Logic (SL) is often presented as an assertion language for reasoning about mutable data structures. As recent results about verification in SL have mainly been achieved from a model-checking point of view, our aim in this paper is to study Separation Logic from a complementary proof-theoretic perspective in order to provide results about proof-search in SL. We begin our study with a fragment of SL, denoted SLP, where first order quantifiers, variables and equality are removed. We first define specific structures, called *resource graphs*, that capture SLP models by considering heaps as resources via a labelling process. We then provide a tableau calculus that allows us to build such resource graphs from which either proofs, or countermodels can be generated. We finally prove soundness, completeness and termination of our tableau calculus before discussing extensions to various fragments of SL (including full SL) and the related decidability issues.

## 1 Introduction

Separation Logic (SL) and its variants are logics for reasoning about mutable data structures in which the pre- and postconditions are written using specific forms of conjunction or implication. In this context, Reynolds initially proposed an intuitionistic logic extended with a separation connective  $*$  [24] and Ishtiaq and O’Hearn then investigated the same approach from the point of view of the logic of Bunched Implications (BI) [22], which allows a joint and modular treatment of intuitionistic connectives (additive implication  $\rightarrow$  and conjunction  $\wedge$ ) and linear connectives (multiplicative implication  $\multimap$  and conjunction  $*$ ). The resource interpretation of BI’s connectives, where  $*$  decomposes the current resource into pieces and  $\multimap$  talks about new and fresh resource, is central.

Separation Logic, also denoted BI’s pointer logic, provides an actual way of understanding the separating conjunction  $*$  and implication  $\multimap$  in the context of program verification apart from logical concerns [17]. From a semantic point of view, the models of SL are very specific models of Boolean BI (BBI) that validate the axioms for Hoare triples and in which the additive connectives are classical. Since Separation Logic is mainly used as an assertion language for specifying properties about programs that manipulate dynamically-allocated linked data structures, it is essential to provide methods and tools for verifying or proving SL specifications. As the existing works on automated assertion checking in SL are mainly based on a model-checking approach [2,25], we aim at developing an alternative approach based on theorem-proving in order to provide methods and tools for proof-search and countermodel generation in SL. Knowing that many other spatial logics [12,11,8], for which the model-checking approach is the only approach currently available, can also be

seen as extensions or specializations of **SL**, we expect to develop similar theorem-proving methods and tools for these logics too. Moreover, with our results on **SL** as a starting point, studying the combination of both approaches could be fruitful in order to improve the verification of properties expressed in various spatial logics.

The central contribution of this paper is a new characterization of validity in **SL** based on a labelled tableau calculus that builds specific structures, called resource graphs, from which validity can be analyzed and countermodels can be generated. A few preliminary and incomplete results about this tableau calculus have already been presented in [15] but in the present paper we give the complete presentation, with refined concepts, more proofs and new results about countermodel generation, termination and decidability issues.

One challenge of this work is to investigate whether the general methodology applied for the standard version of **BI** [16], which admits intuitionistic additive connectives, can also be applied to **SL**. The results presented in this paper show that using a general methodology based on the design of an appropriate labelling algebra allows us to capture, in a non-trivial way, the essential properties of **SL** models inside specific structures called resource graphs from which validity, entailment and countermodel construction in **SL** can be analyzed.

Starting from a propositional fragment of **SL** called **SLP** which contains no variables and no equality predicates, we define the central notion of resource graphs and provide a tableau calculus that builds such resource graphs in parallel of the tableau construction process. This calculus represents a first theorem-proving alternative to the existing model-checking approaches w.r.t. the verification problem in **SL**. The main properties of the calculus, namely soundness, completeness and termination are then proved with an emphasis on how to generate countermodels from resource graphs when proof-search fails. Having in mind that full **SL** is not decidable, we study various extensions of **SLP** including first order quantifiers, variables and equality predicates and finally discuss the known decidability results and issues [9] using alternative proof-theoretic arguments.

In Section 2 we recall the main characteristics of Separation Logic and more particularly its language and semantics. With its local form of reasoning, **SL** is a logic for specifying and verifying properties of programs that manipulates dynamically-allocated linked data structures in a much simpler way than previous formalisms [5,3,13]. Knowing that existing works on automated assertion checking in **SL** are mainly based on a model-checking approach [2,25], our aim is to devise verification methods based on a theorem-proving approach. We view our work on **SL** as a first important step toward new proof-search methods for related spatial logics based on ambient or tree models [12,11,8] for which only model-checking techniques have been developed so far.

In Section 3 we focus on heaps, labels and resource graphs. Although a similar approach has already been successfully applied to **BI**, one challenge of this work is to study if the same general methodology also applies to **SL**. Two main obstacles arise:

- the first one is that the additive connectives of **SL** are classical, so that most of the crucial arguments relying on the intuitionistic nature of **BI** can no longer be applied;
- the second one lies in the fact that heap composition in **SL** interacts with the points-to predicate  $\mapsto$  and admits subtle properties that require non-trivial adaptations to the initial notion of **BI**'s resource graphs in order to capture entailment in **SL** appropriately.

For mixed resource logics such as **BI** or **SL**, the main principle of the methodology consists in reflecting the semantic relation of entailment at a syntactic level via a labelling process that results in an algebra of labels and label constraints obtained by means of a particular closure operator. The closure of a set of labels and label constraints then leads to the notion of resource graphs from which the validity of **SL** formulas can be analyzed. Thus, our first contribution is the definition of an appropriate notion of resource graphs for **SL** that captures the properties of heap composition w.r.t. points-to predicates.

In Section 4 we present the main contribution which is a labelled tableau calculus for **SLP** that builds resource graphs in parallel of the tableau construction process. This calculus represents a first theorem-proving alternative to the existing model-checking approaches, the choice of a tableau representation being justified by its known ability to ease counter-model construction. After an overview of the various kinds of expansion rules and their impact on resource graph construction, we introduce a specific notion of measure in order to determine how many cells are assumed to be actually present in the heap denoted by a given node in a resource graph. A key point here is that provability in **SLP** is characterized with a clear distinction between two distinct notions: structural and logical consistency. The former means that a resource graph actually represents a model and the latter means that a formula can be falsified in some model. We are quite confident that validity in some other resource logics that are closely related to **SL** can also be characterized through an appropriate notion of resource graphs using a similar approach. Therefore, we view our work on **SLP** as a first step toward providing proof-theoretic foundations and tableau-based calculi to a wide range of separation logics that deal with semi-structured data.

In Section 5 we prove the main properties of the tableau calculus. We first prove soundness by introducing the size of a heap, which is the number of locations it contains. Then we proceed with the completeness proof, which relies on the effective construction of a countermodel from an open tableau branch. To complete these results we develop the main arguments that justify the termination of the tableau method for **SLP** from which we can deduce the well-known decidability and complexity results about the propositional fragment of **SL** [9] using alternative proof-theoretic arguments.

In Section 6 we study a few extensions of **SLP** including first order quantifiers, variables and the equality predicate. In order to deal with quantifiers, we use a standard technique that eliminates variables by instantiating parameters depending on the sign of a labelled formula [14]. Equality is handled via (plain syntactic) term-unification and requires modifying the condition for a closed tableau branch. Having in mind that **SL** is not decidable, we finally discuss decidability issues in a particular fragment of **SL** where memory cells are restricted to single values instead of pairs of values.

In Section 7 we summarize the results and develop some perspectives. We aim at applying the same proof-theoretic approach to the affine fragment of **SL** [17] which admits intuitionistic additives and allows one to write interesting properties about sharing. Moreover some spatial logics for trees or graphs [10] being strongly related to **SL** and Boolean **BI** we aim at studying these logics from our proof-theoretic perspective as an alternative and complementary basis to the model-checking approach. Moreover, comparisons with existing works on theorem proving and pointer programs [18,20,23] could lead to fruitful refinements of our results.

## 2 Separation Logic and Verification

Separation Logic (SL) is a logic for reasoning about mutable data structures and can be viewed as a specific extension of Hoare Logic in which pre- and post-conditions are written in the logic of Bunched Implications (BI) [22,24]<sup>1</sup>. BI allows one to write statements that mix the (additive) connectives of intuitionistic logic ( $\wedge$ ,  $\vee$ ,  $\rightarrow$ ) with the (multiplicative) connectives of intuitionistic linear logic ( $*$ ,  $-*$ ). Accordingly, SL includes

- a spatial form of (separating) conjunction  $*$  that splits a heap into distinct subheaps;
- a spatial form of (separating) implication  $-*$  that describes fresh pieces of heaps;
- and a form of assertion that allows one to make statements about the content of a heap cell via the points-to predicate  $\mapsto$ .

Various problems about pointer management, including aliasing, are difficult to address with standard approaches and the correctness of a program that mutates data structures defined via pointers (such as linked-lists) usually depends on making restrictions on the sharing inside such data structures. In order to deal with such problems, Separation Logic admits simple axioms that capture the intuitive operational locality of assignment [21]. Separation logics usually comes in two flavours depending on the nature of the additive connectives  $\wedge$  and  $\rightarrow$  [24]:

- the first one uses intuitionistic additives and includes a monotonicity property which ensures that an assertion which holds for a portion of a storage still holds in any extension of this portion;
- the second one does not have the previous monotonicity property as it uses classical additives, but allows reasoning about explicit storage deallocation.

The underlying idea of separation used in SL can be generalized in order to describe the separation of other kinds of resources (*e.g.*, trees, graphs or processes [8,10,12]).

### 2.1 Separation Logic: Syntax and Semantics

In this section we summarize the main notions and results about SL. Let us begin with

- a countable set  $Loc$  of locations  $l, k, m, l_1, k_1, m_1, \dots$
- a countable set  $Cst$  of constants  $a, b, c, a_1, b_1, c_1, \dots$
- a countable set  $Var$  of variables  $x, y, z, x_1, y_1, z_1, \dots$  and
- a countable set  $Val = Cst \cup Loc$  of values (constants or locations).

We then define a *stack*  $s$  as a finite partial function  $Var \rightarrow_{fin} Val$  that associates values to variables and a *heap*  $h$  as a finite partial function  $Loc \rightarrow_{fin} Val \times Val$  that associates pairs of values to locations. We respectively write  $\mathcal{H}$  and  $\mathcal{S}$  to denote the set of all heaps and the set of all stacks.

An expression  $E$  can either be a value or a variable or that can be interpreted as a value w.r.t. a stack  $s$  ( $\llbracket E \rrbracket_s \in Val$ ). Variables that are bound by a stack are called *stack variables*.

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<sup>1</sup> Separation Logic was indeed initially called BI's Pointer Logic [17].

**Definition 2.1.** The language of **SL** consists of the points-to predicate  $\mapsto$ , the equality predicate  $=$ , the existential quantifier  $\exists$ , the propositional connectives of **BI** and the sets  $Var$  and  $Val$  of variables and values.

**SL** formulas are then inductively defined by the following grammar:

- $At ::= (E \mapsto E_1, E_2) \mid E_1 = E_2$  where  $E, E_1$  and  $E_2$  are expressions,
- $\phi ::= At \mid I \mid \phi * \phi \mid \phi \neg * \phi \mid \top \mid \perp \mid \phi \wedge \phi \mid \phi \rightarrow \phi \mid \phi \vee \phi \mid \exists x. \phi$ .

Moreover, negation  $\neg \phi$  is defined as a shorthand for  $\phi \rightarrow \perp$ . Since additive connectives in **SL** are classical, one could also take negation as primitive and define implication  $\phi \rightarrow \psi$  as a shorthand for  $(\neg \phi) \vee \psi$ .

Given a stack  $s$ , the points-to predicate  $(x \mapsto a, b)$  allows us to represent the state of the memory: there exists a location  $l$  in a heap  $h$  such that  $\llbracket x \rrbracket_s = l$  and  $h(l) = (a, b)$ .

The use of pairs of values follows the original presentations of Separation Logic [21,24]. Recent works show that restricting to single values does not improve the decidability status of **SL** [7], which remains undecidable, but surely harms expressive power: for example, one can still describe a linked-list structure

$$(x_1 \mapsto x_2) * (x_2 \mapsto x_3) * \dots * (x_{n-1} \mapsto x_n),$$

but can no longer state properties about the values contained in the nodes of the list as in

$$((x_1 \mapsto a_1, x_2) * (x_2 \mapsto a_2, x_3) * \dots * (x_{n-1} \mapsto a_n, x_n)) \wedge (a_1 = a_n).$$

Since the actual use of **SL** mainly consists in writing formulas that describe specific properties of memory states and verifying that these properties hold in some model of the logic, we need to define precisely what a model of **SL** looks like.

We write  $e$  to denote the empty heap (the domain of which is empty) and we say that two heaps  $h_1$  and  $h_2$  are disjoint iff the domains of  $h_1$  and  $h_2$  are disjoint, more formally,

$$h_1 \# h_2 \text{ iff } \text{dom}(h_1) \cap \text{dom}(h_2) = \emptyset.$$

We then define heap composition  $(\star)$  as the function  $\mathcal{H} \times \mathcal{H} \rightarrow \mathcal{H}$  which maps two disjoint heaps  $h_1$  and  $h_2$  to the heap  $h_1 \star h_2$  given by the union of the two functions  $h_1$  and  $h_2$ . A key point here is that composition of non-disjoint heaps is undefined so that heap composition is only a partial function.

In order to interpret **SL** formulas we define a forcing relation  $(s, h) \models \phi$  which asserts that the formula  $\phi$  is true in a stack  $s \in \mathcal{S}$  and a heap  $h \in \mathcal{H}$ . It is required that the free variables of  $\phi$  should be included in the domain of  $s$ .

**Definition 2.2.** For all stacks  $s \in \mathcal{S}$  and heaps  $h \in \mathcal{H}$ , the semantics of **SL** formulas is inductively defined as follows:

- $(s, h) \models \top$  always;
- $(s, h) \models \perp$  never;
- $(s, h) \models E_1 = E_2$  iff  $\llbracket E_1 \rrbracket_s = \llbracket E_2 \rrbracket_s$ ;
- $(s, h) \models (E \mapsto E_1, E_2)$  iff  $\text{dom}(h) = \{ \llbracket E \rrbracket_s \}$  and  $h(\llbracket E \rrbracket_s) = (\llbracket E_1 \rrbracket_s, \llbracket E_2 \rrbracket_s)$ ;
- $(s, h) \models \phi \wedge \psi$  iff  $(s, h) \models \phi$  and  $(s, h) \models \psi$ ;

- $(s, h) \models \phi \vee \psi$  iff  $(s, h) \models \phi$  or  $(s, h) \models \psi$ ;
- $(s, h) \models \phi \rightarrow \psi$  iff  $(s, h) \models \phi$  implies  $(s, h) \models \psi$ ;
- $(s, h) \models \mathbf{I}$  iff  $h$  is the empty heap  $e$ ;
- $(s, h) \models \phi * \psi$  iff  $\exists h_1, h_2 \in \mathcal{H}. h_1 \# h_2, h_1 \star h_2 = h, (s, h_1) \models \phi$  and  $(s, h_2) \models \psi$ ;
- $(s, h) \models \phi \multimap \psi$  iff  $\forall h_1 \in \mathcal{H}. \text{ if } h_1 \# h \text{ and } (s, h_1) \models \phi \text{ then } (s, h_1 \star h) \models \psi$ ;
- $(s, h) \models \exists x. \phi$  iff  $\exists v \in \text{Val}. ([s \mid x \mapsto v], h) \models \phi$ .

Let us remark that the classical nature of the additive connectives in **SL** is captured via a pointwise interpretation of the additive implication  $\rightarrow$ . An intuitionistic version of  $\phi \rightarrow \psi$  would require that  $(s, h') \models \phi$  should imply  $(s, h') \models \psi$  for all heaps  $h'$  extending  $h$  (heaps  $h'$  such that  $h \subseteq h'$ ). Note also that  $(s, h) \models (x \mapsto a, b)$  does not imply  $(s, h') \models (x \mapsto a, b)$  for any heap  $h'$  that strictly extends  $h$  since the condition for  $(s, h) \models (E \mapsto E_1, E_2)$  requires that  $h$  should contain exactly one cell.

Given two formulas  $\psi$  and  $\phi$ , entailment in **SL** is written  $\psi \models \phi$  and defined as follows:

$\psi$  entails  $\phi$  iff  $(s, h) \models \psi$  implies  $(s, h) \models \phi$  for all stacks  $s$  and heaps  $h$ .

Validity in **SL** for a formula  $\phi$ , written  $\models \phi$ , is then derived from entailment:

$\phi$  is valid iff  $(s, h) \models \phi$  for all stacks  $s$  and heaps  $h$ .

In **SL** models, the worlds are heaps (collections of cons cells in storage) and the separating conjunction  $\phi * \psi$  is true just when the current heap can be split into two subheaps, one making  $\phi$  true, the other one making  $\psi$  true. The separating implication  $\phi \multimap \psi$  generates new heaps such that whenever we get a fresh heap  $h_1$  that makes  $\phi$  true, combining it with the current heap  $h$  results in a new heap  $h \star h_1$  that makes  $\psi$  true. All other connectives are interpreted pointwise.

For instance, the formula  $(x \mapsto a, b) * ((x \mapsto c, b) \multimap P)$  says that, in the current heap,  $x$  denotes a location that points to a cell containing the pair  $(a, b)$  and that if we update  $a$  to  $c$  then  $P$  will be true. Indeed, the semantics of  $*$  splits a heap into two parts, one where  $(x \mapsto a, b)$  holds and the other one where the location  $x$  is dangling. The semantics of  $\multimap$  and  $\mapsto$  then ensures that  $P$  must be true when the second heap is extended by binding  $x$ 's location to the cell  $(c, b)$ . The update therefore consists in first deleting the cell  $(a, b)$  from the current heap in order to bind the location denoted by  $x$  with the new cell  $(c, b)$ .

## 2.2 Separation Logic and Proofs

Main concepts about the use of **SL** as an assertion language are given in [17,24]. As an interesting result, we can mention an operation that disposes of memory by creating dangling pointers through the command  $\text{dispose}(E)$ , which deallocates a location by removing it from the heap. From a semantic point of view, the dispose operation is defined by the following axiom (where  $a, b$  are not free in  $E$ ):

$$\frac{\{P * \exists a \exists b. (E \mapsto a, b)\}}{\text{dispose}(E)} \{P\}$$

Reasoning backwards from  $\top$  we can find cases under which a program is safe to execute. With a double dispose we obtain  $\perp$  for the precondition as expected, indicating that the program is not safe to execute for any start state:

$$\begin{array}{c}
\{\perp\} \\
\{\top * \exists a \exists b. (x \mapsto a, b) * \exists c \exists d. (x \mapsto c, d)\} \\
\text{dispose}(x) \\
\{\top * \exists a \exists b. (x \mapsto a, b)\} \\
\text{dispose}(x) \\
\{\top\}
\end{array}$$

Separation Logic is a logic for specifying properties of dynamically-allocated linked data structures in a much simpler way than previous formalisms. Various works illustrate this point by showing that local reasoning allows a specification to focus only on the resources that are relevant to its soundness without bothering about the global context [3,5,13,24]. Therefore, an important challenge consists in providing methods and tools for verifying or proving such interesting specifications. Given that existing works on checking assertion written in Separation Logic automatically are mainly based on a model-checking approach [2,25], one of the main purpose of this paper is to study an alternative approach based on theorem-proving in order to provide methods and tools for proof-search and countermodel generation in Separation Logic. As a main result, we design a labelled tableau method which allows countermodel construction in case of non-provability. Further work will be devoted to comparisons between the model-checking approach and the theorem-proving approach and will lead to a deeper study of how both approaches can be combined in order to improve automated deduction.

Our starting point to develop proof-theoretic foundations for **SL** is our previous works on characterizing provability in the intuitionistic variant of **BI** through so-called resource graphs [16]. Two main obstacles arise:

- the first one is that **SL** is not really an extension of **BI** but is in fact an extension of Boolean **BI** (**BBI**) in which the additives are classical and thus it is not possible to extend our initial work to **SL** directly;
- the second one is that the points-to predicate forces us to design resource graphs that take into account the specific properties of **SL** models.

Nevertheless, the general methodology that consists in reflecting the main properties of the models using labels, label constraints and resource graphs can be successfully adapted to Separation Logic. This can be seen as an important contribution as we expect to develop new theorem-proving methods for other spatial logics such as the Ambient Logic (a logic for mobile processes [12] which is also an extension of **BBI**), the labelled tree model [11] or even Context Logic [8] for which only model-checking techniques exist.

We begin our proof-theoretic investigation of **SL** with a fragment, denoted **SLP**, where first order quantifiers, equality and stack variables are discarded. In other words, **SLP** is the propositional fragment of **SL** where atomic formulas are points-to predicates ( $l \mapsto u, v$ ), where  $l$  is a location and  $u, v$  are values so that we can forget stack variables in the clauses of Definition 2.2. We shall conclude the paper with a discussion of how to extend the results obtained for **SLP** to more expressive fragments, including full **SL**.

### 3 Heaps, Labels and Resource Graphs

Purely syntactic proof methods (in sequent or natural deduction style) usually deal with a great amount of operational overhead (structural rules, permutabilities of inferences) which is mainly irrelevant w.r.t. the provability of a formula. On the other hand, purely semantic methods often abstract away too much of the operational aspects to be significantly helpful for countermodel construction. In the case of **SL**, we have a complete semantics based on partial monoids of heaps so that syntactic and semantic entailments (provability and validity) coincide <sup>2</sup>. Therefore, the main properties of **SL** models as well as entailment in **SL** can be reflected at a syntactic level using labels, label constraints and a specific closure operator in order to define a resource driven proof method for **SL**.

#### 3.1 Labels and Constraints

Our labelling language consists of a countable set  $\mathcal{C}$  of constant symbols  $c_1, c_2, \dots, c_i, \dots$

**Definition 3.1 (label).** *Let  $\mathcal{I} = \{i_1, \dots, i_n\} (\subseteq \mathbb{N}^*)$  be a finite set of indexes, a label is a (possibly empty) finite string  $c_{i_1} \dots c_{i_n} \in \mathcal{C}^*$  which satisfies the following condition :*

*for all indexes  $i_p, i_q \in \mathcal{I}$ , if  $p < q$  then  $i_p < i_q$  ( $LL$ ).*

*A label  $x$  is a sublabel of a label  $y$ , written  $x \subseteq y$ , iff all the constant symbols occurring in  $x$  also occur in  $y$ , more formally, iff  $(\forall c_k \in \mathcal{C})(c_k \in x \Rightarrow c_k \in y)$ .*

*A label  $x$  is a strict sublabel of  $y$ , written  $x \subsetneq y$ , iff  $x \subseteq y$  and  $x \neq y$ .*

For example, the empty string  $\epsilon$  is a label, the strings  $c_1$ ,  $c_2$ ,  $c_1c_2$  and  $c_2c_4c_5$  are labels. The strings  $c_2c_1$  and  $c_2c_2$  are not labels because they do not satisfy the previous ( $LL$ ) condition (label linearity) which requires that the indexes of the constant symbols should be sorted in a strict ascending order. Let us remark that ( $LL$ ) implies that the same constant symbol cannot occur more than once in a label.

Following Definition 3.1,  $\epsilon, c_2, c_4, c_5, c_2c_4, c_2c_5, c_4c_5$  and  $c_2c_4c_5$  are all sublabels of  $c_2c_4c_5$ ,  $c_2c_4c_5$  being the only one of them which is not also strict. Let us remark that being a sublabel and being a substring are two distinct notions as illustrated in the previous example where  $c_2c_5$  is a sublabel but not a substring of  $c_2c_4c_5$ .

**Definition 3.2.** *Let  $\mathcal{I} = \{i_1, \dots, i_n\} (\subseteq \mathbb{N}^*)$  be a finite set of indexes and  $x$  be the label  $c_{i_1} \dots c_{i_n}$ , we define the length of the label  $x$ , written  $|x|$ , as the number of constant symbols occurring in  $x$ . Moreover, for all  $k \in \mathbb{N}$  such that  $1 \leq k \leq |x|$ ,  $x_k$  denotes the constant symbol which is at index  $k$  in  $x$ , i.e.,  $x_k = c_{i_k}$ .*

Intuitively, constant symbols are intended to be a syntactic reflection of heaps. We now introduce the notion of label composition, which is intended to be a syntactic reflection of heap composition.

**Definition 3.3 (label composition).** *Let  $\mathcal{L}$  be the smallest subset of  $\mathcal{C}^*$  containing all the labels, the composition  $x \circ y$  of two labels  $x$  and  $y$  is defined as the shortest label  $z$  containing the string  $xy$  as a sublabel.*

<sup>2</sup> This is not the case for Boolean BI, for which there currently exists no complete monoid-based semantics.



Since the composition  $x \circ y$  of two labels  $x$  and  $y$  is required to be a label, the linearity condition (*LL*) of Definition 3.1 entails that  $x \circ y$  is defined iff  $x$  and  $y$  do not share any constant symbol, thus making  $\circ : \mathcal{L} \times \mathcal{L} \rightarrow \mathcal{L}$  a partial function.

It is routine to check that  $\circ$  is associative, commutative and admits the empty string  $\epsilon$  as its unit. Therefore, the structure  $\mathcal{L}_A = (\mathcal{L}, \circ, \epsilon)$ , called the *labelling algebra*, is in fact a partial commutative monoid of labels. For example, the following equalities hold:

$$c_1 \circ (((c_2 \circ \epsilon) \circ \epsilon) \circ (c_3 \circ c_4)) = (c_2 \circ c_1) \circ (\epsilon \circ (c_4 \circ c_3)) = c_1 c_2 c_3 c_4.$$

Given that label composition  $\circ$  is associative and commutative, we almost always omit parentheses. More often than not, we simplify our notation even further by omitting the composition symbol  $\circ$  as well. This slight abuse of notation allows us to write labels as unordered sequences of symbols so that we can for example speak of “the label  $c_2 c_1 \epsilon$ ” keeping in mind that what we actually mean is “the label  $c_1 c_2$  resulting from the evaluation of the composition  $c_2 \circ c_1 \circ \epsilon$ ”.

Let us now introduce some useful operations on labels.

**Definition 3.4.** *Let  $x$  and  $y$  be labels,*

- $x \cap y$  *is the longest label that is a sublabel of both  $x$  and  $y$ ;*
- $x \setminus y$  *is the longest sublabel of  $x$  that does not share any constant symbol with  $y$ .*

In other words,  $x \cap y$  is the label which contains all the constant symbols that are shared by  $x$  and  $y$  while  $x \setminus y$  is the label obtained by discarding from  $x$  all the constant symbols that also occur in  $y$ . For example, we have

- $c_1 c_2 c_3 \setminus c_1 c_3 = c_1 c_2 c_3 \setminus c_1 c_3 c_4 = c_2$  and  $c_1 c_2 c_3 \setminus c_1 c_2 c_3 = c_1 c_2 c_3 \setminus c_1 c_2 c_3 c_4 = \epsilon$ ;
- $c_1 c_2 c_3 \cap c_1 c_3 = c_1 c_2 c_3 \cap c_1 c_3 c_4 = c_1 c_3$ ,  $c_1 c_2 c_3 \cap c_1 c_2 c_3 = c_1 c_2 c_3$  and  $c_1 c_2 c_3 \cap c_4 = \epsilon$ .

It is routine to check that  $x \cap y = \epsilon$  iff  $x$  and  $y$  are disjoint and that  $(x \setminus y) \circ (x \cap y) = x$  for all labels  $x$  and  $y$ .

**Definition 3.5 (label constraint).** *A label constraint is either an expression of the form  $\mathbb{T}x$ , where  $x$  is a label and  $\mathbb{T}$  is a letter from the alphabet  $\mathbb{T} = \{Z, U\}$ , or a binary expression of the form  $x \diamond y$  where  $x$  and  $y$  are labels.*

*We write  $\mathcal{K}$  to denote the set of all label constraints generated by  $\mathcal{L}$ .*

Intuitively, the purpose of a label constraint  $x \diamond y$  is to capture the fact that the labels  $x$  and  $y$  denote the same heap. Therefore, the symbol  $\diamond$  is meant to be a syntactic reflection of equality between heaps. Accordingly, a label constraint of the form  $(y \circ z) \diamond x$  means that if  $x, y, z$  are interpreted as heaps, then the heap denoted by  $x$  is the same as (or equal to) the one obtained by combining the heaps denoted by  $y$  and  $z$ .

On the other hand, label constraint of the form  $Zx$  (respectively  $Ux$ ) means that  $x$  should be interpreted as a heap containing no cell (respectively exactly one cell). For simplicity, we shall often write “constraint” instead of “label constraint”.

In order to capture the various resource interactions that occur in **SL** models we define an appropriate closure operator  $(\cdot)^\dagger$  on sets of labels and label constraints.

**Definition 3.6** ( $(\cdot)^\dagger$ -closure). Let  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of labels and label constraints,  $X^\dagger$  is defined as the couple  $(X_\mathcal{L}, X_\mathcal{K})$ , where the sets  $X_\mathcal{L}$  and  $X_\mathcal{K}$  are defined by mutual induction according to the following rules:

- Base case:
  - $X_\mathcal{L} = (X \cap \mathcal{L}) \cup \{\epsilon\}$ ;
  - $X_\mathcal{K} = (X \cap \mathcal{K}) \cup \{Z\epsilon\}$ .
- Mutual induction:
  - $x \in X_\mathcal{L}$  and  $y \subsetneq x \Rightarrow y \in X_\mathcal{L}$  (*Saturation*);
  - $x \in X_\mathcal{L} \Rightarrow x \diamond x \in X_\mathcal{K}$  ( $\diamond$ -Reflexivity);
  - $x \diamond y \in X_\mathcal{K} \Rightarrow x, y \in X_\mathcal{L}$  ( $\diamond$ -Completion);
  - $x \diamond y \in X_\mathcal{K} \Rightarrow y \diamond x \in X_\mathcal{K}$  ( $\diamond$ -Symmetry);
  - $x \diamond y \in X_\mathcal{K}$  and  $y \diamond z \in X_\mathcal{K} \Rightarrow x \diamond z \in X_\mathcal{K}$  ( $\diamond$ -Transitivity);
  - $x \diamond y \in X_\mathcal{K}$  and  $y \circ z \in X_\mathcal{L} \Rightarrow (x \circ z) \diamond (y \circ z) \in X_\mathcal{K}$  ( $\diamond$ -Propagation);
  - $Tx \in X_\mathcal{K} \Rightarrow x \in X_\mathcal{L}$  (*T-Completion*,  $T \in \mathbb{T}$ );
  - $Zx \in X_\mathcal{K}$  and  $y \subsetneq x \Rightarrow Zy \in X_\mathcal{K}$  (*Z-Decomposition*);
  - $Ux \in X_\mathcal{K}$  and  $Uy \in X_\mathcal{K}$  and  $y \subsetneq x \Rightarrow Z(x \setminus y) \in X_\mathcal{K}$  (*U-Decomposition*);
  - $Tx \in X_\mathcal{K}$  and  $x \diamond y \in X_\mathcal{K} \Rightarrow Ty \in X_\mathcal{K}$ . (*T-Propagation*,  $T \in \mathbb{T}$ ).

The set  $X_\mathcal{L}$  is called the domain of  $X$  and the set  $X_\mathcal{K}$  is called the structure of  $X$ .

The set  $X_\mathcal{K}^\diamond$  (respectively the set  $X_\mathcal{K}^T$ ,  $T \in \mathbb{T}$ ) is the restriction of  $X_\mathcal{K}$  to the label constraints of the form  $x \diamond y$  (respectively of the form  $Tx$ ,  $T \in \mathbb{T}$ ).

The (*Saturation*) property ensures that  $X_\mathcal{L}$  is closed under sublabels while ( $\diamond$ -Completion) ensures that any label occurring in a label constraint of  $X_\mathcal{K}$  also explicitly occurs as a label in  $X_\mathcal{L}$ . ( $\diamond$ -Reflexivity), ( $\diamond$ -Transitivity), ( $\diamond$ -Symmetry) and ( $\diamond$ -Propagation) entail that  $\diamond$  is a congruence on  $(X_\mathcal{L}, \circ)$  just as equality between heaps is a congruence on  $(\mathcal{H}, \star)$ .

(*Z-Decomposition*) reflects the fact that all subheaps of an empty heap are also empty. Now let  $h_x$  and  $h_y$  be the heaps denoted by  $x$  and  $y$  respectively, then, (*U-Decomposition*) reflects the fact that if  $h_y$  is a subheap of  $h_x$  and both  $h_x$  and  $h_y$  have exactly one location then all subheaps of  $h_x$  that are disjoint from  $h_y$  must be empty.

Finally, (*T-Propagation*) describes how the properties of being an empty heap or a heap with exactly one location are propagated through heap composition. For example, if  $T = Z$ ,  $x = c_1$  and  $y = c_2c_3$ , then, (*T-Propagation*) means that if  $c_1$  denotes an empty heap which may be obtained by composition of the two heaps denoted by  $c_2$  and  $c_3$  then  $c_2c_3$  also denotes an empty heap, i.e., if  $Zc_1 \in X_\mathcal{K}$  and  $c_2c_3 \diamond c_1 \in X_\mathcal{K}$  then  $Zc_2c_3 \in X_\mathcal{K}$ .

### 3.2 Resource Graphs

In this subsection, we explain how the  $(\cdot)^\dagger$ -closure of a set of labels and label constraints gives rise to a structure called a *resource graph*. This concept has already been introduced for BL [16] but needs specific adaptations for SL.

**Definition 3.7.** Let  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints.

1. We define  $\eta$  as the function  $X_\mathcal{L} \rightarrow \wp(\mathbb{T}) \times X_\mathcal{L}$  which maps each label  $x$  in the domain  $X_\mathcal{L}$  of  $X$  to the couple  $(\Gamma, x)$  where  $\Gamma (\subseteq \mathbb{T})$  is defined as follows:

$$\forall T \in \mathbb{T}. T \in \Gamma \text{ iff } Tx \in X_K.$$

We write  $\Gamma x$  to denote the couple  $(\Gamma, x)$  and we call  $\Gamma$  the tag of the label  $x$ .

2. We define  $\nu$  as the function  $X_K^\diamond \rightarrow \wp(\wp(\mathbb{T}) \times X_L)$  which maps each label constraint  $x \diamond y$  in the structure  $X_K$  of  $X$  to the (unordered) pair  $\{\eta(x), \eta(y)\}$ .

In the rest of the paper we shall use the letters  $\Gamma, \Delta, \Theta$  to range over tags. Using the functions  $\eta$  and  $\nu$  we can proceed with the definition of a resource graph.

**Definition 3.8 (resource graph).** The resource graph  $\mathcal{G}(X)[N, E]$  associated to a set  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  of labels and label constraints is the undirected graph such that:

- the set of nodes  $N$  is the set  $\eta(X_L) = \{\eta(x) \mid x \in X_L\}$ ;
- the set of edges  $E$  is the set  $\nu(X_K^\diamond) = \{\nu(k) \mid k \in X_K^\diamond\}$ .

Let us remark that  $\eta$  is a one-to-one correspondence between nodes and labels. Strictly speaking,  $\nu$  is not a one-to-one correspondence between edges and  $\diamond$ -constraints because a resource graph is an undirected graph ( $\nu(x \diamond y) = \nu(y \diamond x) = \{\eta(x), \eta(y)\}$ ). However,  $\nu$  can be thought of as a one-to-one correspondence between edges  $\{\eta(x), \eta(y)\}$  and pairs  $\{x \diamond y, y \diamond x\}$  of symmetric  $\diamond$ -constraints.

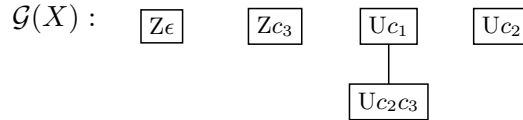
For a first example of resource graph, let us consider the set

$$X = \{Z\epsilon, c_2c_3 \diamond c_1, Uc_2, Uc_2c_3\}.$$

In order to obtain the resource graph  $\mathcal{G}(X)$  associated to  $X$  we need to compute the closure  $X^\dagger$  according to Definition 3.6. Therefore, we first add the label constraint  $Zc_3$  to satisfy (U-*Decomposition*) since we have  $Uc_2, Uc_2c_3$  and  $c_3 \subsetneq c_2c_3$ . Then, because of  $c_2c_3 \diamond c_1$  and  $Uc_2c_3$ , we add  $Uc_1$  to satisfy (U-*Propagation*). Applying (T-*Completion*) finally leads to the closure

$$X^\dagger = (X_L, X_K) = (\{\epsilon, c_1, c_2, c_3, c_2c_3\}, \{Z\epsilon, c_2c_3 \diamond c_1, Uc_2, Uc_2c_3, Zc_3, Uc_1\}).$$

Therefore, the resource graph  $\mathcal{G}(X)$  looks as follows <sup>3</sup>:



For a second example, let us consider the set

$$Y = \{Z\epsilon, c_2c_3 \diamond c_1, Uc_2, Uc_3, Zc_1\}.$$

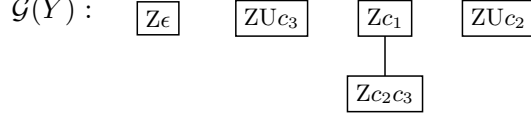
The application of (Z-*Propagation*) and (Z-*Decomposition*) to the label constraints  $c_2c_3 \diamond c_1$  and  $Zc_1$ , followed by the application of (T-*Completion*) leads to

$$Y^\dagger = (Y_L, Y_K) = (\{\epsilon, c_1, c_2, c_3, c_2c_3\}, \{Z\epsilon, c_2c_3 \diamond c_1, Uc_2, Uc_3, Zc_1, Zc_2c_3, Zc_2, Zc_3\}).$$

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<sup>3</sup> For readability, we do not explicitly represent reflexive and transitive edges.

Therefore, the resource graph  $\mathcal{G}(Y)$  looks as follows <sup>4</sup>:



### 3.3 Labels and Constraints vs Resource Graphs

The one-to-one correspondence induced by the functions  $\eta$  and  $\nu$  given in Definition 3.7 allows us to translate any concept defined in terms of  $(\cdot)^\dagger$ -closure directly in terms of resource graphs and vice versa.

In particular, given a set  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  of label and constraints, any function  $f : X_{\mathcal{L}} \rightarrow F$  defined on the domain  $X_{\mathcal{L}}$  of  $X$  induces a function  $g : N \rightarrow F$  defined on the nodes of  $\mathcal{G}(X)[N, E]$  by setting:

$$\forall \Gamma x \in N. g(\Gamma x) = f(x).$$

Conversely, any function  $g : N \rightarrow F$  defined on the nodes of  $\mathcal{G}(X)[N, E]$  gives rise to a function  $f : X_{\mathcal{L}} \rightarrow F$  on the domain  $X_{\mathcal{L}}$  of  $X$  by setting:

$$\forall x \in X_{\mathcal{L}}. f(x) = g(\eta(x)).$$

More generally, any n-ary relation  $R_{\mathcal{L}} (\subseteq X_{\mathcal{L}}^n)$  between the labels in the domain  $X_{\mathcal{L}}$  of  $X$  induces a n-ary relation  $R_N (\subseteq N^n)$  between the nodes  $N$  of  $\mathcal{G}(X)[N, E]$  by setting:

$$\forall \Gamma_1 x_1, \dots, \Gamma_n x_n \in N. R_N(\Gamma_1 x_1, \dots, \Gamma_n x_n) \text{ iff } R_{\mathcal{L}}(x_1, \dots, x_n).$$

The other way round, any n-ary relation  $R_N (\subseteq N^n)$  between the nodes  $N$  of  $\mathcal{G}(X)[N, E]$  induces a n-ary relation  $R_{\mathcal{L}} (\subseteq X_{\mathcal{L}}^n)$  between the labels in the domain  $X_{\mathcal{L}}$  of  $X$  by setting:

$$\forall x_1, \dots, x_n \in X_{\mathcal{L}}. R_{\mathcal{L}}(x_1, \dots, x_n) \text{ iff } R_N(\eta(x_1), \dots, \eta(x_n)).$$

**Definition 3.9.** Let  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints.

1. Given a label constraint  $k$ , we say that  $k$  holds in  $X$ , written  $X \vdash k$ , iff  $k \in X_{\mathcal{K}}$ .
2. Given a n-ary relation  $R_{\mathcal{L}} (\subseteq X_{\mathcal{L}}^n)$  between the labels of  $X_{\mathcal{L}}$ , we write

$$\forall x_1, \dots, x_n \in X_{\mathcal{L}}. X \vdash R_{\mathcal{L}}(x_1, \dots, x_n) \text{ iff } R_{\mathcal{L}}(x_1, \dots, x_n).$$

3. For all letters  $T \in \mathbb{T}$ , a label  $x$  such that  $X \vdash Tx$  is called a  $T$ -label, or a  $T$ -constant whenever  $x$  is a constant symbol.

Lifting the previous definitions to the resource graph  $\mathcal{G}(X)[N, E]$  associated to  $X$  we get:

1. Given a label constraint  $k$ ,  $\mathcal{G}(X) \vdash k$  iff  $X \vdash k$ .
2. Given a n-ary relation  $R_N (\subseteq N^n)$  between the nodes of  $\mathcal{G}(X)$ , we write

$$\forall \Gamma_1 x_1, \dots, \Gamma_n x_n \in N. \mathcal{G}(X) \vdash R_N(\Gamma_1 x_1, \dots, \Gamma_n x_n) \text{ iff } R_N(\Gamma_1 x_1, \dots, \Gamma_n x_n).$$

3. For all letters  $T \in \mathbb{T}$ , a node  $\Gamma x$  such that  $T \in \Gamma$  is called a  $T$ -node.

<sup>4</sup> We shall see later in the paper that  $\mathcal{G}(Y)$  cannot be realized in any model of SLP since it contains nodes, namely  $c_2$  and  $c_3$ , that have both the letter  $Z$  and the letter  $U$  in their tag and no SLP model can have a heap which contains zero and exactly one location at the same time.

## 4 A Tableau Calculus for SLP

In this section, we introduce a tableau-based calculus for SLP which uses labels and label constraints to build resource graphs. The choice of a tableau method is motivated by its well-known ability to provide countermodel extraction facilities [14], but our notions of labels, constraints and resource graphs can also be integrated into connection-based, or sequent-based calculi, in order to characterize provability in SLP.

### 4.1 Tableau Rules

In general, tableau methods are methods in which one tries to prove a formula  $\phi$  by showing that there cannot exist any model  $\mathcal{M}$  such that  $\mathcal{M} \not\models \phi$ . In classical logic, this amounts to showing that the formula  $\neg\phi$  has no model. Tableau methods are therefore based on a refutation principle as the proof-search process tries to build a model of  $\neg\phi$  and concludes the validity of  $\phi$  if it fails to do so.

In order to find a model of  $\neg\phi$ , the tableau construction process relies on the application of expansion rules (depending on the syntactic structure of  $\phi$ ) that should ideally allow the enumeration of all possible models of  $\neg\phi$ .

Since we deal with Separation Logic, which is a non-classical logic, our tableau method uses signs F or T instead of the negation symbol  $\neg$  to indicate whether a formula is to be proved or disproved. Such signs help avoiding confusion between negation in the object language (here, the formulas of SL) which may have various specific properties (like for instance  $\neg\neg\phi = \phi$  or  $(\neg\phi * \neg\psi) = \neg(\phi * \psi)$ ) and the meta-language used to describe the proof-search process.

**Definition 4.1 (labelled formula).** *A signed formula is a pair  $(S, \phi)$ , denoted  $S\phi$ , where  $S \in \{F, T\}$  is a sign and  $\phi \in \text{SLP}$  is a formula. A labelled formula is a triple  $(S, \phi, x)$ , denoted  $S\phi : x$ , such that  $S\phi$  is a signed formula and  $x \in \mathcal{L}$  is a label.*

Intuitively, a labelled formula  $S\phi : x$  means that whenever the label  $x$  is interpreted as a heap  $h_x$  in some stack  $s$  we have  $(s, h_x) \models \phi$  if  $S = T$  and  $(s, h_x) \not\models \phi$  if  $S = F$ .

Let us now recall that entailment in Separation Logic is defined as follows:

$$\psi \text{ entails } \phi \ (\psi \models \phi) \text{ iff for all stacks } s \text{ and heaps } h, (s, h) \models \psi \text{ implies } (s, h) \models \phi \ (E).$$

Validity is then defined so that

$$\phi \text{ is valid } (\models \phi) \text{ iff for all stacks } s \text{ and heaps } h, (s, h) \models \phi \ (V),$$

which is in turn equivalent to the following statement that clearly shows the link between entailment and validity:

$$\phi \text{ is valid } (\models \phi) \text{ iff } \top \text{ entails } \phi \ (\top \models \phi) \ (VE).$$

Since we do not deal with variables in the SLP fragment, we can forget the stacks and rewrite entailment and validity so that

$$\psi \text{ entails } \phi \ (\psi \models \phi) \text{ iff for all heaps } h, h \models \psi \text{ implies } h \models \phi \ (E');$$

$\phi$  is valid ( $\models \phi$ ) iff for all heaps  $h$ ,  $h \models \phi$  ( $V'$ ).

It is then clear from the definition of  $\multimap$  that in SLP

$$\psi \models \phi \text{ iff } e \models \psi \multimap \phi \text{ (EE),}$$

which, using the link ( $VE$ ) between validity and entailment, implies that

$$\models \phi \text{ iff } e \models \top \multimap \phi \text{ (VEE).}$$

The ( $EE$ ) condition means that in order to prove an entailment  $\psi \models \phi$ , we only need to show that the empty heap  $e$  satisfies the formula  $\psi \multimap \phi$ . Let us remark that the previous statement is not true if  $\multimap$  is replaced by  $\rightarrow$  because  $e \models \psi \rightarrow \phi$  does not imply  $h \models \psi \rightarrow \phi$  for all heaps  $h$  and all formulas  $\psi$  and  $\phi$ .

In terms of tableaux, where one tries to refute the existence of a model, what we need to show is that trying to disprove the formula  $\psi \multimap \phi$  w.r.t. the syntactic reflection  $\epsilon$  of the empty heap  $e$  eventually fails (leads to contradictions), which justifies the fact that a tableau proof should start with the labelled formula  $F\psi \multimap \phi : \epsilon$  as formalized in the following definition.

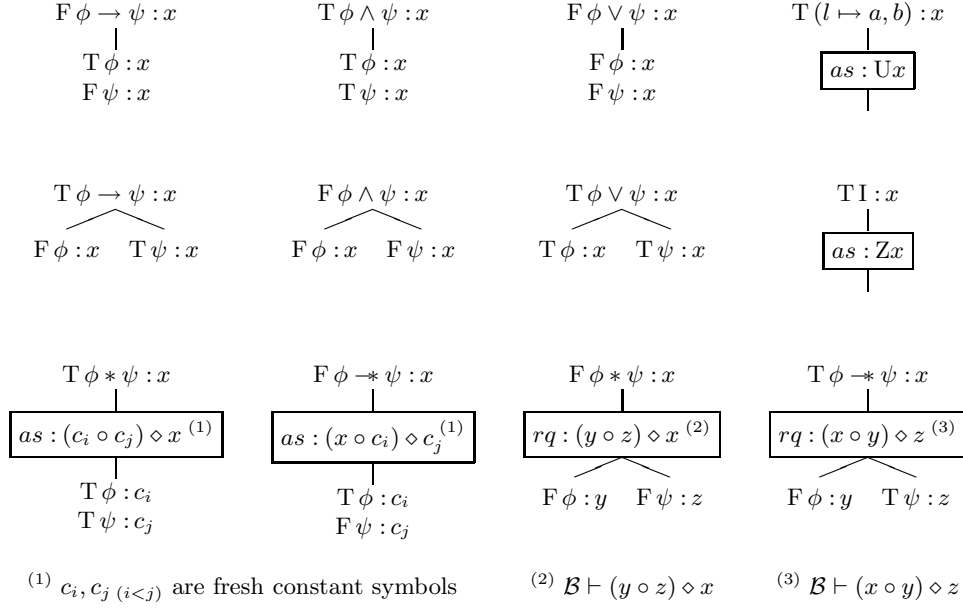
**Definition 4.2 (tableau).** *Let  $\phi$  be a formula in SLP.*

1. A tableau for  $\psi \models \phi$  is a binary tree  $\mathcal{T}$  built according to the rules given in Figure 1 and such that:
  - all nodes are labelled either with a labelled formula, or with a label constraint,
  - the root node is labelled with the labelled formula  $F\psi \multimap \phi : \epsilon$ .
2. A tableau tableau for  $\models \phi$  (more shortly, a tableau for  $\phi$ ) is a tableau for  $\top \models \phi$ .

We divide and present the tableau rules of Figure 1 into four groups.

The first group of rules consists of the *conservative* rules  $\{S \wedge, S \vee, S \rightarrow \mid S \in \{F, T\}\}$  associated to the additive connectives. Conservative rules standing on the first line of Figure 1 are given the type  $\alpha$ , while those standing on the second line are given the type  $\beta$ . This terminology is justified by the fact that if one forgets about the labels, the conservative rules simply amount to the standard  $\alpha$  and  $\beta$  tableau rules for classical logic. Let us note that applying a rule of type  $\alpha$  in a tableau branch results in a simple extension of that branch, while applying a rule of type  $\beta$  splits one tableau branch in two. Moreover, conservative rules expand tableau branches without modifying the labels (propagation from formulas to subformulas). For example the expansion of a labelled formula  $F\phi \wedge \psi : x$  in a tableau branch  $\mathcal{B}$  splits it in two branches  $\mathcal{B}_1$  and  $\mathcal{B}_2$ , the first one containing  $F\phi : x$  and the second one containing  $F\psi : x$ , which semantically means that one should either falsify  $\phi$ , or falsify  $\psi$  in order to falsify  $\phi \wedge \psi$ .

The second group of rules consists of the *assertive* rules  $T*$  and  $F\multimap$ , which are given the type  $\pi\alpha$ . Assertive rules introduce two new labels and a new label constraint, which is called an *assertion* because it is established as a fact. For example, the expansion of a labelled formula  $T\phi * \psi : x$  leads to the introduction of the assertion  $as : (c_i \circ c_j) \diamond x$  which means that whenever the labels  $x$ ,  $c_i$  and  $c_j$  are respectively interpreted as heaps  $h_x$ ,  $h_{c_i}$



**Figure1.** TSLP Tableau Rules.

and  $h_{c_j}$ , it must be the case that  $h_x = h_{c_i} \star h_{c_j}$ , *i.e.*, it must be the case that the heap denoted by  $x$  can be obtained by the composition of the two heaps denoted by  $c_i$  and  $c_j$ . Let us note that this necessarily implies that the heaps  $h_{c_i}$  and  $h_{c_j}$  denoted by  $c_i$  and  $c_j$  should be disjoint. Moreover, the proviso requiring  $i < j$  ensures that  $c_i \circ c_j = c_i c_j$ .

**Definition 4.3 (resource graph  $\mathcal{G}(\mathcal{B})$ ).** *Given a tableau branch  $\mathcal{B}$ ,*

- *the set of all the assertions occurring in  $\mathcal{B}$  is denoted  $\mathcal{B}^A$ ;*
- *the notations  $\mathcal{B}_{\mathcal{L}}$  and  $\mathcal{B}_{\mathcal{K}}$  are shorthands for the sets  $\mathcal{B}_{\mathcal{L}}^A$  and  $\mathcal{B}_{\mathcal{K}}^A$  respectively;*
- *for all label constraints  $k$ ,  $k$  holds in  $\mathcal{B}$  (written  $\mathcal{B} \vdash k$ ) iff  $k \in \mathcal{B}_{\mathcal{K}}$ ;*
- *for all  $n$ -ary relation  $R_{\mathcal{L}} (\subseteq \mathcal{B}_{\mathcal{L}}^n)$  between the labels of  $\mathcal{B}_{\mathcal{L}}$ , we write*

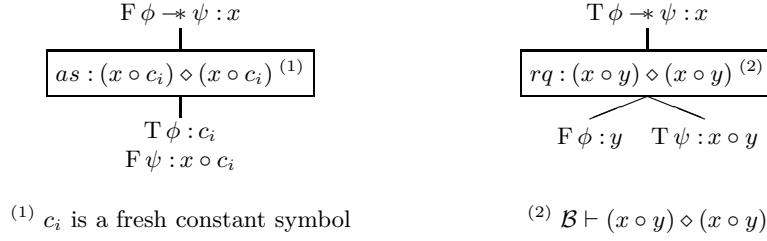
$$\forall x_1, \dots, x_n \in \mathcal{B}_{\mathcal{L}}. \mathcal{B} \vdash R(x_1, \dots, x_n) \text{ iff } R_{\mathcal{L}}(x_1, \dots, x_n);$$

*The resource graph associated to  $\mathcal{B}$ , denoted  $\mathcal{G}(\mathcal{B})[N, E]$ , is the resource graph  $\mathcal{G}(\mathcal{B}^A)$  generated by the assertions occurring in  $\mathcal{B}$ . Lifting the previous definitions to  $\mathcal{G}(\mathcal{B})$  we get:*

- *for all label constraints  $k$ ,  $\mathcal{G}(\mathcal{B}) \vdash k$  iff  $\mathcal{B} \vdash k$ ;*
- *for all  $n$ -ary relation  $R_N (\subseteq N^n)$  between the nodes of  $\mathcal{G}(\mathcal{B})$ , we write*

$$\forall \Gamma_1 x_1, \dots, \Gamma_n x_n \in N. \mathcal{G}(\mathcal{B}) \vdash R_N(\Gamma_1 x_1, \dots, \Gamma_n x_n) \text{ iff } R_N(\Gamma_1 x_1, \dots, \Gamma_n x_n).$$

The assertions occurring in a tableau branch  $\mathcal{B}$  are used to distinguish a particular class of SLP models and such a class is captured by the resource graph  $\mathcal{G}(\mathcal{B})$ , which is a graphical representation of the closure  $(\mathcal{B}_{\mathcal{L}}, \mathcal{B}_{\mathcal{K}})$ . Therefore, a key point of our tableau system is that it builds a resource graph for each tableau branch in parallel of the tableau construction.



**Figure 2.** Alternative Tableau Rules  $F' \neg *$  and  $T' \neg *$ .

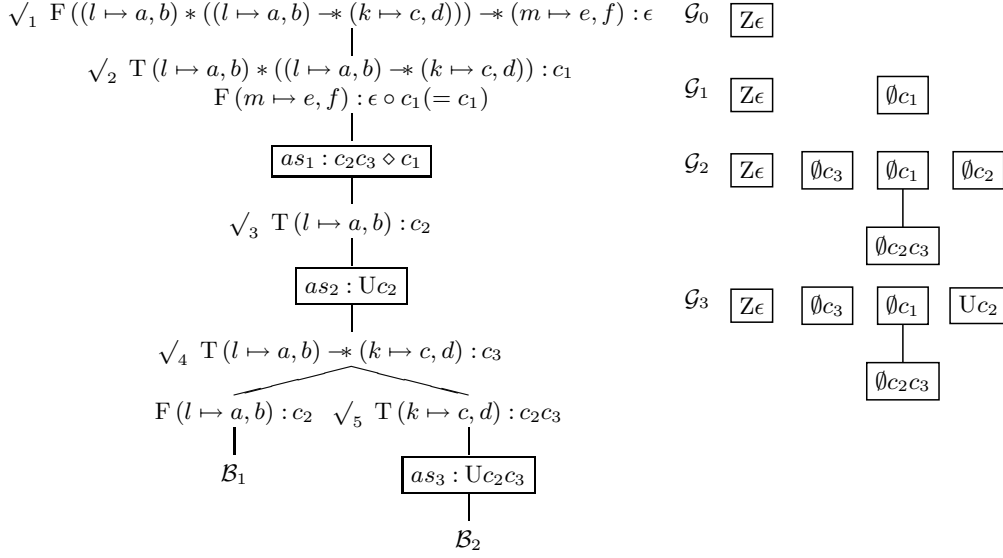
The third group of rules consists of the *generative* rules  $F \neg *$  and  $T \neg *$ , which are given the type  $\pi\beta$ . Generative rules do not introduce new labels in a tableau branch  $\mathcal{B}$  but rather reuse the ones that already exist in  $\mathcal{B}_{\mathcal{L}}$  (or equivalently, in the resource graph  $\mathcal{G}(\mathcal{B})$ ) by the time the expansion needs to be performed. Moreover, the label constraint introduced by a generative rule is called a *requirement* because it is intended to behave as a goal that should be achieved from the set of assertions (which behave as facts). For example, the expansion of a labelled formula  $F \phi \neg * \psi : x$  in a tableau branch  $\mathcal{B}$  requires us to find two labels  $y, z \in \mathcal{B}_{\mathcal{L}}$  such that  $\mathcal{B} \vdash (y \circ z) \diamond x$ , *i.e.*, such that  $(y \circ z) \diamond x \in \mathcal{B}_{\mathcal{K}}$ , which necessarily implies by ( $\diamond$ -Completion) and (*Saturation*) of Definition 3.6 that  $y \circ z \in \mathcal{B}_{\mathcal{L}}$ .

Unlike all other rules, generative rules can be expanded several times since there might be several labels  $y, z \in \mathcal{B}_{\mathcal{L}}$  such that  $\mathcal{B} \vdash (y \circ z) \diamond x$  and each suitable choice for  $y$  and  $z$  might be tried. From a semantic point of view, the proviso  $\mathcal{B} \vdash (y \circ z) \diamond x$  means that it should always be the case that, whenever a SLP model belongs to the class of models captured by the closure  $(\mathcal{B}_{\mathcal{L}}, \mathcal{B}_{\mathcal{K}})$  of the assertions occurring in  $\mathcal{B}$  (or equivalently, by the resource graph  $\mathcal{G}(\mathcal{B})$ ), this model should be such that the heap  $h_x$  denoted by  $x$  can be obtained by the composition of the two heaps  $h_y$  and  $h_z$  denoted by  $y$  and  $z$ , *i.e.*,  $h_x = h_y \star h_z$ .

The fourth and last group of rules consists of the *special* rules  $\{T I, T \mapsto\}$ , which deal with the multiplicative unit  $I$  and points-to predicates by introducing a new assertion without introducing new labels. For example, the assertion  $as : Ux$  generated by the expansion of a labelled formula  $T(l \mapsto a, b) : x$  reflects the fact that if the heap denoted by  $x$  is to satisfy  $(l \mapsto a, b)$  then it should contain exactly one cell. Similarly, the assertion  $as : Zx$  generated by the expansion of the labelled formula  $T I : x$  reflects the fact that  $x$  should denote the empty heap if it is to satisfy  $I$ . Let us note that there are no tableau rules for  $F I : x$  and  $F(l \mapsto a, b) : x$  because we shall deal with such labelled formulas in the forthcoming notion of logical consistency (see Definition 4.15 for the details).

The  $F \neg *$  rule can be safely reformulated so that it generates only one new constant symbol  $c_i$  instead of two by setting  $c_j = x \circ c_i$ . Doing so immediately turns the initial assertion  $as : (x \circ c_i) \diamond c_j$  into  $as : (x \circ c_i) \diamond (x \circ c_i)$  which only imposes as a fact that  $x$  and  $c_i$  should denote disjoint heaps. Similarly for the  $T \neg *$  rule, setting  $z = x \circ y$  turns the initial requirement  $rq : (x \circ y) \diamond z$  into  $rq : (x \circ y) \diamond (x \circ y)$  which trivially holds in a tableau branch  $\mathcal{B}$  by the ( $\diamond$ -Reflexivity) condition of Definition 3.6 as soon as the label  $x \circ y$  occurs in  $\mathcal{B}_{\mathcal{L}}$ . More formally, if  $x \circ y \in \mathcal{B}_{\mathcal{L}}$  then  $\mathcal{B} \vdash (x \circ y) \diamond (x \circ y)$ . The corresponding alternative versions of the tableau rules for  $\neg *$  are given in Figure 2.





**Figure 3.** Tableau  $\mathcal{T}$  for  $(l \mapsto a, b) * ((l \mapsto a, b) \multimap (k \mapsto c, d)) \models (m \mapsto e, f)$ .

In order to keep the notation of our tableaux reasonably compact, we almost always

- use the alternative versions  $F' \multimap$  and  $T' \multimap$  of the tableau rules for  $\multimap$ ;
- omit the trivial assertion  $as : (x \circ c_i) \diamond (x \circ c_i)$  introduced by  $F' \multimap$ ;
- omit the trivial requirement  $rq : (x \circ y) \diamond (x \circ y)$  introduced by  $T' \multimap$ ;
- omit the assertions introduced by the special rules since we keep track of them in the resource graphs associated to the branches of a tableau.

Figure 3 gives a step by step illustration of how the tableau rules build a tableau together with a resource graph for each of its branches.

At the beginning, the tableau has only one branch containing the labelled formula

$$F((l \mapsto a, b) * ((l \mapsto a, b) \multimap (k \mapsto c, d))) \multimap (m \mapsto e, f) : \epsilon$$

so that the associated resource graph is the resource graph named  $\mathcal{G}_0$  in Figure 3.

In Step 1 (marked with a check sign  $\checkmark_1$ ), the initial labelled formula is expanded using the rule  $F' \multimap$ , which requires the introduction of a fresh constant symbol  $c_1$ . Since  $F' \multimap$  is a  $\pi\alpha$  rule, it simply extends the existing branch the resource graph of which becomes  $\mathcal{G}_1$ .

In Step 2 we apply the rule  $T*$  on the labelled formula

$$T(l \mapsto a, b) * ((l \mapsto a, b) \multimap (k \mapsto c, d)) : c_1$$

which introduces  $c_2$  and  $c_3$  and a new assertion  $as_1 : c_2 c_3 \diamond c_1$  that imposes as a fact that  $c_1$  can be decomposed into two parts  $c_2$  and  $c_3$ . Let us also note that Step 2 results in the introduction of the two labelled formulas

$$T(l \mapsto a, b) : c_2 \text{ and } T(l \mapsto a, b) \multimap (k \mapsto c, d) : c_3$$

which respectively lead to Step 3 and Step 4.

In Step 3, we apply the rule  $T \mapsto$  on the labelled formula  $T(l \mapsto a, b) : c_2$ , which inserts the assertion  $as_2 : Uc_2$  between the two labelled formulas previously introduced in Step 2. Such an assertion imposes as a fact that the label  $c_2$  should denote a heap with exactly one cell.

In Step 4, applying the rule  $T' \multimap$  on the labelled formula

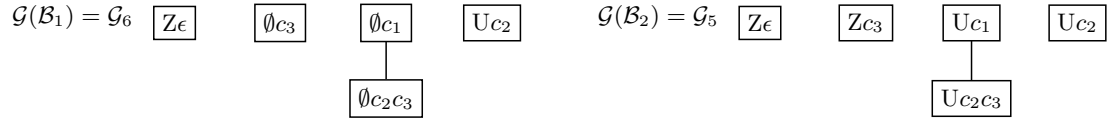
$$T(l \mapsto a, b) \multimap (k \mapsto c, d) : c_3$$

requires us to find a label  $y$  such that  $(y \circ c_3) \diamond (y \circ c_3)$  holds in the current resource graph, which is the resource graph  $\mathcal{G}_3$  generated by Step 3. Choosing  $c_2$  for  $y$  is admissible because the labels  $c_2, c_3, c_2c_3$  occur in  $\mathcal{G}_3$  so that  $(c_2 \circ c_3) \diamond (c_2 \circ c_3)$  trivially holds in  $\mathcal{G}_3$ . However, other choices may be admissible, for example, one can also choose  $\epsilon$  for  $y$  since  $c_2 \circ \epsilon = c_2$  and  $c_2 \diamond c_2$  holds in  $\mathcal{G}_3$ . Anyway, all suitable choices may be considered one after the other as  $T' \multimap$  is a generative rule and can therefore be applied as many times as needed.

After Step 4 the tableau splits into two branches  $\mathcal{B}_1$  and  $\mathcal{B}_2$ , the first one being extended with the labelled formula  $F(l \mapsto a, b) : c_2$ , the second one with  $T(k \mapsto c, d) : c_2c_3$ . Since a generative rule does not modify the resource graph of the tableau branch it splits, after Step 4 is completed, we obtain the resource graphs

$$\mathcal{G}(\mathcal{B}_1) = \mathcal{G}_3 \text{ and } \mathcal{G}(\mathcal{B}_2) = \mathcal{G}_3.$$

The equality  $\mathcal{G}(\mathcal{B}_1) = \mathcal{G}(\mathcal{B}_2)$  does not hold any longer after Step 5 since  $\mathcal{B}_2$  is extended with the assertion  $as_3 : Uc_2c_3$  while  $\mathcal{B}_1$  remains unchanged. We then finally obtain the resource graphs  $\mathcal{G}(\mathcal{B}_1)$  and  $\mathcal{G}(\mathcal{B}_2)$  depicted in Figure 4.



**Figure4.** Resource Graphs for  $\mathcal{T}$ .

## 4.2 Measuring and Normalizing Resource Graphs

In this subsection, we introduce the notion of *measure* on a resource graph. The purpose of this notion is to unambiguously determine how many cells are assumed to be actually present in the heap  $h_x$  denoted by a label  $x$  since the information conveyed in a resource graph is generally an incomplete abstraction. For example in the resource graph  $\mathcal{G}(\mathcal{B}_1)$  of Figure 4, the tag of  $\emptyset c_3$  being empty, it does not give any useful information about the number of locations occurring in the heap denoted by  $c_3$ .

A similar approach has been applied in [6,19] in the context of model-checking to encode SL into first order logic and extend it with temporal modalities. Here, the notion of measure is used from a proof-search point of view in order to guide the tableau construction process.

**Definition 4.4.** A measure on a set  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  of label and label constraints is a total function  $\mu : X_{\mathcal{L}} \rightarrow \mathbb{N}$  which satisfies the following conditions:

- $\forall x \in X_{\mathcal{L}}. \text{ if } Zx \in X_{\mathcal{K}} \text{ then } \mu(x) = 0;$
- $\forall x \in X_{\mathcal{L}}. \text{ if } Ux \in X_{\mathcal{K}} \text{ then } \mu(x) = 1;$
- $\forall x, y \in X_{\mathcal{L}}. \text{ if } x \circ y \in X_{\mathcal{L}} \text{ then } \mu(x \circ y) = \mu(x) + \mu(y);$
- $\forall x, y \in X_{\mathcal{L}}. \text{ if } x \diamond y \in X_{\mathcal{K}} \text{ then } \mu(x) = \mu(y).$

A set  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  is measurable iff there exists a measure on it.

A measure  $\mu$  on a set  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  induces a measure  $\mu : N \rightarrow \mathbb{N}$  on the corresponding resource graph  $\mathcal{G}(X)[N, E]$  defined as follows <sup>5</sup>:

$$\forall \Gamma x \in N. \mu(\Gamma x) = \mu(x).$$

A resource graph  $\mathcal{G}(X)$  is measurable iff  $X$  is measurable.

The previous definition gives rise to the notion of *normal resource graph* which is devised to take into account the following semantic facts:

- there is only one empty heap (Fact 1),
- the empty heap is the unit of heap composition (Fact 2).

In general, a resource graph (set of labels and constraints) does not satisfy (Fact 1) since there can be several distinct Z-nodes (Z-labels). We remedy this situation by defining the following notion of Z-equivalence.

**Definition 4.5 (Z-equivalence).** Let  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints,

$$\forall x, y \in X_{\mathcal{L}}. X \vdash x \simeq y \text{ iff } \forall c \in \mathcal{C}. Zc \notin X_{\mathcal{K}} \Rightarrow (c \in x \Leftrightarrow c \in y) \quad (\text{ZEL}).$$

Let  $\mathcal{G}(X)[N, E]$  be the resource graph associated to  $X$ ,

$$\forall \Gamma x, \Delta y \in N. \mathcal{G}(X) \vdash \Gamma x \simeq \Delta y \text{ iff } X \vdash x \simeq y \quad (\text{ZEG}).$$

Intuitively, two labels  $x$  and  $y$  are equivalent up to  $\simeq$  (Z-equivalent) if all of their constant symbols that are not Z-constants are the same.

However, not all nodes in a resource graph are known for sure to denote empty heaps since the information may only be partial. Nevertheless, given a particular measure on a resource graph one can unambiguously determine all the nodes that should denote empty heaps. This additional information given by a measure leads to the notion of  $\mu$ -equivalence.

**Definition 4.6 ( $\mu$ -equivalence).** Let  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints,

$$\forall x, y \in X_{\mathcal{L}}. X \vdash x \approx y \text{ iff } \forall c \in \mathcal{C}. \mu(c) \neq 0 \Rightarrow (c \in x \Leftrightarrow c \in y) \quad (\mu\text{EL});$$

Let  $\mathcal{G}(X)[N, E]$  be the resource graph associated to  $X$ ,

$$\forall \Gamma x, \Delta y \in N. \mathcal{G}(X) \vdash \Gamma x \approx \Delta y \text{ iff } X \vdash x \approx y \quad (\mu\text{EG}).$$

---

<sup>5</sup> Although we should write  $\mu_{\mathcal{L}}$  for the measure on  $X$  and  $\mu_N$  for the measure on  $\mathcal{G}(X)$ , we simply keep writing  $\mu$  for both measures since the presence (or the absence) of a tag makes its perfectly clear what measure we are in fact referring to.

The following lemma shows that the notion of Z-equivalence is in fact contained in the notion of  $\mu$ -equivalence.

**Lemma 4.1.** *Let  $X(\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints and  $\mu$  be a measure on  $X$ , then*

1.  $\forall x, y \in X_{\mathcal{L}}. (Zx \in X_{\mathcal{K}} \text{ and } Zy \in X_{\mathcal{K}}) \Rightarrow X \vdash x \simeq y;$
2.  $\forall x, y \in X_{\mathcal{L}}. X \vdash x \simeq y \Rightarrow X \vdash x \approx y.$

*Similarly, for the resource graph  $\mathcal{G}(X)[N, E]$  associated to  $X$ :*

1.  $\forall \Gamma x, \Delta y \in N. (Z \in \Gamma \text{ and } Z \in \Delta) \Rightarrow \mathcal{G}(X) \vdash \Gamma x \simeq \Delta y;$
2.  $\forall \Gamma x, \Delta y \in N. \mathcal{G}(X) \vdash \Gamma x \simeq \Delta y \Rightarrow \mathcal{G}(X) \vdash \Gamma x \approx \Delta y.$

*Proof.* The first implication is a consequence of (*Z-Decomposition*). Since  $Zz \in X_{\mathcal{K}}$  for all labels  $z$  such that  $z \subseteq x$  or  $z \subseteq y$ , in particular,  $Zc \in X_{\mathcal{K}}$  for all constant symbols  $c \in \mathcal{C}$  such that  $c \in x$  or  $c \in y$ . Therefore, for all constant symbols  $c \in \mathcal{C}$ , either  $Zc \in X_{\mathcal{K}}$  and then the condition  $Zc \notin X_{\mathcal{K}} \Rightarrow (c \in x \Leftrightarrow c \in y)$  is trivially satisfied, or  $Zc \notin X_{\mathcal{K}}$  and then  $(c \in x \Leftrightarrow c \in y)$  holds because  $c \notin x$  and  $c \notin y$ .

For the second implication, we observe that  $\approx$  contains  $\simeq$  because for all constant symbols  $c \in X_{\mathcal{L}}$ ,  $\mu(c) \neq 0$  implies  $Zc \notin X_{\mathcal{K}}$  by Definition 4.4.

**Definition 4.7 ( $\mu$ -compatibility).** *Let  $X(\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints. An equivalence relation  $R_{\mathcal{L}}(\subseteq X_{\mathcal{L}} \times X_{\mathcal{L}})$  between the labels of  $X_{\mathcal{L}}$  is  $\mu$ -compatible with a measure  $\mu$  on  $X$  iff*

$$\forall x, y \in X_{\mathcal{L}}. X \vdash R_{\mathcal{L}}(x, y) \Rightarrow \mu(x) = \mu(y) \quad (\mu CL).$$

*Accordingly, an equivalence relation  $R_N(\subseteq N \times N)$  between the nodes of the resource graph  $\mathcal{G}(X)[N, E]$  is  $\mu$ -compatible with a measure  $\mu$  on  $\mathcal{G}(X)[N, E]$  iff*

$$\forall \Gamma x, \Delta y \in N. \mathcal{G}(X) \vdash R_N(\Gamma x, \Delta y) \Rightarrow \mu(\Gamma x) = \mu(\Delta y) \quad (\mu CG).$$

**Lemma 4.2.** *The  $\mu$ -equivalence  $\approx$  induced by a measure  $\mu$  on a set  $X(\subseteq \mathcal{L} \cup \mathcal{K})$  of label and label constraints (or its associated resource graph  $\mathcal{G}(X)$ ) is  $\mu$ -compatible with  $\mu$ .*

*Proof.* Suppose that  $X \vdash x \approx y$  for all labels  $x, y \in X_{\mathcal{L}}$ . Now let  $z = x \cap y$ ,  $x' = x \setminus z$  and  $y' = y \setminus z$ , i.e.,  $x = x' \circ z$  and  $y = y' \circ z$ , where  $z$  is the label containing the constant symbols shared by  $x$  and  $y$ . Since  $x' \cap y' = \epsilon$  ( $x$  and  $y$  are disjoint), for all constant symbols  $c$  occurring in  $x'$ ,  $c$  does not occur in  $y'$ . Therefore,  $(\mu EL)$  implies that  $\mu(c) = 0$  for all constant symbols occurring in  $x'$ , so that  $\mu(x') = 0$ . Similarly, we get  $\mu(y') = 0$ . Consequently, we have  $\mu(x) = \mu(x') + \mu(z) = \mu(z) = \mu(y') + \mu(z) = \mu(y)$ .

### 4.3 Quotients of Resource Graphs

In this subsection we formally define what we call the *quotient of a resource graph* by an equivalence relation.

**Definition 4.8.** Let  $X (\subseteq \mathcal{L} \cup \mathcal{K})$  be a set of label and label constraints and  $R (\subseteq X_{\mathcal{L}} \times X_{\mathcal{L}})$  be an equivalence relation between the labels of  $X_{\mathcal{L}}$ . The quotient of  $X$  by  $R$  is written  $X_{/R}$  and is such that:

- $X_{/R} = (X_{\mathcal{L}/R}, X_{\mathcal{K}/R})$ ;
- $X_{\mathcal{L}/R} = \{ \bar{x} \mid x \in X_{\mathcal{L}} \}$  with  $\bar{x} = \{ y \in X_{\mathcal{L}} \mid R(x, y) \}$ ;
- $X_{\mathcal{K}/R} = X_{\mathcal{K}/R}^T \cup X_{\mathcal{K}/R}^\diamond$  with
  - $X_{\mathcal{K}/R}^T = \{ T\bar{x} \mid T \in \mathbb{T} \text{ and } \bar{x} \in X_{\mathcal{L}/R} \text{ and } \exists u \in \bar{x}. Tu \in X_{\mathcal{K}} \}$  and
  - $X_{\mathcal{K}/R}^\diamond = \{ \bar{x} \diamond \bar{y} \mid \bar{x}, \bar{y} \in X_{\mathcal{L}/R} \text{ and } \exists u \in \bar{x}. \exists v \in \bar{y}. u \diamond v \in X_{\mathcal{K}} \}$ .

In other words, the elements of  $X_{\mathcal{L}/R}$  are equivalence classes of labels modulo  $R$  and the elements of  $X_{\mathcal{K}/R}$  are constraints between equivalence classes of labels which we call “label class constraints” although we more often simply speak of “constraints” when the context is clear. A label class constraint holds between two equivalence classes of labels whenever the corresponding type of label constraint holds between some members  $u$  and  $v$  of each class. Adapting Definition 3.8 to equivalence classes of labels we get the following definition for the quotient of a resource graph.

**Definition 4.9.** Let  $\mathcal{G}(X)[N, E]$  be a resource graph and  $R (\subseteq X_{\mathcal{L}} \times X_{\mathcal{L}})$  be an equivalence relation between the labels of  $X_{\mathcal{L}}$ . The quotient of  $\mathcal{G}(X)$  by  $R$  is written  $\mathcal{G}(X_{/R})[N_{/R}, E_{/R}]$  and is such that:

- $N_{/R} = \{ \Gamma\bar{x} \mid x \in X_{\mathcal{L}} \}$  with  $\bar{x} = \{ y \in X_{\mathcal{L}} \mid R(x, y) \}$ ,  $\Gamma = \{ T \in \mathbb{T} \mid \exists u \in \bar{x}. Tu \in X_{\mathcal{K}} \}$ ;
- $E_{/R} = \{ \{ \Gamma\bar{x}, \Delta\bar{y} \} \mid \Gamma\bar{x}, \Delta\bar{y} \in N_{/R} \text{ and } \exists u \in \bar{x}. \exists v \in \bar{y}. u \diamond v \in X_{\mathcal{K}} \}$ .

In other words, the first step to compute the quotient  $\mathcal{G}(X_{/R})[N_{/R}, E_{/R}]$  of a resource graph  $\mathcal{G}(X)[N, E]$  by the equivalence relation  $R$  consists in gathering all the labels that are equivalent up to  $R$ , thus obtaining a set of label classes  $\bar{x} = \{ y \in X_{\mathcal{L}} \mid R(x, y) \}$ .

The second step consists in deriving the nodes of the quotient by giving each label class  $\bar{x}$  a tag  $\Gamma$  obtained by merging (using set union) the tags of all the labels populating  $\bar{x}$ .

Finally, the last step requires putting an edge between two nodes  $\Gamma\bar{x}$  and  $\Delta\bar{y}$  of the quotient whenever the label classes  $\bar{x}$  and  $\bar{y}$  respectively contain a label  $u$  and a label  $v$  for which the corresponding nodes  $\eta(u)$  and  $\eta(v)$  in the initial graph  $\mathcal{G}(X)$  are related by an edge.

**Definition 4.10.** Let  $\mathcal{G}(X)$  be a resource graph,  $\mu$  be a measure on  $\mathcal{G}(X)$  and  $\approx$  be the equivalence induced on  $\mathcal{G}(X)$  by  $\mu$ . The quotient  $\mathcal{G}(X_{/\approx})$  is called the normal resource graph associated to  $(\mathcal{G}(X), \mu)$ .

The resource graph  $\mathcal{G}_5$  depicted in Figure 4 contains only Z-nodes and U-nodes so that the first two conditions of Definition 4.4 imply that there is only one measure  $\mu$  on  $\mathcal{G}_5$ . Moreover, this measure satisfies the following equations:

- $\mu(Z\epsilon) = \mu(Zc_3) = 0$ ;
- $\mu(Uc_1) = \mu(Uc_2c_3) = \mu(Uc_2) + \mu(Zc_3) = \mu(Uc_2) = 1$ .

Since  $\mu(Zc_3) = 0$  implies  $\mathcal{G}_5 \vdash Uc_2 \approx Uc_2c_3$ , we obtain the following normal resource graph:

$$\mathcal{G}(\mathcal{B}_{2/\approx}) = \mathcal{G}_{5/\approx} : \quad \boxed{Z\bar{\epsilon}} \quad \begin{array}{c} \boxed{U\bar{c}_1} \\ \mid \\ \boxed{U\bar{c}_2} \end{array} \quad \begin{array}{l} \bar{\epsilon} = \bar{c}_3 = \{ \epsilon, c_3 \} \\ \bar{c}_1 = \{ c_1 \} \\ \bar{c}_2 = \bar{c}_2c_3 = \{ c_2, c_2c_3 \} \end{array}$$

#### 4.4 Structural Consistency

In this subsection we introduce the notion of *structural consistency* for resource graphs. Such a notion allows us to determine whether a resource graph can actually represent a model in the sense of Definition 2.2.

**Definition 4.11.** Let  $\mathcal{B}$  be a tableau branch,  $\Pi(\mathcal{B})$  is the set of points-to predicates

$$\Pi(\mathcal{B}) = \{ (l \mapsto a, b) \mid \exists x \in \mathcal{L}. T(l \mapsto a, b) : x \in \mathcal{B} \}.$$

A valuation on  $\mathcal{B}$  is a function  $\pi : \mathcal{B}_{\mathcal{L}} \rightarrow \wp(\Pi(\mathcal{B}))$  from the labels of  $\mathcal{B}_{\mathcal{L}}$  to the subsets of  $\Pi(\mathcal{B})$  such that:

$$\forall x \in \mathcal{B}_{\mathcal{L}}. \begin{cases} \pi(x) = \{ (l \mapsto a, b) \mid T(l \mapsto a, b) : x \in \mathcal{B} \} & \text{if } Ux \in \mathcal{B}_{\mathcal{K}} \\ \pi(x) = \emptyset & \text{otherwise} \end{cases}$$

We then define the set  $\Lambda(\mathcal{B})$  as the following extension of  $\Pi(\mathcal{B})$ :

$$\Lambda(\mathcal{B}) = \Pi(\mathcal{B}) \cup \{ (L_i \mapsto A_i, B_i) \mid \forall i \in \mathbb{N}. \forall x, y \in Val. (L_i \mapsto x, y) \notin \Pi(\mathcal{B}) \}.$$

Finally, given a valuation  $\pi$  on  $\mathcal{B}$ , an interpretation on  $(\mathcal{B}, \pi)$  (more shortly on  $\mathcal{B}$ ) is a function  $\lambda : \mathcal{B}_{\mathcal{L}} \rightarrow \wp^m(\Lambda(\mathcal{B}))$  extending  $\pi$  which maps each label in  $\mathcal{B}_{\mathcal{L}}$  to a finite multiset over  $\Lambda(\mathcal{B})$  and which satisfies the following conditions:

- $\forall x \in \mathcal{B}_{\mathcal{L}}. \lambda(x) \supseteq \pi(x)$  ( $\pi$ -extension);
- $\forall x, y \in \mathcal{B}_{\mathcal{L}}. \text{ if } x \circ y \in \mathcal{B}_{\mathcal{L}} \text{ then } \lambda(x \circ y) \supseteq \lambda(x) \cup \lambda(y)$  <sup>6</sup>;
- $\forall x, y \in \mathcal{B}_{\mathcal{L}}. \text{ if } x \diamond y \in \mathcal{B}_{\mathcal{K}} \text{ then } \lambda(x) = \lambda(y)$ .

In terms of the resource graph  $\mathcal{G}(\mathcal{B})[N, E]$  associated to the tableau branch  $\mathcal{B}$

- a valuation on  $\mathcal{G}(\mathcal{B})$  is a function such that  $\forall \Gamma x \in N. \pi(\Gamma x) = \pi(x)$ ;
- an interpretation on  $\mathcal{G}(\mathcal{B})$  is a function such that  $\forall \Gamma x \in N. \lambda(\Gamma x) = \lambda(x)$ .

If we apply the previous definition to the second branch of the tableau given in Figure 3 and its associated resource graph  $\mathcal{G}_5$ , we have to set

$$\pi(Uc_2) = \{ (l \mapsto a, b) \} \text{ and } \pi(Uc_2c_3) = \{ (k \mapsto c, d) \},$$

which leads to the following decorated resource graph:

$$\mathcal{G}(\mathcal{B}_2) = \mathcal{G}_5 : \quad \boxed{Z\epsilon} \quad \boxed{Zc_3} \quad \boxed{Uc_1} \quad \boxed{Uc_2} \quad l \mapsto a, b$$

$$\quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \boxed{Uc_2c_3} \quad k \mapsto c, d$$

We now try to extend the previous valuation into an interpretation.

Let us first remark that a given valuation can in general give rise to several distinct interpretations. For example, a first interpretation  $\lambda_1$  can be obtained for  $\mathcal{G}_5$  if we set

$$\lambda_1(Z\epsilon) = \lambda_1(Zc_3) = \emptyset.$$

<sup>6</sup> We write multisets using double brackets  $\{\{ \dots \}\}$  and  $\cup$  ( $\cap$ ) denotes multiset union (intersection) as soon as one of its operands is a multiset.

Trying to satisfy the conditions of Definition 4.11 then leads us to the following equations:

1.  $\lambda_1(Z\epsilon) = \lambda_1(Zc_3) = \emptyset$
2.  $\lambda_1(Uc_2) = \{\{ (l \mapsto a, b) \}\}$
3.  $\lambda_1(Uc_2c_3) = \lambda_1(Uc_2) \cup \lambda_1(Zc_3) = \{\{ (l \mapsto a, b), (k \mapsto c, d) \}\}$
4.  $\lambda_1(Uc_1) = \lambda_1(Uc_2c_3) = \{\{ (l \mapsto a, b), (k \mapsto c, d) \}\}$

Setting  $\lambda_2(Z\epsilon) = \emptyset$  and  $\lambda_2(Zc_3) = \{\{ (l \mapsto a, b) \}\}$  leads to another interpretation  $\lambda_2$ :

1.  $\lambda_2(Z\epsilon) = \emptyset$
2.  $\lambda_2(Uc_2) = \lambda_2(Zc_3) = \{\{ (l \mapsto a, b) \}\}$
3.  $\lambda_2(Uc_2c_3) = \lambda_2(Uc_2) \cup \lambda_2(Zc_3) = \{\{ (l \mapsto a, b), (l \mapsto a, b), (k \mapsto c, d) \}\}$
4.  $\lambda_2(Uc_1) = \lambda_2(Uc_2c_3) = \{\{ (l \mapsto a, b), (l \mapsto a, b), (k \mapsto c, d) \}\}$

Let us note that since an interpretation maps a node to a multiset, the points-to predicate  $(l \mapsto a, b)$  occurs twice in  $\lambda_2(Uc_2c_3)$  (and thus also in  $\lambda_2(Uc_1)$ ), one occurrence coming from  $\lambda_2(Uc_2)$ , the other one coming from  $\lambda_2(Zc_3)$ .

**Definition 4.12.** Let  $\mathcal{B}$  be tableau branch, the restriction of a valuation  $\pi$  (on  $\mathcal{B}$ ) to its locations, denoted  $\pi^L$ , is such that:

$$\forall x \in \mathcal{B}_{\mathcal{L}}. \pi^L(x) = \{ l \mid \exists a, b \in Val. (l \mapsto a, b) \in \pi(x) \}.$$

Similarly, the restriction of an interpretation  $\lambda$  to its locations, denoted  $\lambda^L$ , is such that:

$$\forall x \in \mathcal{B}_{\mathcal{L}}. l^n \in \lambda^L(x) \text{ iff } l \text{ occurs } n \text{ times in } \lambda(x) \text{ on the lhs of a points-to predicate.}$$

Let us write  $|\alpha|$  for the size of a multiset  $\alpha$ , i.e., the number of elements  $\sum_{z^n \in \alpha} n$  it contains.

An interpretation  $\lambda$  on  $\mathcal{B}$  is well-formed iff

$$\forall x \in \mathcal{B}_{\mathcal{L}}. \forall l^n \in \lambda^L(x). n \leq 1 \quad (SC1).$$

An interpretation  $\lambda$  on  $\mathcal{B}$  is compatible with a measure  $\mu$  on  $\mathcal{B}$  iff

$$\begin{cases} \forall x \in \mathcal{B}_{\mathcal{L}}. |\lambda^L(x)| \leq \mu(x) & (SC2); \\ \forall x, y \in \mathcal{B}_{\mathcal{L}}. \text{ if } \mathcal{B} \vdash x \approx y \text{ then } \lambda(x) = \lambda(y) & (SC3). \end{cases}$$

An interpretation  $\lambda$  on  $\mathcal{B}$  is maximally compatible with a measure  $\mu$  on  $\mathcal{B}$  iff

$$\lambda \text{ is compatible with } \mu \text{ and } \forall x \in \mathcal{B}_{\mathcal{L}}. |\lambda^L(x)| = \mu(x) \quad (SC4).$$

Adapting Definition 4.12 to the resource graph  $\mathcal{G}_5$  given in Figure 4 we get:

$$\pi^L(Uc_2c_3) = \{ k \} \text{ and } \lambda_2^L(Uc_2c_3) = \{\{ l^2, k \}\}.$$

Therefore,  $\lambda_2$  is not well-formed since the location  $l$  occurs twice in  $\lambda_2^L(Uc_2c_3)$ . Moreover,  $\lambda_2$  is not compatible with the measure  $\mu$  defined on  $\mathcal{G}_5$  after Definition 4.10 since it does not satisfy the two conditions (SC2) and (SC3) of Definition 4.12: (SC2) does not hold because  $|\lambda_2^L(Uc_2c_3)| = 3 \not\leq 1 = \mu(Uc_2c_3)$  and (SC3) does not hold because  $\mathcal{G}_5 \vdash Uc_2 \approx Uc_2c_3$  and

$$\lambda_2(Uc_2) = \{\{ (l \mapsto a, b) \}\} \neq \{\{ (l \mapsto a, b), (l \mapsto a, b), (k \mapsto c, d) \}\} = \lambda_2(Uc_2c_3).$$

Although  $\lambda_1$  is well-formed, it is no more compatible with  $\mu$  than  $\lambda_2$  because

$$\lambda_1(\text{Uc}_2\text{c}_3) = \{ \{ (l \mapsto a, b), (k \mapsto c, d) \} \} \text{ implies } |\lambda_1^L(\text{Uc}_2\text{c}_3)| = 2,$$

which contradicts (SC2) since  $\mu(\text{Uc}_2\text{c}_3) = 1$  and obviously  $2 \not\leq 1$ .

**Lemma 4.3.** *Let  $\mathcal{B}$  for a tableau branch, if  $\lambda$  and  $\lambda'$  are two interpretations on  $\mathcal{B}$ , then, the function  $\lambda \cap \lambda' : \mathcal{B}_{\mathcal{L}} \rightarrow \wp^m(\Lambda(\mathcal{B}))$  given by  $\forall x \in \mathcal{B}_{\mathcal{L}}. \lambda \cap \lambda'(x) = \lambda(x) \cap \lambda'(x)$  is an interpretation on  $\mathcal{B}$ .*

*Proof.* We show that  $\lambda \cap \lambda'$  satisfies all the conditions required by Definition 4.11 for an interpretation:

1. for all label  $x \in \mathcal{B}_{\mathcal{L}}$ , we have  $\lambda \cap \lambda'(x) \supseteq \pi(x)$  since by definition of an interpretation  $\lambda(x) \supseteq \pi(x)$  and  $\lambda'(x) \supseteq \pi(x)$ , which implies  $\lambda(x) \cap \lambda'(x) \supseteq \pi(x) \cap \pi(x) = \pi(x)$ ;
2. given that for all labels  $x, y \in \mathcal{B}_{\mathcal{L}}$  such that  $x \circ y \in \mathcal{B}_{\mathcal{L}}$

we have both  $\lambda(x \circ y) \supseteq \lambda(x) \cup \lambda(y)$  and  $\lambda'(x \circ y) \supseteq \lambda'(x) \cup \lambda'(y)$ ,  
we get  $\lambda(x \circ y) \cap \lambda'(x \circ y) \supseteq (\lambda(x) \cup \lambda(y)) \cap (\lambda'(x) \cup \lambda'(y))$ ,  
which implies  $\lambda(x \circ y) \cap \lambda'(x \circ y) \supseteq (\lambda(x) \cap \lambda'(x)) \cup (\lambda(y) \cap \lambda'(y))$ ,  
and finally  $\lambda \cap \lambda'(x \circ y) \supseteq \lambda \cap \lambda'(x) \cup \lambda \cap \lambda'(y)$ ;

3. given that for all labels  $x, y \in \mathcal{B}_{\mathcal{L}}$  such that  $x \diamond y \in \mathcal{B}_{\mathcal{K}}$  we have  $\lambda(x) = \lambda(y)$  and  $\lambda'(x) = \lambda'(y)$ , we get  $\lambda(x) \cap \lambda'(x) = (\lambda(y) \cap \lambda'(y))$ , which implies  $\lambda \cap \lambda'(x) = \lambda \cap \lambda'(y)$ .

**Corollary 4.1.** *Let  $\mathcal{B}$  be a tableau branch, the function  $\lambda_m : \mathcal{B}_{\mathcal{L}} \rightarrow \wp^m(\Lambda(\mathcal{B}))$  given by*

$$\forall x \in \mathcal{B}_{\mathcal{L}}. \lambda_m(x) = \cap \{ \lambda(x) \mid \lambda \text{ is an interpretation on } \mathcal{B} \}$$

*is the smallest possible interpretation on  $\mathcal{B}$ .*

*Proof.* It is an easy consequence of Lemma 4.3.

Let us finally remark that there exists no compatible interpretation on the resource graph  $\mathcal{G}_5$  since  $\lambda_1$  is not compatible with  $\mathcal{G}_5$  and  $\lambda_1$  is in fact  $\lambda_m$ , i.e., the smallest possible interpretation on  $\mathcal{G}_5$ .

**Definition 4.13 (structural consistency).** *Let  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) be a tableau branch (resource graph),  $\mu$  be a measure and  $\lambda$  be an interpretation on  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ). We say that  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) is structurally consistent with  $(\mu, \lambda)$  iff  $\lambda$  is well-formed and maximally compatible with  $\mu$ . Accordingly,  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) is structurally consistent with  $\mu$  iff there exists an interpretation  $\lambda$  such that  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) is structurally consistent with  $(\mu, \lambda)$  and  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) is structurally consistent iff there exists a measure for which it is structurally consistent with.*

The notion of structural consistency means that a resource graph actually represents a “real” model of SLP. For example, the resource graph  $\mathcal{G}_5$  is not structurally consistent since it cannot be compatible with any measure on  $\mathcal{G}_5$  as explained previously.



## 4.5 Logical Consistency

Before we proceed with the notion of *logical consistency*, which intuitively means that a formula of SLP can be falsified, we need to introduce a new equivalence relation.

**Definition 4.14 ( $\lambda\mu$ -equivalence).** Let  $\mathcal{B}$  be a tableau branch. Given a measure  $\mu$  and an interpretation  $\lambda$  on  $\mathcal{B}$ , the relation  $\sim (\subseteq \mathcal{B}_{\mathcal{L}} \times \mathcal{B}_{\mathcal{L}})$  is the smallest equivalence induced by  $\mu$  and  $\lambda$  such that:

$$\forall x, y \in \mathcal{B}_{\mathcal{L}}. \mathcal{B} \vdash x \sim y \text{ iff } \mu(x) = \mu(y) \text{ and } \lambda(x) = \lambda(y).$$

For the resource graph  $\mathcal{G}(\mathcal{B})[N, E]$  associated to  $\mathcal{B}$

$$\forall \Gamma x, \Delta y \in N. \mathcal{G}(\mathcal{B}) \vdash \Gamma x \sim \Delta y \text{ iff } \mathcal{B} \vdash x \sim y.$$

**Lemma 4.4.** Let  $\mu$  be a measure and  $\lambda$  be an interpretation on a tableau branch  $\mathcal{B}$ , if  $\lambda$  is compatible with  $\mu$  then

$$\forall x, y \in \mathcal{B}_{\mathcal{L}}. \text{ if } \mathcal{B} \vdash x \approx y \text{ then } \mathcal{B} \vdash x \sim y.$$

*Proof.* Let  $x, y \in \mathcal{B}_{\mathcal{L}}$  such that  $\mathcal{B} \vdash x \approx y$ . We show that  $\lambda(x) = \lambda(y)$  and  $\mu(x) = \mu(y)$ .

1. Since  $\lambda$  is compatible with  $\mu$ , condition (SC3) of Definition 4.12 implies  $\lambda(x) = \lambda(y)$ .
2. Now let  $z = x \cap y$ ,  $x' = x \setminus z$  and  $y' = y \setminus z$ , i.e.,  $x = x' \circ z$  and  $y = y' \circ z$ , where  $z$  is the label containing all the constant symbols shared by  $x$  and  $y$ . The definition of  $\mathcal{B} \vdash x \approx y$  then implies that  $\forall c \in \mathcal{C}$ . if  $c \in (x' \circ y')$  then  $\mu(c) = 0$ . Therefore, we get  $\mu(x') = \mu(y') = 0$ , which implies  $\mu(x) = \mu(x') + \mu(z) = \mu(z) = \mu(y') + \mu(z) = \mu(y)$ .

**Definition 4.15 (logical consistency).** Let  $\mathcal{B}$  be a tableau branch,  $\mu$  be a measure and  $\lambda$  be an interpretation on  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ). We say that  $\mathcal{B}$  is logically consistent with  $(\mu, \lambda)$  iff none of the following conditions holds:

- $\exists x, y \in \mathcal{B}_{\mathcal{L}}. \top(l \mapsto a, b) : x \in \mathcal{B}, \text{ F}(l \mapsto a, b) : y \in \mathcal{B} \text{ and } \mathcal{B} \vdash x \sim y \quad (LC1);$
- $\exists x \in \mathcal{B}_{\mathcal{L}}. \text{ F I} : x \in \mathcal{B} \text{ and } \mu(x) = 0 \quad (LC2);$
- $\exists x \in \mathcal{B}_{\mathcal{L}}. \text{ F } \top : x \in \mathcal{B} \quad (LC3);$
- $\exists x \in \mathcal{B}_{\mathcal{L}}. \text{ T } \perp : x \in \mathcal{B} \quad (LC4).$

Accordingly,  $\mathcal{B}(\mathcal{G}(\mathcal{B}))$  is logically consistent with  $\mu$  iff there exists an interpretation  $\lambda$  such that  $\mathcal{B}(\mathcal{G}(\mathcal{B}))$  is logically consistent with  $(\mu, \lambda)$  and  $\mathcal{B}(\mathcal{G}(\mathcal{B}))$  is logically consistent iff there exists a measure for which it is logically consistent with.

Looking back at the tableau of Figure 3 we can see that  $\mathcal{B}_2$  is logically consistent for the only measure  $\mu$  definable on  $\mathcal{G}(\mathcal{B}_2) = \mathcal{G}_5$  because:

- the initial formula does not contain any occurrence of  $\text{I}$ ,  $\top$  or  $\perp$  so that none of the conditions (LC2) – (LC4) holds and
- $\mathcal{B}_2$  does not contain any points-to predicate with sign  $\text{F}$  so that condition (LC1) does not hold either.

On the contrary,  $\mathcal{B}_1$  is not logically consistent because

- $T(l \mapsto a, b) : c_2 \in \mathcal{B}_1, F(l \mapsto a, b) : c_2 \in \mathcal{B}_1$  and
- for all measures on  $\mathcal{G}(\mathcal{B}_1)$ ,  $c_2 = c_2$  implies that  $c_2 \sim c_2$  holds in  $\mathcal{B}_1$  ( $\mathcal{B}_1 \vdash c_2 \sim c_2$ ).

**Definition 4.16.** A tableau branch  $\mathcal{B}$  is open iff there exists a measure  $\mu$  and an interpretation  $\lambda$  on  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) such that  $\mathcal{B}$  ( $\mathcal{G}(\mathcal{B})$ ) is both structurally and logically consistent with  $(\mu, \lambda)$ . A tableau branch that is not open is said to be closed. A tableau is open if it contains at least one open branch, it is closed otherwise.

**Definition 4.17 (provability).** A tableau  $\mathcal{T}$  is a TSLP-proof of  $\psi \models \phi$  iff there is a finite sequence of tableaux  $(\mathcal{T}_i)_{1 \leq i \leq n}$  such that:

1.  $\mathcal{T}_1$  is the one-node tableau the root of which is labelled with  $F\psi \multimap \phi : \epsilon$ ;
2.  $\mathcal{T}_{i+1}$  is obtained from  $\mathcal{T}_i$  by a tableau rule of Figure 1;
3.  $\mathcal{T}_n = \mathcal{T}$  and  $\mathcal{T}$  is closed.

An entailment  $\psi \models \phi$  is provable in TSLP if there exists a TSLP-proof of  $\psi \models \phi$ .

Let us summarize all the information we have about the tableau  $\mathcal{T}$  of Figure 3:

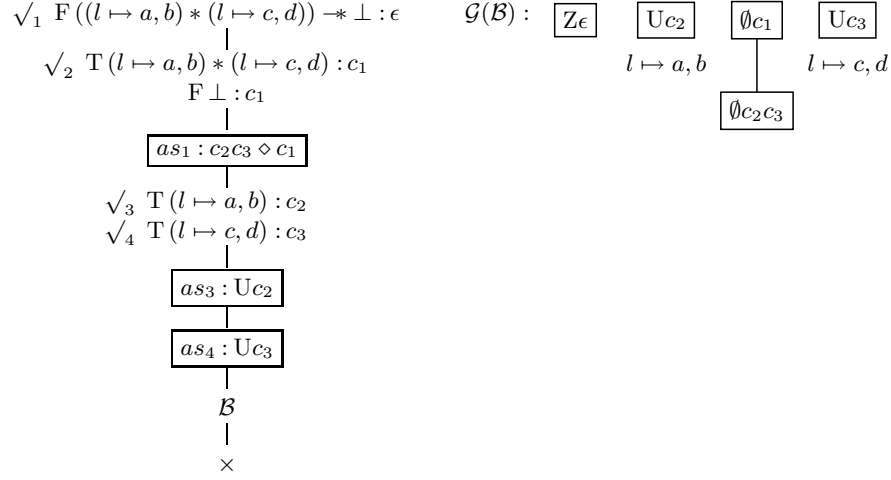
- on one hand, for all measures  $\mu$  on  $\mathcal{B}_1$ ,  $\mathcal{B}_1$  is not logically consistent with  $\mu$  and,
- on the other hand,  $\mathcal{B}_2$  is not structurally consistent with the only measure it admits.

Therefore, according to Definition 4.16,  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are closed, which implies that  $\mathcal{T}$  is closed and is a TSLP-proof of  $(l \mapsto a, b) * ((l \mapsto a, b) \multimap (k \mapsto c, d)) \models (m \mapsto e, f)$ .

## 4.6 Theorem-proving vs. Model-checking

Before we prove the main properties of the tableau calculus let us emphasize the interest of the theorem-proving approach. Compared to model-checking, which is the main approach considered for Separation Logic so far [2], theorem-proving aims at discovering all classes of models for which a formula is satisfied. As seen in this section, a key feature of our tableau method is that provability is captured through two distinct notions: structural and logical consistency. The first one ensures that a resource graph actually denotes a model in the class of Separation Logic models while the second one ensures that a formula can be falsified in some model.

Such an approach allows us to distinguish whether a formula is valid for intrinsic logical reasons (for example  $\phi \rightarrow \phi$  should be valid in any “reasonable” resource logic) or because the conditions required for a given structure to be a model in the class of Separation Logic models are too restrictive to allow the existence of a model. This key point also makes the method more modular as one can change the conditions for structural consistency in order to match other classes of resource models. For example, one could consider models for which the composition does not require disjoint resources and therefore allows some kind of overlapping so that the condition  $\mu(x \circ y) = \mu(x) + \mu(y)$  does not hold in general for all resources  $x$  and  $y$ .



**Figure 5.** Tableau for  $(l \mapsto a, b) * (l \mapsto c, d) \models \perp$ .

#### 4.7 Another Example

We complete this section with an example that illustrates some key points of the tableau construction and the related characterization of validity.

Figure 5 gives a tableau for the entailment  $(l \mapsto a, b) * (l \mapsto c, d) \models \perp$  which means that a heap cannot have two distinct cells at the same location. The tableau contains a single branch  $\mathcal{B}$  and its associated resource graph  $\mathcal{G}(\mathcal{B})$  (after Step 4) admits only one measure  $\mu$  which is such that:

$$\begin{cases} \mu(\mathbf{Z}\epsilon) = 0 \\ \mu(\mathbf{U}c_2) = \mu(\mathbf{U}c_3) = 1 \\ \mu(\emptyset c_1) = \mu(\emptyset c_2 c_3) = \mu(\mathbf{U}c_2) + \mu(\mathbf{U}c_3) = 2 \end{cases}$$

Moreover, the tableau branch  $\mathcal{B}$  induces the following valuation on  $\mathcal{G}(\mathcal{B})$ :

$$\pi(\mathbf{U}c_2) = \{ (l \mapsto a, b) \}, \pi(\mathbf{U}c_3) = \{ (l \mapsto c, d) \}.$$

According to Definition 4.11, any interpretation should then verify the equation

$$\lambda(\emptyset c_2 c_3) \supseteq \lambda(\emptyset c_2) \cup \lambda(\emptyset c_3) = \{ \{ (l \mapsto a, b), (l \mapsto c, d) \} \}.$$

Since the previous equation implies that the location  $l$  should occur at least twice in any interpretation, it is clear that no interpretation can satisfy the condition (SC1) of Definition 4.12 and thus no interpretation can be well-formed.

Consequently, Definition 4.13 implies that, for all measures  $\mu$  and interpretations  $\lambda$ , the resource graph  $\mathcal{G}(\mathcal{B})$  is not structurally consistent with  $(\mu, \lambda)$  which, by Definition 4.16, finally implies that the tableau branch  $\mathcal{B}$  is closed.

Therefore, the tableau of Figure 5 is a TSLP-proof of  $(l \mapsto a, b) * (l \mapsto c, d) \models \perp$ .

## 5 Properties of the Tableau Calculus

In this section we study and prove the main properties of the tableau calculus, namely soundness, completeness and termination. Soundness is obtained via a notion of realization which is preserved by the tableau rules of TSLP, completeness relies on the construction of a countermodel from an open branch and termination follows from arguments on the maximal size of a heap denoted by a label.

### 5.1 Soundness

Before we proceed with the soundness proof, let us first introduce the notation  $Size(h)$  to denote the size of a heap  $h$ , *i.e.*, the number of locations it contains.

**Definition 5.1 (realization).** *Let  $\mathcal{B}$  be a tableau branch. A realization of  $\mathcal{B}$  is a function  $\|-\| : \mathcal{B}_{\mathcal{L}} \rightarrow \mathcal{H}$  satisfying the following conditions:*

- $\forall x, y \in \mathcal{B}_{\mathcal{L}}. x \circ y \in \mathcal{B}_{\mathcal{L}} \Rightarrow \|x \circ y\| = \|x\| \star \|y\|;$
- $\forall x \in \mathcal{B}_{\mathcal{L}}. \mathbf{Z}x \in \mathcal{B}_{\mathcal{K}} \Rightarrow Size(\|x\|) = 0;$
- $\forall x \in \mathcal{B}_{\mathcal{L}}. \mathbf{U}x \in \mathcal{B}_{\mathcal{K}} \Rightarrow Size(\|x\|) = 1;$
- $\forall x, y \in \mathcal{B}_{\mathcal{L}}. x \diamond y \in \mathcal{B}_{\mathcal{K}} \Rightarrow \|x\| = \|y\|;$
- $\forall x \in \mathcal{B}_{\mathcal{L}}. \mathbf{T}\phi : x \in \mathcal{B} \Rightarrow \|x\| \models \phi;$
- $\forall x \in \mathcal{B}_{\mathcal{L}}. \mathbf{F}\phi : x \in \mathcal{B} \Rightarrow \|x\| \not\models \phi.$

A tableau branch  $\mathcal{B}$  is *realizable* if there exists a realization of  $\mathcal{B}$ .

A tableau  $\mathcal{T}$  is *realizable* if it contains a realizable branch.

Let us remark that the first condition, namely  $\|x \circ y\| = \|x\| \star \|y\|$ , implies that a realization  $\|-\|$  is completely determined by its values on the constants occurring in  $\mathcal{B}_{\mathcal{L}}$ . Moreover, it is not difficult to see that  $\mathcal{B} \vdash x \approx y \Rightarrow \|x\| = \|y\|$ .

**Lemma 5.1.** *If a tableau branch  $\mathcal{B}$  is closed then it is not realizable.*

*Proof.* We show that if  $\mathcal{B}$  is realizable for some realization  $\|-\|$  then  $\mathcal{B}$  is open.

1. Let us first show that the resource graph  $\mathcal{G}(\mathcal{B})[N, E]$  associated to the branch  $\mathcal{B}$  is structurally consistent. For that we need to show that there exists some measure  $\mu$  and interpretation  $\lambda$  on  $\mathcal{G}(\mathcal{B})$  such that  $\lambda$  is well-formed and maximally compatible with  $\mu$ . It is not difficult (although quite lengthy) to show that such a pair  $(\mu, \lambda)$  can be obtained by setting:

$$\forall \Gamma x \in N. \begin{cases} \mu(\Gamma x) = Size(\|x\|) \\ (l \mapsto a, b) \in \lambda(\Gamma x) \text{ iff } \|x\|(l) = (a, b) \end{cases}$$

The four conditions (SC1)–(SC4) are enforced by the previous definition since a heap  $\|x\|$  cannot associate more than one cell to a location (condition (SC1)), contains exactly as many cells as locations in its domain (conditions (SC2) and (SC4)) and finally, if  $\mathcal{B} \vdash x \approx y$  then  $\|x\| = \|y\|$ , which obviously implies that  $\|x\|$  and  $\|y\|$  have the same cells associated to the same locations (condition (SC3)).

2. We now show that if  $\mathcal{B}$  is realizable then it is logically consistent. Suppose otherwise, then at least one of the conditions (LC1)–(LC4) of Definition 4.15 holds. Let us assume that (LC1) holds (other cases are similar), then, there is a points-to predicate  $(l \mapsto a, b)$  such that  $\text{T}(l \mapsto a, b) : x \in \mathcal{B}$ ,  $\text{F}(l \mapsto a, b) : y \in \mathcal{B}$  and  $\mathcal{B} \vdash x \sim y$  for some labels  $x$  and  $y$ . Since  $\|-\|$  is a realization of  $\mathcal{B}$ , we deduce  $\|x\| \models (l \mapsto a, b)$ ,  $\|y\| \not\models (l \mapsto a, b)$  and  $\|x\| = \|y\|$ , which is a contradiction.

From (1) and (2) we can conclude that  $\mathcal{B}$  is open according to Definition 4.16.

**Lemma 5.2.** *All rules of TSLP preserve realizability.*

*Proof.* We show that, for all realizable tableaux  $\mathcal{T}$ , if  $\mathcal{T}'$  is a tableau obtained from  $\mathcal{T}$  by application of a tableau rule, then  $\mathcal{T}'$  is realizable. Since  $\mathcal{T}$  is realizable, it contains a branch  $\mathcal{B}$  which is realizable for some realization  $\|-\|$ . If the labelled formula  $\text{S}\phi : x$  that has been expanded to obtain  $\mathcal{T}'$  does not belong to  $\mathcal{B}$ , then  $\mathcal{T}'$  is realizable since it still contains  $\mathcal{B}$ . Otherwise, we show by case analysis on  $\text{S}\phi : x$  that the corresponding expansion rule preserves realizability.

– case  $\text{T}\phi * \psi : x$

$\mathcal{B}$  is extended into the branch  $\mathcal{B}'$  such that  $\mathcal{B}' = \mathcal{B} + \text{T}\phi : c_i + \text{T}\psi : c_j + as : c_i c_j \diamond x$ ,  $c_i$  and  $c_j$  being new constants. Since  $\|-\|$  realizes  $\mathcal{B}$ , we have  $\|x\| \models \phi * \psi$ . Therefore, there exist two heaps  $h_1$  and  $h_2$  such that  $h_1 \# h_2$ ,  $h_1 \star h_2 = \|x\|$ ,  $h_1 \models \phi$  and  $h_2 \models \psi$ . We then only need to extend  $\|-\|$  to  $c_i$  and  $c_j$  by setting  $\|c_i\| = h_1$  and  $\|c_j\| = h_2$  in order to obtain  $\|c_i\| \models \phi$  and  $\|c_j\| \models \psi$ . Since  $\|c_i\| \star \|c_j\| = \|x\|$ ,  $\mathcal{B}'$  is realizable and, consequently,  $\mathcal{T}'$  is realizable.

– case  $\text{F}\phi * \psi : x$

$\mathcal{B}$  splits into two branches  $\mathcal{B}_1$  and  $\mathcal{B}_2$  such that  $\mathcal{B}_1 = \mathcal{B} + \text{F}\phi : y + rq : (y \circ z) \diamond x$  and  $\mathcal{B}_2 = \mathcal{B} + \text{F}\psi : z + rq : (y \circ z) \diamond x$ . An admissible application of the  $\text{F}*$  rule requires that  $\mathcal{B} \vdash (y \circ z) \diamond x$ , which implies  $\|y\| \star \|z\| = \|x\|$ . Since  $\|-\|$  realizes  $\mathcal{B}$ , we have  $\|x\| \not\models \phi * \psi$ . Therefore, for all heaps  $h_1$  and  $h_2$  such that  $h_1 \# h_2$  and  $h_1 \star h_2 = \|x\|$ , either  $h_1 \not\models \phi$ , or  $h_2 \not\models \psi$ , which implies that either  $\|y\| \not\models \phi$ , or  $\|z\| \not\models \psi$ . Then, either  $\mathcal{B}_1$ , or  $\mathcal{B}_2$  is realizable and, consequently,  $\mathcal{T}'$  is realizable.

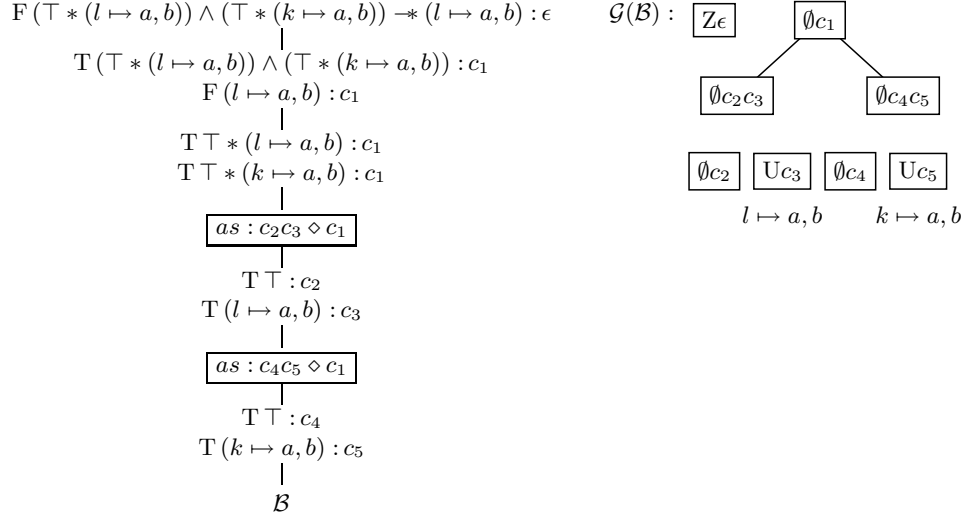
– other cases are similar.

**Theorem 5.1 (soundness).** *If there exists a TSLP-proof of  $\psi \models \phi$  then the entailment  $\psi \models \phi$  holds in SLP.*

*Proof.* Let  $(\mathcal{T}_i)_{1 \leq i \leq n}$  be a TSL-proof of  $\psi \models \phi$ . Suppose that  $\psi \models \phi$  does not hold in SLP, then  $e \not\models \psi \multimap \phi$ . Consequently,  $\|\epsilon\| = e$  is a trivial realization of  $\mathcal{T}_0$ . Lemma 5.2 then entails that all tableaux in  $(\mathcal{T}_i)_{1 \leq i \leq n}$  are realizable. This is a contradiction because  $\mathcal{T}_n$  is closed by definition of a TSL-proof, which implies that  $\mathcal{T}_n$  is not realizable by Lemma 5.1.

## 5.2 Completeness and Countermodel Generation

We give here a proof of completeness of the calculus based on countermodel construction.



**Figure 6.** Open Tableau for  $(T * (l \mapsto a, b)) \wedge (T * (k \mapsto a, b)) \models (l \mapsto a, b)$

**Definition 5.2.** Let  $\mathcal{B}$  be tableau branch, a labelled formula  $S\phi : x$  is analyzed in  $\mathcal{B}$ , denoted  $\mathcal{B} \succ S\phi : x$ , iff  $S\phi : y \in \mathcal{B}$  for some label  $y \in \mathcal{B}_{\mathcal{L}}$  such that  $\mathcal{B} \vdash x \approx y$ .

**Definition 5.3.** Let  $\mathcal{B}$  be a tableau branch, a labelled formula  $S\phi : x$  is completely analyzed or fulfilled in  $\mathcal{B}$ , denoted  $\mathcal{B} \Vdash S\phi : x$ , iff it matches one of the following cases:

- $\mathcal{B} \Vdash TI : x$  iff  $\mathcal{B} \succ TI : x$  and  $\mathcal{B} \vdash Zx$ ;
- $\mathcal{B} \Vdash T(l \mapsto a, b) : x$  iff  $\mathcal{B} \succ T(l \mapsto a, b) : x$  and  $\mathcal{B} \vdash Ux$ ;
- $\mathcal{B} \Vdash T\psi \wedge \chi : x$  iff  $\mathcal{B} \succ T\psi : x$  and  $\mathcal{B} \succ T\chi : x$ ;
- $\mathcal{B} \Vdash F\psi \vee \chi : x$  iff  $\mathcal{B} \succ F\psi : x$  and  $\mathcal{B} \succ F\chi : x$ ;
- $\mathcal{B} \Vdash T\psi \vee \chi : x$  iff  $\mathcal{B} \succ T\psi : x$  or  $\mathcal{B} \succ T\chi : x$ ;
- $\mathcal{B} \Vdash F\psi \rightarrow \chi : x$  iff  $\mathcal{B} \succ T\psi : x$  and  $\mathcal{B} \succ F\chi : x$ ;
- $\mathcal{B} \Vdash T\psi \rightarrow \chi : x$  iff  $\mathcal{B} \succ F\psi : x$  or  $\mathcal{B} \succ T\chi : x$ ;
- $\mathcal{B} \Vdash F\psi * \chi : x$  iff  $(\forall y, z \in \mathcal{B}_{\mathcal{L}}) (\mathcal{B} \vdash (y \circ z) \approx x \text{ implies } (\mathcal{B} \succ F\psi : y \text{ or } \mathcal{B} \succ F\chi : z))$ ;
- $\mathcal{B} \Vdash T\psi * \chi : x$  iff  $(\exists y, z \in \mathcal{B}_{\mathcal{L}}) (\mathcal{B} \vdash (y \circ z) \approx x \text{ and } \mathcal{B} \succ T\psi : y \text{ and } \mathcal{B} \succ T\chi : z)$ ;
- $\mathcal{B} \Vdash F\psi \multimap \chi : x$  iff  $(\exists y \in \mathcal{B}_{\mathcal{L}}) (x \circ y \in \mathcal{B}_{\mathcal{L}} \text{ and } \mathcal{B} \succ T\psi : y \text{ and } \mathcal{B} \succ F\chi : x \circ y)$ ;
- $\mathcal{B} \Vdash T\psi \multimap \chi : x$  iff  $(\forall y \in \mathcal{B}_{\mathcal{L}}) (x \circ y \in \mathcal{B}_{\mathcal{L}} \text{ implies } (\mathcal{B} \succ F\psi : y \text{ or } \mathcal{B} \succ T\chi : x \circ y))$ ;
- for all other cases,  $\mathcal{B} \Vdash S\phi : x$  iff  $\mathcal{B} \succ S\phi : x$ .

**Definition 5.4.** A tableau branch  $\mathcal{B}$  is complete iff it is open and all labelled formulas in  $\mathcal{B}$  are fulfilled. A tableau  $\mathcal{T}$  is complete iff it contains a complete branch.

It is standard to define a tableau construction procedure that builds either a closed tableau or a complete tableau [14].

Let us now explain how to construct a countermodel from an open and complete tableau branch  $\mathcal{B}$  using the tableau depicted in Figure 6. The first thing we need to check is whether

the tableau branch  $\mathcal{B}$  is open, *i.e.*, if it is both structurally and logically consistent for some measure  $\mu$  and some interpretation  $\lambda$  on  $\mathcal{G}(\mathcal{B})$  such that  $\lambda$  is well-formed and maximally compatible with  $\mu$ .

Let us first prove that  $\mathcal{G}(\mathcal{B})$  is measurable. In this example, the resource graph  $\mathcal{G}(\mathcal{B})$  implies that all measures  $\mu$  on  $\mathcal{G}(\mathcal{B})$  should satisfy the following equations:

$$\begin{cases} \mu(\mathbf{Z}\epsilon) = 0 \\ \mu(\mathbf{U}c_3) = \mu(\mathbf{U}c_5) = 1 \\ \mu(\emptyset c_1) = \mu(\emptyset c_2 c_3) = \mu(\emptyset c_2) + \mu(\mathbf{U}c_3) \\ \mu(\emptyset c_1) = \mu(\emptyset c_4 c_5) = \mu(\emptyset c_4) + \mu(\mathbf{U}c_5) \end{cases}$$

The previous system of  $\mu$ -equations admits infinitely many solutions of the following form: for all  $X \in \mathbb{N}$ ,  $\mu$  is a measure on  $\mathcal{G}(\mathcal{B})$  iff:

$$\begin{cases} \mu(\mathbf{Z}\epsilon) = 0 \\ \mu(\mathbf{U}c_3) = \mu(\mathbf{U}c_5) = 1 \\ \mu(\emptyset c_2) = \mu(\emptyset c_4) = X \\ \mu(\emptyset c_1) = \mu(\emptyset c_2 c_3) = \mu(\emptyset c_4 c_5) = X + 1 \end{cases}$$

Then we take into account the conditions required by Definition 4.11. We know that all interpretations  $\lambda$  on  $\mathcal{G}(\mathcal{B})$  should satisfy the following equations:

$$\begin{cases} \lambda(\emptyset c_1) = \lambda(\emptyset c_2 c_3) \supseteq \lambda(\emptyset c_2) \cup \lambda(\mathbf{U}c_3) \supseteq \{ \{ (l \mapsto a, b), (k \mapsto a, b) \} \} \\ \lambda(\emptyset c_1) = \lambda(\emptyset c_4 c_5) \supseteq \lambda(\emptyset c_4) \cup \lambda(\mathbf{U}c_5) \supseteq \{ \{ (l \mapsto a, b), (k \mapsto a, b) \} \} \\ |\lambda^L(\emptyset c_1)| = |\lambda^L(\emptyset c_2 c_3)| = |\lambda^L(\emptyset c_4 c_5)| \geq 2 \end{cases}$$

Now if  $\mathcal{B}$  is to be open, we can make use of Definition 4.12 to help us narrow down the set of admissible measures on  $\mathcal{G}(\mathcal{B})$ . Indeed, in order to satisfy condition  $(SC_4)$ , any given measure  $\mu$  must be such that:

$$\begin{cases} |\lambda^L(\mathbf{Z}\epsilon)| = 0 \\ |\lambda^L(\mathbf{U}c_3)| = |\lambda^L(\mathbf{U}c_5)| = \mu(\mathbf{U}c_5) = \mu(\mathbf{U}c_3) = 1 \\ |\lambda^L(\emptyset c_2)| = |\lambda^L(\emptyset c_4)| = \mu(\emptyset c_2) = \mu(\emptyset c_4) = X \\ 2 \leq |\lambda^L(\emptyset c_4 c_5)| = |\lambda^L(\emptyset c_2 c_3)| = |\lambda^L(\emptyset c_1)| = \mu(\emptyset c_1) = \mu(\emptyset c_2 c_3) = \mu(\emptyset c_4 c_5) = X + 1 \end{cases}$$

We can therefore deduce that  $X \geq 1$ .

Let us try the measure  $\mu$  induced by setting  $X = 1$ . In this case, we obtain:

$$\begin{cases} \mu(\mathbf{Z}\epsilon) = 0 \\ \mu(\mathbf{U}c_3) = \mu(\mathbf{U}c_5) = 1 \\ \mu(\emptyset c_2) = \mu(\emptyset c_4) = 1 \\ \mu(\emptyset c_1) = \mu(\emptyset c_2 c_3) = \mu(\emptyset c_4 c_5) = 2 \end{cases} \quad \begin{cases} |\lambda^L(\mathbf{Z}\epsilon)| = \mu(\mathbf{Z}\epsilon) = 0 \\ |\lambda^L(\mathbf{U}c_3)| = |\lambda^L(\mathbf{U}c_5)| = 1 \\ |\lambda^L(\emptyset c_2)| = |\lambda^L(\emptyset c_4)| = 1 \\ |\lambda^L(\emptyset c_1)| = |\lambda^L(\emptyset c_2 c_3)| = |\lambda^L(\emptyset c_4 c_5)| = 2 \end{cases}$$

Since  $|\lambda^L(\emptyset c_2)| = |\lambda^L(\emptyset c_4)| = 1$ , we must complete  $\lambda(\emptyset c_2)$  and  $\lambda(\emptyset c_4)$  so that they contain exactly one points-to predicate. Since we know nothing about these points-to predicates for the moment we simply set

$$\lambda(\emptyset c_2) = \{ \{ (L_1 \mapsto A_1, B_1) \} \} \text{ and } \lambda(\emptyset c_4) = \{ \{ (L_2 \mapsto A_2, B_2) \} \}.$$

We can now consider  $L_i, A_i, B_i$  ( $1 \leq i \leq 2$ ) as variables in a process of multiset-unification in order to solve the following system of  $\lambda$ -equations:

$$\begin{cases} \lambda(\mathbf{Z}\epsilon) = \emptyset \\ \lambda(\mathbf{U}c_3) = \{ \{ (l \mapsto a, b) \} \} \\ \lambda(\mathbf{U}c_5) = \{ \{ (k \mapsto a, b) \} \} \\ \lambda(\emptyset c_2) = \{ \{ (L_1 \mapsto A_1, B_1) \} \} \\ \lambda(\emptyset c_4) = \{ \{ (L_2 \mapsto A_2, B_2) \} \} \\ \lambda(\emptyset c_1) = \lambda(\emptyset c_2 c_3) = \lambda(\emptyset c_4 c_5) = \{ \{ (l \mapsto a, b), (k \mapsto a, b) \} \} \end{cases}$$

Having  $|\lambda^L(\emptyset c_1)| = |\lambda^L(\emptyset c_2 c_3)| = 2$  on one hand and  $|\lambda^L(\emptyset c_2)| = |\lambda^L(\mathbf{U}c_3)| = 1$  on the other hand implies that  $\lambda(\emptyset c_2 c_3) = \lambda(\emptyset c_2) \cup \lambda(\mathbf{U}c_3)$ . A similar argument then also yields  $\lambda(\emptyset c_4 c_5) = \lambda(\emptyset c_4) \cup \lambda(\mathbf{U}c_5)$ , so that we obtain the two equations:

$$\begin{cases} \{ \{ (l \mapsto a, b), (k \mapsto a, b) \} \} = \{ \{ (L_1 \mapsto A_1, B_1), (l \mapsto a, b) \} \} \\ \{ \{ (l \mapsto a, b), (k \mapsto a, b) \} \} = \{ \{ (L_2 \mapsto A_2, B_2), (k \mapsto a, b) \} \} \end{cases}$$

for which a solution is given by

$$(L_1 \mapsto A_1, B_1) = (k \mapsto a, b) \text{ and } (L_2 \mapsto A_2, B_2) = (l \mapsto a, b).$$

Finally, we have found a measure  $\mu$  and an interpretation  $\lambda$  on  $\mathcal{G}(\mathcal{B})$  such that  $\lambda$  is well-formed and maximally compatible with  $\mu$  and such that:

$$\begin{cases} \mu(\mathbf{Z}\epsilon) = 0 \\ \mu(\mathbf{U}c_3) = \mu(\mathbf{U}c_5) = 1 \\ \mu(\emptyset c_2) = \mu(\emptyset c_4) = 1 \\ \mu(\emptyset c_1) = \mu(\emptyset c_2 c_3) = \mu(\emptyset c_4 c_5) \\ \mu(\emptyset c_1) = 2 \end{cases} \quad \begin{cases} \lambda(\mathbf{Z}\epsilon) = \emptyset \\ \lambda(\mathbf{U}c_3) = \lambda(\emptyset c_4) = \{ \{ (l \mapsto a, b) \} \} \\ \lambda(\mathbf{U}c_5) = \lambda(\emptyset c_2) = \{ \{ (k \mapsto a, b) \} \} \\ \lambda(\emptyset c_1) = \lambda(\emptyset c_2 c_3) = \lambda(\emptyset c_4 c_5) \\ \lambda(\emptyset c_1) = \{ \{ (l \mapsto a, b), (k \mapsto a, b) \} \} \end{cases}$$

Therefore, the resource graph  $\mathcal{G}(\mathcal{B})$  is structurally consistent with  $(\mu, \lambda)$  and since  $\mathcal{B}$  is also logically consistent with  $(\mu, \lambda)$ , we have shown that  $\mathcal{B}$  is an open branch.

The last step of the countermodel construction process consists in deriving a partial monoid of heaps from the labels of  $\mathcal{B}_{\mathcal{L}}$ . We proceed as follows: for all labels  $x \in \mathcal{B}_{\mathcal{L}}$ , we define a heap  $h_x : \text{Loc} \rightarrow \text{Val} \times \text{Val}$  such that:

$$h_x(l) = (a, b) \text{ iff } (l \mapsto a, b) \in \lambda(x).$$

Then, we define  $\mathcal{M} = (H, \star, h_\epsilon)$  as the structure such that  $H = \{ h_x \mid x \in \mathcal{B}_{\mathcal{L}} \}$ , knowing that heap composition is given by the union of disjoint partial functions.

**Lemma 5.3.** *If  $\mathcal{B}$  is a complete branch then  $\mathcal{M} = (H, \star, h_\epsilon)$  is a SLP-model such that:*

- a) *if  $\mathcal{B} \Vdash \mathbf{T} \phi : x$ , then  $\exists z \in \mathcal{B}_{\mathcal{L}}. \mathcal{B} \vdash z \sim x$  and  $h_z \models \phi$ ;*
- b) *if  $\mathcal{B} \Vdash \mathbf{F} \phi : x$ , then  $\exists z \in \mathcal{B}_{\mathcal{L}}. \mathcal{B} \vdash z \sim x$  and  $h_z \not\models \phi$ .*

*Proof.* Firstly, let us note that condition (SC1) of Definition 4.13 implies that  $h_x$  is a function for all labels  $x \in \mathcal{B}_{\mathcal{L}}$  while (SC4) implies that  $h_\epsilon$  is the empty heap  $e$ . Moreover, (SC1) and (SC3) imply that if  $x \circ y \in \mathcal{B}_{\mathcal{L}}$  then  $h_x \star h_y = h_{x \circ y}$ . The two properties a) and b) then follow by a lengthy induction on  $\phi$  w.r.t. conditions of Definition 5.3.



**Theorem 5.2 (completeness).** *If the entailment  $\psi \models \phi$  holds in SLP, then there exists a TSLP-proof of  $\psi \models \phi$ .*

*Proof.* Suppose that  $\psi \models \phi$  has no TSLP-proof, then, there exists no sequence of tableaux  $(\mathcal{T}_i)_{1 \leq i \leq n}$  such that  $\mathcal{T}_n$  is closed. Therefore, any (fair) tableau construction procedure results in a tableau containing a complete branch  $\mathcal{B}$  from which we can build the structure  $\mathcal{M} = (H, \star, h_\epsilon)$ . Since  $\mathcal{B} \succ_F \psi \multimap \phi : \epsilon$ , Lemma 5.3 entails that  $\mathcal{M}$  is a SLP-model such that  $h_\epsilon \not\models \psi \multimap \phi$ , which contradicts the fact that  $\psi \models \phi$  holds in SLP.

### 5.3 Termination

In this section we discuss the termination of our tableau calculus for SLP. Unfortunately, we cannot reuse the arguments given for BI [16] which rely on the possibility of reusing the constant symbols introduced by the  $F \multimap$  and  $T \star$  tableau rules so that only a finite number of atomic labels can be generated in the tableau construction process.

The fact that atomic labels may be reused is justified by the fact that BI is complete w.r.t. Beth resource semantics and that this completeness property is preserved when the semantics is restricted to *regular valuations*. A valuation is regular iff for all formulas  $\phi$ , if there exists a world  $m$  such that  $m \models \phi$  then there also exists the smallest world  $c_\phi$  (w.r.t. the underlying preordering on worlds) such that  $c_\phi \models \phi$ . Let us remark that such an argument cannot be used in the case of SL since there is no such thing as the smallest heap that satisfies a SL formula.

Figure 7 shows a tableau for which an infinite branch  $\mathcal{B}$  can be constructed if one only relies on the tableau rules because  $\mathcal{B}$  contains  $\pi\alpha$  formulas in the scope of a  $\pi\beta$  formula. In the beginning, when Step 2 needs to expand the  $\pi\beta$  formula

$$T \top \multimap (((k \mapsto c, d) \multimap (l \mapsto a, b)) \rightarrow (l \mapsto a, b))) : c_1,$$

the only admissible label is the empty string  $\epsilon$  since  $c_1 \circ \epsilon = c_1$  and  $c_1$  is obviously defined in the resource graph of the branch.

When we reach Step 4, the expansion of the  $\pi\alpha$  formula

$$F(k \mapsto c, d) \multimap (l \mapsto a, b) : c_1$$

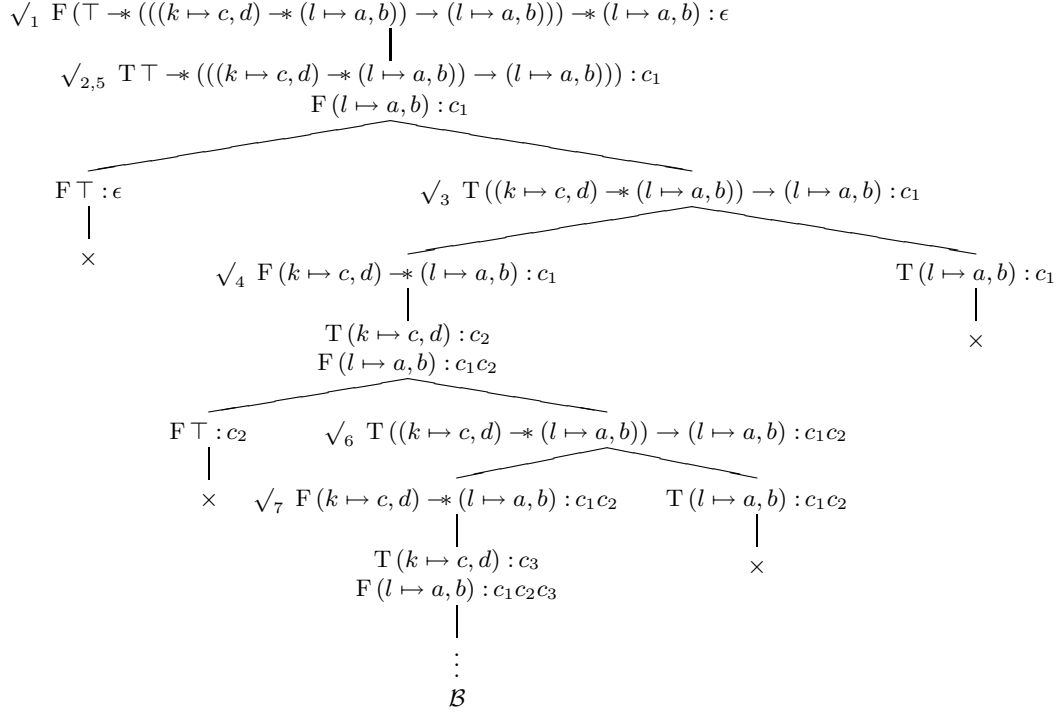
generates two new labels  $c_2$  and  $c_1c_2$  but since  $c_1 \circ c_2 = c_1c_2$ , the label  $c_2$  is now an admissible choice for the expansion of the  $\pi\beta$  formula of Step 2.

In Step 5, we perform a second expansion of the  $\pi\beta$  formula of Step 2 with the label  $c_2$  generated in Step 4, which leads us to Step 6 where a new instance of the  $\pi\alpha$  formula of Step 4 is introduced, except that this new instance is labelled with  $c_1c_2$  instead of  $c_1$ .

Similarly to what happened in Step 4, when Step 7 expands the  $\pi\alpha$  formula

$$F(k \mapsto c, d) \multimap (l \mapsto a, b) : c_1c_2,$$

two new labels  $c_3$  and  $c_1c_2c_3$  are generated. The (*Saturation*) condition of Definition 3.6 then also generates two new labels  $c_1c_3$  and  $c_2c_3$  so that  $c_3$  and  $c_2c_3$  are now admissible choices for the  $\pi\beta$  formula of Step 2 and we clearly get in an infinite expansion loop for the branch  $\mathcal{B}$ .



**Figure 7.** Infinite Tableau for  $(k \mapsto c, d) \multimap (l \mapsto a, b) \rightarrow (l \mapsto a, b) \models (l \mapsto a, b)$ .

Let us now take a closer look at the infinite branch  $\mathcal{B}$  using the specific notions of measures and interpretations.

After Step 4, the introduction of the labelled formula  $\top(k \mapsto c, d) : c_2$  comes together with the assertion  $U_{c_2}$  (not explicitly depicted in Figure 7) which implies that

$$\text{for all measures } \mu \text{ on } \mathcal{B}, \mu(c_2) = 1.$$

Moreover, we can also deduce that

$$\text{for all interpretations } \lambda \text{ on } \mathcal{B}, \lambda(c_2) \supseteq \{(k \mapsto c, d)\}.$$

Similarly, after Step 7 introduces  $\top(k \mapsto c, d) : c_3$  and the assertion  $U_{c_3}$  we obtain

$$\text{for all measures } \mu \text{ and interpretations } \lambda \text{ on } \mathcal{B}, \mu(c_3) = 1 \text{ and } \lambda(c_3) \supseteq \{(k \mapsto c, d)\}.$$

After Step 7, the following equation holds for all measures  $\mu$  and all interpretations on  $\mathcal{B}$ :

$$\mu(c_2) = \mu(c_3) = 1 \text{ and } \lambda(c_2 c_3) \supseteq \lambda(c_2) \cup \lambda(c_3) \supseteq \{(k \mapsto c, d), (k \mapsto c, d)\},$$

which contradicts both conditions *(SC1)* and *(SC2)* of Definition 4.12. Therefore, for all measures  $\mu$  on  $\mathcal{B}$ , there exists no interpretation  $\lambda$  on  $\mathcal{B}$  which is well-formed and compatible with  $\mu$ . Consequently,  $\mathcal{B}$  cannot be structurally consistent and according to Definition 4.16, the tableau branch  $\mathcal{B}$  is closed after Step 7.

Taking into account the information induced by the measures and interpretations, we can prove that our tableau method always terminate. Let us remark that in order to keep a tableau branch  $\mathcal{B}$  open, we need to have a measure  $\mu$  and an interpretation  $\lambda$  on  $\mathcal{B}$  such that  $\lambda$  is well-formed and maximally compatible with  $\mu$ . In the example of Figure 7, this would require

$$\mu(c_2) = \mu(c_3) = 1 \text{ and } \lambda(c_2) = \lambda(c_3) = \{ \{ (k \mapsto c, d) \} \}$$

by conditions (SC1) and (SC2) of Definition 4.12, which in turn implies  $\mathcal{B} \vdash c_2 \sim c_3$ . Therefore, up to the  $\lambda\mu$ -equivalence induced by  $(\mu, \lambda)$ ,  $c_2$  and  $c_3$  should semantically denote the same heap.

**Theorem 5.3 (termination).** *TSLP terminates for all entailments  $\psi \models \phi$  of SLP.*

*Proof.* Let  $\mathcal{T}$  be a tableau for a formula  $\phi$  which contains an infinite open branch  $\mathcal{B}$ . Since  $\mathcal{B}$  is open, we know from Definition 4.16 that there exists a measure  $\mu$  and an interpretation  $\lambda$  on  $\mathcal{B}$  such that  $\lambda$  is well-formed and maximally compatible with  $\mu$ .

Let us consider the quotient  $\mathcal{B}_{\mathcal{L}/\sim}$  of  $\mathcal{B}_{\mathcal{L}}$  by the  $\lambda\mu$ -equivalence induced by  $(\mu, \lambda)$ . It is not difficult to see that there can only be finitely many classes in  $\mathcal{B}_{\mathcal{L}/\sim}$  because:

1. the initial entailment  $\psi \models \phi$  generates a finite number of signed formulas of the form  $T(l \mapsto a, b)$  and
2. if  $T(l \mapsto a, b) : x \in \mathcal{B}$  and  $T(l \mapsto a, b) : y \in \mathcal{B}$  then  $\mathcal{B} \vdash x \sim y$ .

Given that an interpretation only depends on labelled formulas of the form  $T(l \mapsto a, b) : x$ , that  $\lambda$  is well-formed and that we begin with a finite number of signed formulas  $T(l \mapsto a, b)$ , the tableau calculus TSLP cannot introduce an infinite number of disjoint label compositions without contradicting condition (SC1) of Definition 4.12.

## 6 Extensions to Full SL

In this section we explain how our tableau calculus for SLP can be extended in order to take into account the introduction of variables, first order quantifiers and equality. Here, the points-to predicate  $\mapsto$  associates a cell to a stack variable (as in  $(x \mapsto a, b)$  where  $x$  points to the cell  $(a, b)$ ) and such variables are in the scope of the first order quantifiers so that all the formulas we consider are closed. Moreover, we assume without loss of generality that all variables are bound to a unique quantifier. For example, in the formula

$$\phi = (\exists x \forall y \forall z. (x \mapsto y, z)) \rightarrow (\forall x \exists y. (x \mapsto y, y)),$$

the variables  $x$  and  $y$  are bound by two quantifiers (one on both sides of the additive implication  $\rightarrow$ ) and should be renamed appropriately before we can proceed with the notions presented in this section. Such a renaming results in the formula

$$\phi' = (\exists x_1 \forall y_1 \forall z. (x_1 \mapsto y_1, z)) \rightarrow (\forall x_2 \exists y_2. (x_2 \mapsto y_2, y_2)).$$

Since we use the letters  $x, y$  and  $z$  to denote stack variables in SL formulas we now use the letters  $u, v$  and  $w$  for arbitrary labels.

$$\begin{array}{ccc}
\begin{array}{c}
T \exists x. \varphi(x) : (s, u) \\
\downarrow \\
T \varphi(X) : ([s \mid x \mapsto X], u) \quad (1)
\end{array}
&
&
\begin{array}{c}
F \exists x. \varphi(x) : (s, u) \\
\downarrow \\
F \varphi(t) : ([s \mid x \mapsto t], u)
\end{array} \\
\\
\begin{array}{c}
F \forall x. \varphi(x) : (s, u) \\
\downarrow \\
F \varphi(X) : ([s \mid x \mapsto X], u) \quad (1)
\end{array}
&
&
\begin{array}{c}
T \forall x. \varphi(x) : (s, u) \\
\downarrow \\
T \varphi(t) : ([s \mid x \mapsto t], u)
\end{array}
\end{array}$$

(1):  $X$  is a new parameter.

**Figure 8.** Tableau Rules for the Quantifiers.

## 6.1 Eliminating First Order Quantifiers

Let us first note that we only have to deal with the existential quantifier since  $\forall x. \varphi(x)$  is nothing but syntactic sugar for  $\neg \exists x. \neg \varphi(x)$ . Given an entailment  $\psi \models \phi$  between two formulas  $\psi$  and  $\phi$ ,

- $Loc(\psi \models \phi)$  ( $Cst(\psi \models \phi)$ ) is the set of all the locations (constants) occurring in  $\psi \models \phi$ ;
- $Val(\psi \models \phi) = Loc(\psi \models \phi) \cup Cst(\psi \models \phi)$  is the set of all the values occurring in  $\psi \models \phi$ ;
- $Par(\psi \models \phi)$  is a countable set of symbols, called *parameters*, that do not occur in  $Val(\psi \models \phi)$ , i.e.,  $Par(\psi \models \phi) \cap Val(\psi \models \phi) = \emptyset$ ;
- $VP(\psi \models \phi) = Val(\psi \models \phi) \cup Par(\psi \models \phi)$ .

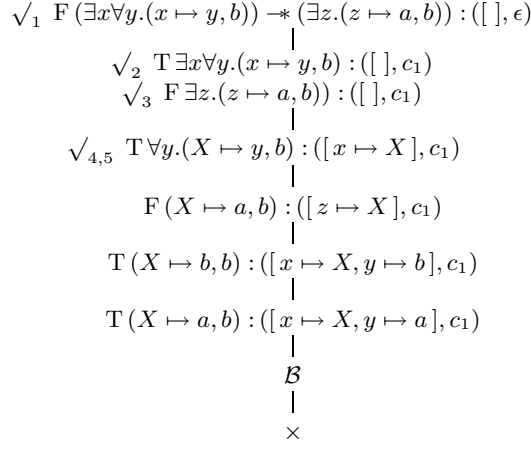
Given a branch  $\mathcal{B}$  in a tableau for  $\psi \models \phi$ ,

- $Loc(\mathcal{B}) = Loc(\psi \models \phi)$ ,  $Cst(\mathcal{B}) = Cst(\psi \models \phi)$  and  $Val(\mathcal{B}) = Val(\psi \models \phi)$ ;
- $Par(\mathcal{B}) (\subseteq Par(\psi \models \phi))$  denotes the set of all the parameters occurring in  $\mathcal{B}$ ;
- $VP(\mathcal{B}) = Val(\mathcal{B}) \cup Par(\mathcal{B})$ .

In order to eliminate quantifiers, we use a standard technique that instantiates variables with values or parameters depending on the sign  $S$  and the quantifier  $Q$  of a labelled formula  $SQx. \varphi(x) : u$  [14]. The corresponding tableau rules are given in Figure 6.1.

Applying a  $T \exists$  rule in a tableau branch  $\mathcal{B}$  then results in the introduction of a parameter  $X \in Par(\psi \models \phi)$  that does not already occur in  $Par(\mathcal{B})$ . Moreover, the variable  $x$  is bound to this new parameter  $X$ , so that the current stack  $s$  is extended in a new stack  $s' = [s \mid x \mapsto X]$ . A key point is therefore that, compared to the propositional case, our tableau calculus now incrementally builds a stack for each tableau branch, initially starting with the empty stack.

Unlike  $F \exists$ , the  $T \exists$  rule reuses either a parameter that already occurs in  $Par(\mathcal{B})$ , or a value that already occurs in  $Val(\mathcal{B})$ . If neither a parameter, nor a value can be chosen because  $VP(\mathcal{B})$  is empty, the  $F \exists$  rule behaves exactly as the  $T \exists$  rule w.r.t. parameter introduction (it generates a new parameter  $X$ ). Following the standard terminology of first-order logic,  $T \exists$  and  $F \forall$  are called  $\delta$ -rules, while  $F \exists$  and  $T \forall$  are called  $\gamma$ -rules. As usual, a  $\gamma$ -rule may be applied to a labelled formula as many times as needed to enumerate all the values and all the parameters occurring in a tableau branch.



**Figure9.** Tableau for  $\exists x \forall y. (x \mapsto y, b) \models \exists z. (z \mapsto a, b)$ .

Let us note that the tableau rules for quantifier elimination only operate on the stack component  $s$  of a generalized label  $(s, u)$  and have therefore no impact on the resource graph of a tableau branch. Indeed, resource graphs capture the properties of the heap structure underlying a particular SL model so that heaps are considered as the actual resources (labels). Consequently, provided that we always take care of discarding the stack component  $s$  of a generalized label  $(s, u)$  (thus obtaining a proper label  $u$  of SLP), all the notions defined in Section 4 (measure, interpretation, structural and logical consistency) lift from SLP to its first order extension without any further modification.

Figure 6.1 illustrates how quantifiers are eliminated in the tableau construction process. Note that we use the letters  $X$ ,  $Y$  and  $Z$  to denote the parameters introduced by the elimination of the variables  $x$ ,  $y$  and  $z$ .

The interesting steps are Step 4 and Step 5. In Step 4, we need to apply the  $\text{T}\forall$  on the labelled formula

$$\text{T}\forall y. (X \mapsto y, b) : ([x \mapsto X], c_1),$$

which requires us to choose a value for  $y$ . Since  $VP(\mathcal{B}) = \{a, b, X\}$ , we have three possibilities and we choose to instantiate  $y$  with  $b$ , which results in the introduction of the labelled formula

$$\text{T}(X \mapsto b, b) : ([x \mapsto X, y \mapsto b], c_1).$$

Obviously, this does not help us closing the tableau branch because the only points-to predicate with sign F occurs in the labelled formula  $\text{F}(X \mapsto a, b) : ([z \mapsto X], c_1)$  introduced in Step 3. However, as  $\text{T}\forall$  is a  $\gamma$ -rule, we can make a second expansion of the labelled formula of Step 4 in order to try another choice, thus leading to Step 5 where  $y$  is instantiated with  $a$ . Such a choice then allows us to close the tableau branch  $\mathcal{B}$  by condition (LC1) of Definition 4.15 since, discarding the stack component of the generalized labels, we have  $\text{F}(X \mapsto a, b) : c_1 \in \mathcal{B}$ ,  $\text{T}(X \mapsto a, b) : c_1 \in \mathcal{B}$  and  $\mathcal{B} \vdash c_1 \sim c_1$ .

Another example is given in Figure 10 where we end up with a tableau that contains two branches  $\mathcal{B}_1$  and  $\mathcal{B}_2$ .  $\mathcal{B}_2$  is closed because for all measures on  $\mathcal{B}_2$ ,  $T \perp : c_1 \in \mathcal{B}_2$  implies that  $\mathcal{B}_2$  is not logically consistent.  $\mathcal{B}_1$  is also closed because it is not measurable (otherwise  $ZUc_2 \in \mathcal{G}(\mathcal{B}_1)$  would imply  $\mu(c_2) = 0$  and  $\mu(c_2) = 1$ ) and thus not structurally consistent.

## 6.2 Dealing with Equality

Rather than adding new tableau rules, we deal with the equality predicate  $=$  through an extension of the notion of logical consistency. More precisely, given a tableau branch  $\mathcal{B}$ , the set  $\mathcal{B}^=$  of all the labelled formulas of the form  $T t_1 = t_2 : (s, u)$  occurring in  $\mathcal{B}$  induces a renaming  $\rho$  such that:

- if  $t_1, t_2$  are parameters then  $t_1/t_2 \in \rho$  ( $t_1$  is renamed as  $t_2$ );
- if  $t_1$  is a parameter and  $t_2$  is a constant then  $t_1/t_2 \in \rho$ ;
- if  $t_1$  is a constant and  $t_2$  is a parameter then  $t_2/t_1 \in \rho$ ;

A tableau branch  $\mathcal{B}$  is now considered logically consistent iff

1.  $\mathcal{B}\rho$  is logically consistent according to Definition 4.15 and,
2. for all labelled formulas  $T t_1 = t_2 : (s, u) \in \mathcal{B}^=$ , considering  $t_1$  and  $t_2$  as terms in a (purely syntactic) term-unification process,
  - either  $S = T$  and  $t_1\rho$  is syntactically equal to (unifies with)  $t_2\rho$  (*LC5*),
  - or  $S = F$  and  $t_1\rho$  is not syntactically equal to (does not unify with)  $t_2\rho$  (*LC6*).

Figure 11 shows how the equality predicate is handled by the tableau calculus. We eventually get two tableau branches  $\mathcal{B}_1$  and  $\mathcal{B}_2$  sharing the following resource graph:

$$\begin{array}{c} \mathcal{G}(\mathcal{B}_1) = \mathcal{G}(\mathcal{B}_2) : \quad \boxed{Uc_2} \quad (X_1 \mapsto a, Y_1) \\ \\ \boxed{Z\epsilon} \quad \boxed{\emptyset c_1} \text{ --- } \boxed{\emptyset c_2 c_3} \\ \\ \boxed{Uc_3} \quad (Z_1 \mapsto c, d) \end{array}$$

Moreover, since  $\mathcal{B}_1$  and  $\mathcal{B}_2$  contain the same labelled formula

$$T(Y_1 = Z_1) : ([x_1 \mapsto X_1, y_1 \mapsto Y_1, z_1 \mapsto Z_1], c_1)$$

and since  $Y_1$  and  $Z_1$  are parameters, the renamings  $\rho_1$  and  $\rho_2$  respectively induced by  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are the same and such that:

$$\rho_1 = \rho_2 = \{Y_1/Z_1\}.$$

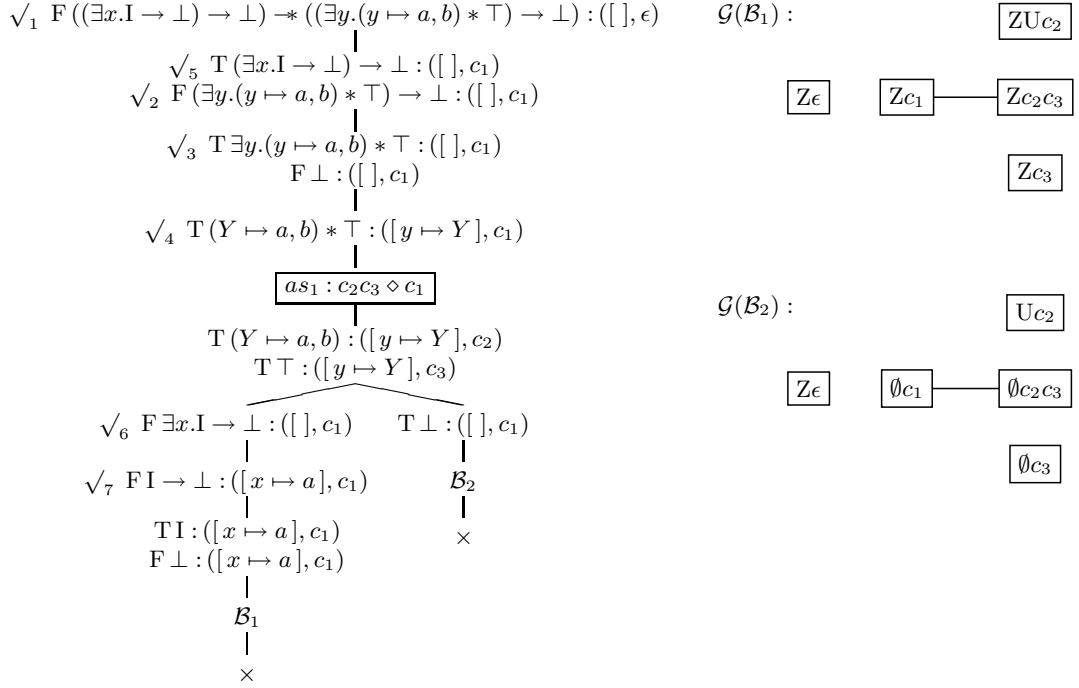
Therefore,  $\mathcal{B}_1\rho_1$  is not logically consistent by condition (*LC1*) of Definition 4.15 since, once the stack component of the generalized labels are discarded, we have

$$T(X_1 \mapsto a, Y_1) : c_2 \in \mathcal{B}_1\rho_1, F(X_1 \mapsto a, Y_1) : c_2 \in \mathcal{B}_1\rho_1 \text{ and } \mathcal{B}_1\rho_1 \vdash c_2 \sim c_2.$$

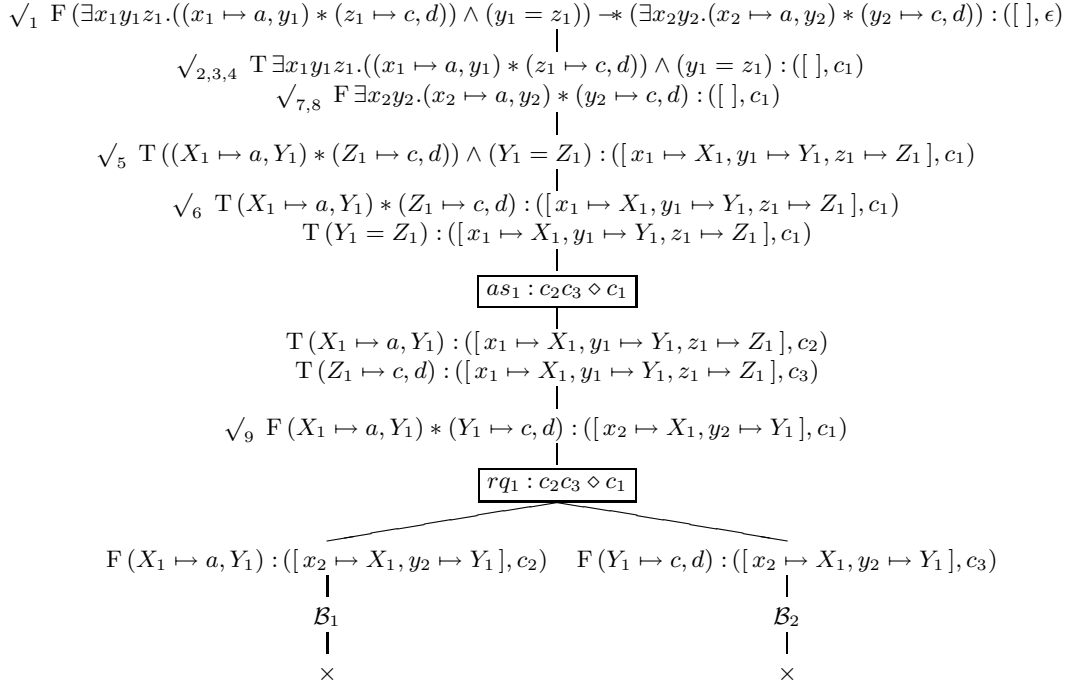
Similarly for  $\mathcal{B}_2\rho_2$ , once  $Y_1$  is renamed as  $X_1$ , we have

$$T(Z_1 \mapsto c, d) : c_3 \in \mathcal{B}_2\rho_2, F(Z_1 \mapsto c, d) : c_3 \in \mathcal{B}_2\rho_2 \text{ and } \mathcal{B}_2\rho_2 \vdash c_3 \sim c_3.$$

It then follows from Definition 4.16 that  $\mathcal{B}_1\rho_1$  and  $\mathcal{B}_2\rho_2$  are closed.



**Figure10.** Tableau for  $((\exists x. I \rightarrow \perp) \rightarrow \perp) \models ((\exists y. (y \mapsto a, b) * \top) \rightarrow \perp)$ .



**Figure11.** Tableau for  $\exists x_1 y_1 z_1. ((x_1 \mapsto a, y_1) * (z_1 \mapsto c, d)) \wedge (y_1 = z_1) \models \exists x_2 y_2. (x_2 \mapsto a, y_2) * (y_2 \mapsto c, d)$ .

### 6.3 Decidability Issues

It is known that full **SL** is not decidable [9]. This undecidability result is obtained through Trakhtenbrot's theorem by showing that any first order formula  $\phi$  with a single binary relation  $R(x, y)$  can be faithfully encoded in **SL** using points-to predicates. The encoding  $rd(\phi)$  proceeds as follows (with  $(x \hookrightarrow a, b)$  being syntactic sugar for  $(x \mapsto a, b) * \top$ ):

$$\begin{aligned} rd(\phi) &= (\exists x. (x \hookrightarrow nil, nil)) \rightarrow prd(\phi) \\ prd(R(x, y)) &= (\exists z. (z \hookrightarrow x, y)) \wedge (x \hookrightarrow nil, nil) \wedge (y \hookrightarrow nil, nil) \\ prd(\phi \rightarrow \psi) &= prd(\phi) \rightarrow prd(\psi) \\ prd(\perp) &= \perp \\ prd(x = y) &= (x = y) \wedge (x \hookrightarrow nil, nil) \\ prd(\exists x. \phi) &= \exists x. ((x \hookrightarrow nil, nil) \wedge prd(\phi)) \end{aligned}$$

Intuitively, the translation encodes the relation and its universe by heap cells and the guard  $\exists x. (x \mapsto nil, nil)$  in the definition of  $rd$  ensures that the universe of a finite structure is not empty (see [9] for further details). Even if **SL** is undecidable, some recent works aim at finding particular fragments for which decidability can be obtained. For example, one such fragment is given in [1].

A fragment for which undecidability has been established very recently [7] is the fragment where points-to predicates are restricted so that the cells contain only one location. More precisely, let us call **SL1** the variant of **SL** in which the points-to predicates are of the form  $x \mapsto y$ , where  $y$  can only be bound to a location ( $nil$  being a special location).

Let us remark that the undecidability of the **SL1** fragment cannot be deduced from the proof given in [9]. Indeed, the encoding  $rd(\phi)$  no longer works with the restricted form of the points-to predicate since  $prd(R(x, y))$  requires that the heap cells should be pairs of values. However, this fragment seems very interesting in the context of shape analysis where one only cares about the shape of a structure and not about the data it contains. For example, a property such as the circularity of a list does not depend on the data contained in the nodes of the list.

Let us analyze this decidability problem from the proof-search point of view with our new calculus. It is clear that the undecidability of full **SL** entails that our tableau system **TSL** does not always terminate for all inputs. For example, consider the entailment

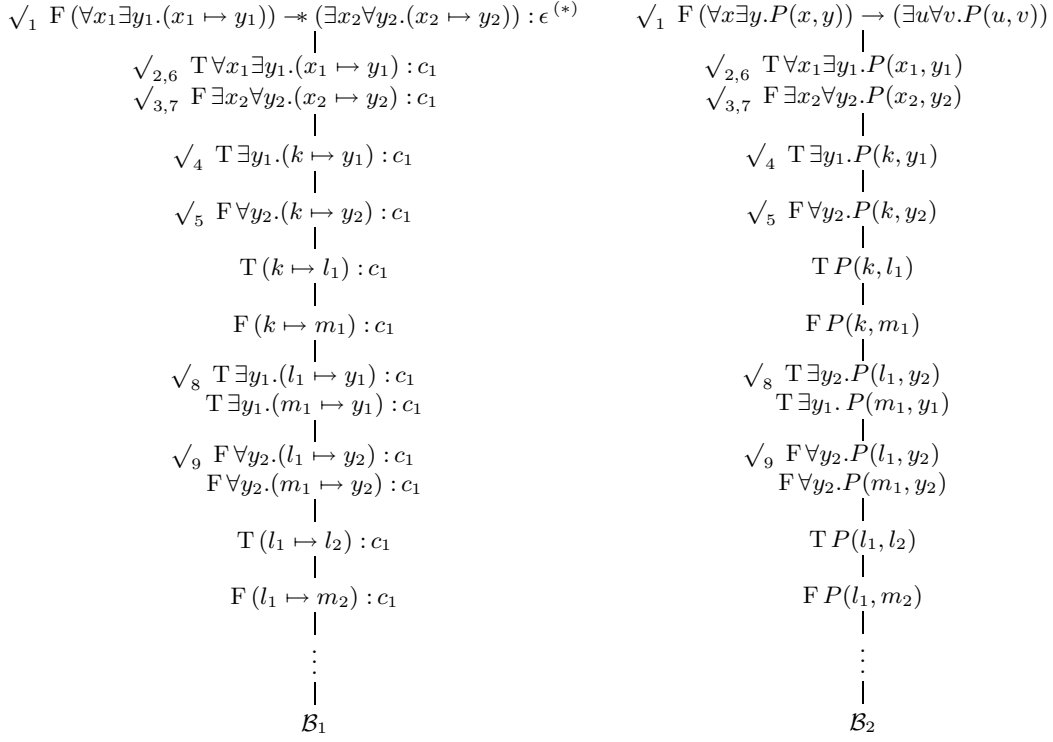
$$\forall x_1 \exists y_1. (x_1 \mapsto y_1) \models (\exists x_2 \forall y_2. (x_2 \mapsto y_2))$$

for which a tableau is given on the left-hand-side of Figure 12. On the right-hand-side of Figure 12 we give a tableau for the first-order formula

$$(\forall x_1 \exists y_1. P(x_1, y_1)) \rightarrow (\exists x_2 \forall y_2. P(x_2, y_2))$$

where points-to predicates are replaced by an uninterpreted predicate  $P$  in order to show the difference between **TSL** and the standard tableau system for first-order logic. It is clear that if one relies only on the expansion rules, then both tableaux grow infinitely because there are labelled formulas of type  $\delta$  in the scope of labelled formulas of type  $\gamma$ . Since  $\delta$  labelled formulas endlessly generate fresh locations (parameters for the second tableau) to be used with the  $\gamma$  labelled formulas, the tableau method cannot terminate.





(\*) For readability we omit the stack component in the labels.

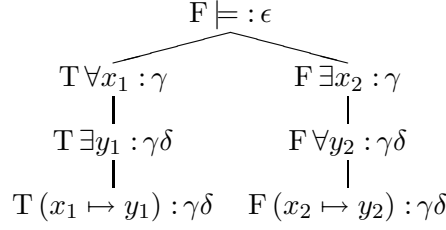
**Figure12.** Tableau for  $\forall x_1 \exists y_1. (x_1 \mapsto y_1) \models \exists x_2 \forall y_2. (x_2 \mapsto y_2)$ .

However, after Step 4, the tableau branch  $\mathcal{B}_1$  contains a labelled formula  $\text{T } (k \mapsto l_1) : c_1$  and thus also an assertion  $\text{Uc}_1$  (not explicitly shown), which necessarily implies  $\mu(c_1) = 1$  for all measures  $\mu$  on  $\mathcal{B}_1$ . Therefore, as soon as Step 8 is performed,  $\mathcal{B}_1$  becomes structurally inconsistent since we have  $\mu(c_1) = 1$  and the introduction of  $\text{T } (l_1 \mapsto l_2) : c_1$  requires that all interpretations  $\lambda$  on  $\mathcal{B}_1$  should be such that  $\{\{k, l_1\}\} \subseteq \lambda^L(c_1)$ , which obviously contradicts condition (SC2) of Definition 4.12 since  $|\lambda^L(c_1)| \not\leq \mu(c_1)$ .

The previous example clearly shows that, in the case of TSL, one can sometimes make use of the additional information conveyed by the notions of measures and interpretations to devise termination criteria for some specific fragments.

**Definition 6.1 (prefixed formula).** A prefixed formula is a triple  $(S, \phi, p)$ , denoted  $S\phi : p$ , such that  $S\phi$  is a signed formula and  $p$  is a word (string) over the alphabet  $\{\gamma, \delta\}$  called a prefix.

**Definition 6.2 (prefix tree).** Let  $\psi$  and  $\phi$  be two formulas of SL1, the prefix tree for the entailment  $\psi \models \phi$ , denoted  $\mathcal{PT}(\psi \models \phi)$ , is induced by the syntactic structure of  $\psi$  and  $\phi$  so that each node  $n$  in  $\mathcal{PT}(\psi \models \phi)$  is associated with a prefix  $\text{pref}(n)$  ( $\in \{\gamma, \delta\}^*$ ) given by the following (inductive) definition:



**Figure13.** Prefix Tree for  $\forall x_1 \exists y_1. (x_1 \mapsto y_1) \models \exists x_2 \forall y_2. (x_2 \mapsto y_2)$

- if  $n$  is the root node, then,  $\text{pref}(n) = \epsilon$ , where  $\epsilon$  is the empty string,
- otherwise, let  $p$  be the parent node of  $n$  in the prefix tree
  - if  $n$  is labelled with a quantifier of type  $\gamma$  ( $F \exists, T \forall$ ), then,  $\text{pref}(n) = \text{pref}(p)\gamma$ ;
  - if  $n$  is labelled with a quantifier of type  $\delta$  ( $T \exists, F \forall$ ), then,  $\text{pref}(n) = \text{pref}(p)\delta$ ;
  - otherwise,  $\text{pref}(n) = \text{pref}(p)$ .

In other words, starting with the empty string  $\epsilon$  at the root node and moving toward the leaves, we append a letter  $\gamma$  (respectively  $\delta$ ) to the current prefix each time we cross a node labelled with a quantifier of type  $\gamma$  (respectively  $\delta$ ). For example, the prefix tree for the entailment of Figure 12 is given in Figure 13.

We write  $\text{last}(p)$  to denote the prefix obtained from a prefix  $p$  by discarding its last letter (e.g.,  $\text{last}(\gamma\delta\gamma) = \gamma\delta$ ). Moreover, given a prefixed formula  $F = S\phi \odot \psi : p$  (where  $\odot \in \{\wedge, \vee, \mapsto, *, \neg*\}$ ), we define  $\text{lsf}(F)$  and  $\text{rsf}(F)$  respectively as the left and right (prefixed) subformulas of  $F$ <sup>7</sup>, for instance,

$$\text{lsf}(F\phi \neg* \psi : p) = T\phi : p \quad \text{and} \quad \text{rsf}(F\phi \neg* \psi : p) = F\psi : p$$

**Definition 6.3 (path).** Given two formulas  $\psi$  and  $\phi$  of SL1, a set of prefixed formulas is a path through  $\psi \models \phi$  iff it can be obtained from the set  $\{F\psi \neg* \phi : \epsilon\}$  by a repeated application of the following (path reduction) rules:

$$\begin{array}{c}
\frac{\Gamma, \text{lsf}(F), \text{rsf}(F)}{\Gamma, F} \quad \frac{\Gamma, F\varphi : p}{\Gamma, F\exists x.\varphi : \text{last}(p)} \quad \frac{\Gamma, T\varphi : q}{\Gamma, T\exists x.\varphi : \text{last}(q)} \\
\\
\frac{\Gamma, \text{lsf}(G) \quad \Gamma, \text{rsf}(G)}{\Gamma, G} \quad \frac{\Gamma, T\varphi : q}{\Gamma, T\forall x.\varphi : \text{last}(q)} \quad \frac{\Gamma, F\varphi : p}{\Gamma, F\forall x.\varphi : \text{last}(p)}
\end{array}$$

where  $F$  ( $G$ ) is a prefixed formula of type  $\alpha$  or  $\pi\alpha$  ( $\beta$  or  $\pi\beta$ ),  $p$  ( $q$ ) is the prefix associated to  $F\varphi$  ( $T\varphi$ ) in the prefix tree for  $\psi \models \phi$ .

A path is irreducible if no path reduction rule can be applied to it. The set of all irreducible paths through  $\psi \models \phi$  is denoted  $\text{Paths}(\psi \models \phi)$ <sup>8</sup>.

Figure 14 gives an example of path reduction for the entailment of Figure 13 which results in a set of irreducible paths  $\text{Paths}(\psi \models \phi) = \{\Gamma\}$  containing only one irreducible path  $\Gamma = \{T(x_1 \mapsto y_1) : \gamma\delta, F(x_2 \mapsto y_2) : \gamma\delta\}$ .

<sup>7</sup> The sign of each prefixed subformula is deduced from the sign of the parent formula as described in Figure 1 for the corresponding labelled formulas.

<sup>8</sup> All path reduction rules are permutable and thus lead to the same set of irreducible paths.

$$\begin{array}{c}
\frac{}{\overline{T(x_1 \mapsto y_1) : \gamma\delta, F(x_2 \mapsto y_2) : \gamma\delta}} \\
\frac{}{\overline{T(x_1 \mapsto y_1) : \gamma\delta, F\forall y_2.(x_2 \mapsto y_2) : \gamma\delta}} \\
\frac{}{\overline{T(x_1 \mapsto y_1) : \gamma\delta, F\exists x_2\forall y_2.(x_2 \mapsto y_2) : \gamma}} \\
\frac{}{\overline{T\exists y_1.(x_1 \mapsto y_1) : \gamma\delta, F\exists x_2\forall y_2.(x_2 \mapsto y_2) : \gamma}} \\
\frac{}{\overline{T\forall x_1\exists y_1.(x_1 \mapsto y_1) : \gamma, F\exists x_2\forall y_2.(x_2 \mapsto y_2) : \gamma}} \\
\frac{}{F\forall x_1\exists y_1.(x_1 \mapsto y_1) \multimap \exists x_2\forall y_2.(x_2 \mapsto y_2) : \epsilon}
\end{array}$$

**Figure 14.** Path Reduction for  $\forall x_1\exists y_1.(x_1 \mapsto y_1) \models \exists x_2\forall y_2.(x_2 \mapsto y_2)$ .

Using the notions of prefix tree and irreducible paths we can now proceed with a proof-theoretic characterization of a decidable fragment of SL1.

**Definition 6.4.** Let  $\phi$  and  $\psi$  be two formulas of SL1, we say that  $\psi \models \phi$  is a positive entailment iff for all paths  $\Gamma$  through  $\psi \models \phi$ ,

- $\Gamma$  contains at least one positive points-to predicate, more formally, one prefixed formula of the form  $T(x \mapsto y) : p$
- for at least one positive points-to predicate  $T(x \mapsto y) : p$  in  $\Gamma$ , if  $\gamma\delta$  is a substring of the prefix  $p$ , then  $\gamma$  is substring of the prefix  $q$  associated to the prefixed formula  $SQx.\varphi : q$  ( $Q \in \{\exists, \forall\}$ ) that binds  $x$ <sup>9</sup>.

We can see from the prefix tree depicted in Figure 13 that the entailment

$$\forall x_1\exists y_1.(x_1 \mapsto y_1) \models \exists x_2\forall y_2.(x_2 \mapsto y_2)$$

is a positive entailment since the only irreducible path  $\{T(x_1 \mapsto y_1) : \gamma\delta, F(x_2 \mapsto y_2) : \gamma\delta\}$  through it contains a prefixed formula  $T(x_1 \mapsto y_1) : p$  such that  $p = \gamma\delta$  and the prefixed formula  $T\forall x_1\exists y_1.(x_1 \mapsto y_1) : \gamma$  that binds  $x_1$  contains  $\gamma$  in its prefix.

**Theorem 6.1.** All positive entailments  $\psi \models \phi$  in SL1 are decidable.

*Proof.* Let  $\mathcal{B}$  a tableau branch,  $\mu$  be a measure and  $\lambda$  be an interpretation on  $\mathcal{B}$ . Given that the tableau rules for quantifier elimination do not introduce new labels but only introduce new locations, if  $\mathcal{B}$  grows infinitely without being closed then there must be at least one labelled formula  $S_1 Q_1 x_1.\varphi_1 : (s_1, u_1)$  of type  $\delta$ , standing in the scope of a labelled formula  $S_2 Q_2 x_2.\varphi_2 : (s_2, u_2)$  of type  $\gamma$ , which generates an infinite set  $L$  of locations  $l_1, l_2, \dots$

Since  $\psi \models \phi$  is a positive entailment, any path through  $\psi \models \phi$  contains at least one prefixed formula  $P$  of the form  $T(x \mapsto k) : p$ . Therefore,  $\mathcal{B}$  can be expanded so as to contain at least one labelled formula  $T(l_1 \mapsto y_1) : (s_3, u_3)$  corresponding to  $P$  for some location  $y_1$  in  $L$ . Since Definition 6.4, implies that  $T(l_1 \mapsto y_1) : (s_3, u_3)$  stands in the scope of a labelled formula of type  $\gamma$ ,  $\mathcal{B}$  can be expanded once again so as to contain an additional occurrence  $T(l_2 \mapsto y_2) : (s_4, u_3)$  of  $P$  for some location  $y_2$  in  $L$ . It then follows that  $\mathcal{B}$  cannot be structurally consistent because for all measures  $\mu$  on  $\mathcal{B}$ ,  $T(l_1 \mapsto y_1) : (s_3, u_3)$  implies  $\mu(u_3) = 1$ , which contradicts condition (SC2) of Definition 4.12 since  $\lambda^L(u_3) \supseteq \{\{l_1, l_2\}\}$  implies  $|\lambda^L(u_3)| \geq 2$  and obviously  $2 \not\leq 1$ .

<sup>9</sup> Recall that we assume that a stack variable is bound by a exactly one quantifier.

## 7 Conclusions and Perspectives

Separation Logic provides an interesting formalism for the verification of programs with pointers and allows one to express properties about data structures with shared mutable state [17,24]. In this paper, we have studied proof-theoretic foundations for this logic and provided a new characterization of validity in SL that is based on a theorem-proving approach from a particular tableau calculus, with labels and constraints, that builds resource graphs from which countermodels can be extracted.

So far, most of the tools dedicated to the mechanized verification of specifications written in SL were mainly based on a model-checking approach [2,25]. Further work will be devoted to the implementation of our tableau calculus and to the investigation of useful extensions, such as inductive definitions, in order to provide new tools for proving assertions in SL. Moreover, a deeper study on combining the model-checking approach with our tableau-based approach could be helpful in order to improve automated verification in SL. As we can generate countermodels in case of non-validity, studying how an initial specification can be refined in case of failure could also be very interesting.

A key feature of the present work is that provability is captured via two distinct notions: structural and logical consistency. The former ensures that a resource graph denotes an actual model, the latter ensures that a formula can be falsified in some model. We can therefore distinguish whether a formula is valid for intrinsic logical reasons or because the conditions required for a given structure to be a model in the class of SL models are too restrictive to allow the existence of a model. This central point also makes the verification method more modular as one could change the conditions for structural consistency in order to match other classes of resource models. For example, one could consider resource models for which the composition is not disjoint, or is not an aggregation.

Further works will also concern the application of the same general methodology based on the capture of the semantic relation of entailment through resource graphs to other logics related to SL. We can mention the affine variant of SL [17] with intuitionistic additives that allows one to prove interesting properties about sharing, and also some spatial logics that can be seen as extensions of BBI like the Ambient Logic [12], labelled tree models [11] or Context Logic [8]. As model-checking techniques are the only one available for these logics, our present contributions could be seen as a first step towards designing theorem-proving methods and tools in the context of program verification. Finally, some comparisons with our recent work on a separation logic for resource trees [4] (from both specification and theorem-proving standpoints), as well as comparisons with existing works on theorem-proving for pointer programs [18,20,23] (even if they do not deal with separation logics) could also help us improve and refine our results.

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