

# The Braga method and the extraction of complex recursive algorithms

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The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq  
Extraction  
The Braga method  
First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm  
The computational graph  
Termination certificates  
The partial dfs algo.  
Simulating Ind.-Recursion  
High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain  
nm.pwc  
Logical contents  
IR scheme  
Extraction

# Standard Recursion in Coq

- ▶ Structural recursion, rec. calls on sub-terms

$$\text{fact } 0 = S \ 0 \quad \text{fact } (S \ n) = S \ n \times \text{fact } n$$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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- ▶ Well-founded recursion for  $R : X \rightarrow X \rightarrow \text{Prop}$

Inductive Acc  $R$   $x$  : Prop :=  
| Acc\_intro : ( $\forall y, R \ y \ x \rightarrow \text{Acc } R \ y$ )  $\rightarrow$  Acc  $R \ x$ .

Fixpoint  $f \ x$  ( $T_x : \text{Acc } R \ x$ ) {struct  $T_x$ } :=  
...  $f \ y \ T_y$  ...

- ▶ Must define  $R$  before  $f$ , prove Acc  $R \ x$  and ensure

$$\boxed{T_y <_{\text{struct}} T_x}$$

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- ▶ Must define  $R$  before  $f$ , prove  $\text{Acc } R \ x$  and ensure

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- ▶ Particular case, decreasing measure (using `lt_wf`):
  - ▶  $R \ x \ y$  is  $m \ x < m \ y$  for some  $m : X \rightarrow \text{nat}$
- ▶ Too strong constraints for general recursion?

# Complicated recursive schemes

- ▶ No obvious structural recursion, nor termination:

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$$\text{iter}_0 \ f \ n = \text{if } n = 0 \text{ then } [] \text{ else } n :: \text{iter}_0 \ f \ (f \ n)$$

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- ▶ Complicated termination proof ( $\text{succs} : \mathcal{V} \rightarrow \mathbb{L} \mathcal{V}$ ):

$$\text{dfs } v \ [] = v$$
$$\text{dfs } v \ (x :: l) = \text{dfs } v \ l \quad \text{if } x \in v$$
$$\text{dfs } v \ (x :: l) = \text{dfs } (x :: v) \ (\text{succs } x \ ++ \ l) \quad \text{if } x \notin v$$



# More complicated recursive schemes

- ▶ Nesting/mutual recursion:

McCarthy  $f_{91} x = \text{if } x > 100 \text{ then } x - 10 \text{ else } f_{91}^2(x + 11)$

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where  $f^n x = \text{iter}_p f n x$

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- ▶ Nesting&hard termination:  $\text{unif } (m \cdot n) (m' \cdot n')$  is

$$\begin{cases} \emptyset & \text{if } \text{unif } m m' = \emptyset \\ \emptyset & \text{if } \text{unif } m m' = [\rho] \text{ and } \text{unif } (\rho n) (\rho n') = \emptyset \\ [\sigma \circ \rho] & \text{if } \text{unif } m m' = [\rho] \text{ and } \text{unif } (\rho n) (\rho n') = [\sigma] \end{cases}$$

# Extraction in Coq

- ▶ Extraction = Coq command
  - ▶ auto. maps a Coq term to a program (OCaml)
  - ▶ captures the Computational Contents (CC)

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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- ▶ Consider a fully specified term  $t$ :

$$t : \forall x : X, \mathbb{D} x \rightarrow \{y : Y \mid \mathbb{G} x y\}$$

$\mathbb{D} : X \rightarrow \text{Prop}$	Domain	Pre-condition
$\mathbb{G} : X \rightarrow Y \rightarrow \text{Prop}$	Specification	Post-condition

## Introduction

Recursion in Coq

### Extraction

The Braga method

First example: F91

## $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

## Paulson's normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

## Introduction

Recursion in Coq

## Extraction

The Braga method

First example: F91

 $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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$$\begin{array}{l|l|l} \mathbb{D} : X \rightarrow \text{Prop} & \text{Domain} & \text{Pre-condition} \\ \mathbb{G} : X \rightarrow Y \rightarrow \text{Prop} & \text{Specification} & \text{Post-condition} \end{array}$$

- ▶  $\mathbb{D} x$  (domain) and  $\mathbb{G} x y$  (spec)
  - ▶ are **erased at extraction**
  - ▶  $\text{EXTR}(t) : \text{EXTR}(X) \rightarrow \text{EXTR}(Y)$

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  - ▶ are **erased at extraction**
  - ▶  $\text{EXTR}(t) : \text{EXTR}(X) \rightarrow \text{EXTR}(Y)$
- ▶ What do  $\mathbb{D}$  and  $\mathbb{G}$  become?
  - ▶ it depends...
  - ▶ now: they are just erased
  - ▶ ideally (shortly ?): correctness of  $\text{EXTR}(t)$

## Introduction

Recursion in Coq

### Extraction

The Braga method

First example: F91

## $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

## Paulson's normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# Certification by Extraction

- ▶ How to certify by extraction ?
  - ▶ From a given OCaml algo.  $\varphi : \alpha \rightarrow \beta$
  - ▶ Get  $\varphi = \text{EXTR}(t_\varphi) : \text{EXTR}(X_\alpha) \rightarrow \text{EXTR}(X_\beta)$

$\mathbb{D}_\varphi : X_\alpha \rightarrow \text{Prop}$

$\mathbb{G}_\varphi : X_\alpha \rightarrow X_\beta \rightarrow \text{Prop}$

$t_\varphi : \forall x : X_\alpha, \mathbb{D}_\varphi x \rightarrow \{y : X_\beta \mid \mathbb{G}_\varphi x y\}$

Domain  
Specification

Implementation



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- ▶  $\mathbb{D}_\varphi x$  (domain) and  $\mathbb{G}_\varphi x y$  (spec)
  - ▶ erased at extraction
  - ▶ but **contain the statement of correctness**
- ▶ Problem: how to define such a  $t_\varphi$  in Coq ?
  - ▶ no let rec, only restricted Fixpoints (struct)
  - ▶ How to control the CC ?

# Some influential references

- ▶ Non-constructive recursion:
  - ▶ *Termination of Nested and Mutually Recursive Algorithms* (Giesl 97)
  - ▶ *Partial and Nested Recursive Function Definitions in Higher-Order Logic* (Krauss 09)
  - ▶ *Partiality and Recursion in Interactive Theorem Provers - An Overview* (Bove&Krauss&Sozeau 15)

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  - ▶ *The Braga method* (Types 2018 & WS 2021)

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  - ▶ the Equations package (2010 & 2019)
  - ▶ *The Braga method* (Types 2018 & WS 2021)
- ▶ Extraction related:
  - ▶ Extraction in Coq (P. Letousey's thesis 2004)
  - ▶ MetaCoq and  $\mathbb{C}\text{Euf}$  (CPP'18)

# The Braga method, an overview

- ▶ Techniques in Coq with standard tools:
  - ▶ implement spec while controlling CC
  - ▶ separate defs. from correctness proofs
  - ▶ non-terminating algo.
  - ▶ nested&mutual non-terminating algo
  - ▶ but no co-recursion

## Introduction

Recursion in Coq

Extraction

**The Braga method**

First example: F91

## $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

## Paulson's normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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  - ▶ Program Fixpoint for measure induction
  - ▶ Equations (great to define)
  - ▶ not so great to control CC
  - ▶ but compatible with Braga

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  - ▶ Equations (great to define)
  - ▶ not so great to control CC
  - ▶ but compatible with Braga
- ▶ Illustrated on examples:
  - ▶  $\infty$ -loop, DFS, F91 (generalized)
  - ▶ control of CC and separation of LC from CC



# F91 for the knowledgeable (10 loc)

- ▶ One nested recursive call

$f91\ n = \text{if } n > 100 \text{ then } n-10 \text{ else } f91(f91(n+11))$

- ▶ Provide you know the spec:  $f91\_pred\ n\ (f91\ n)$

$$f91\_pred\ n\ o := \begin{cases} n > 100 \wedge o = n - 10 \\ \vee\ n \leq 100 \wedge o = 91 \end{cases}$$

- ▶ And the termination measure:  $M := \lambda n, 101 - n$

- ▶ One can define:

$$f91\_pwc\ (n : \text{nat}) : \{o : \text{nat} \mid f91\_pred\ n\ o\}$$

- ▶ By (strong) induction on the measure  $101 - n$ 
  - ▶  $f91\_pred\ (n + 11)\ x$  needed for term. of  $f91\ x$

# F91 for the clueless

- ▶ The clueless has no intuition on 10, 11, 100...
- ▶ Sees the generalization for  $a : X \rightarrow \text{bool}$  and  $b, c : X \rightarrow X$

$$f\ x = \text{if } a\ x \text{ then } b\ x \text{ else } f(f(c\ x))$$

- ▶ The spec is harder to guess...
- ▶ Might not terminate
  - ▶ eg if  $a\ x = \text{false}$  for any  $x$
- ▶ How to proceed in this case?
  - ▶ we need a mechanic procedure
- ▶ The Braga method is agnostic:
  - ▶ to post-conditions (specs)
  - ▶ to pre-conditions (termination)

# The induction principle for False

- ▶ The empty proposition False:

Inductive False : Prop := .

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

∞-loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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- ▶ The empty proposition False:

Inductive False : Prop := .

- ▶ False\_rect :  $\forall X : \text{Type}, \text{False} \rightarrow X$

Definition False\_rect  $X (f : \text{False}) : X :=$   
match  $f$  return  $X$  with end.

# The induction principle for False

- ▶ The empty proposition False:

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Inductive False : Prop := .
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- ▶ `False_rect` :  $\forall X : \text{Type}, \text{False} \rightarrow X$

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Definition False_rect X (f : False) : X :=  
  match f return X with end.
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- ▶ extracts to

```
let false_rect _ = assert false
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- ▶ interpretation of partiality: exception/error

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```

- ▶ interpretation of partiality: exception/error
- ▶ is there another proof of `False_rect`?

# Eliminating False with an infinite loop

- ▶ Looping on False with dummy unit argument:
- ▶  $\text{loop} : \forall X : \text{Type}, \text{unit} \rightarrow \text{False} \rightarrow X$

```
fix loop {X} (_ : unit) (f : False) : X :=  
  loop tt (match f return False with end).
```

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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- ▶ Alt. elim.:  $\text{False\_loop} : \forall X : \text{Type}, \text{False} \rightarrow X$

```
Definition False_loop X := @loop X tt
```

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Definition False_loop X := @loop X tt
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```
let false_loop _ =  
  let rec loop _ = loop ()  
  in loop ()
```

# First take home idea

- ▶ Matching on False:

```
match  $f$  : False return  $X$  with end
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The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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- ▶ is **structurally smaller than any term** in  $X$ 
  - ▶ when  $X$  is an inductive type

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- ▶ Matching on False:

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match f : False return X with end
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- ▶ is a term of **any type**  $X$
- ▶ is **structurally smaller than any term** in  $X$ 
  - ▶ when  $X$  is an inductive type
- ▶ used extensively to rule out absurd cases
  - ▶ the `exfalse` tactic
  - ▶ the `discriminate` tactic
  - ▶ the `destruct` tactic on  $H : \dots \rightarrow \dots \rightarrow \text{False}$
  - ▶ absurd cases for inversion

# Depth First Search

```
let rec  $x \in_v^?$  v =  
  match v with  
  | [] → false  
  |  $y :: w \rightarrow y = x$  or  $x \in_v^? w$ 
```

```
let rec dfs v l =  
  match l with  
  | [] → v  
  |  $x :: l \rightarrow$  if  $x \in_v^? v$   
    then dfs v l  
    else dfs (x :: v) (succs x @ l)
```

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

**The algorithm**

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

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```
let rec dfs v l =  
  match l with  
  | [] → v  
  |  $x :: l \rightarrow$  if  $x \in_{\mathcal{V}}^? v$   
                    then dfs v l  
                    else dfs (x :: v) (succs x @ l)
```

- ▶ For  $=_{\mathcal{V}}^? : \forall x y : \mathcal{V}, \{b \mid x = y \iff b = \text{true}\}$
- ▶  $\text{succs} : \mathcal{V} \rightarrow \text{list } \mathcal{V}$  (directed graph structure)



# Depth First Search

```
let rec  $x \in_{\mathcal{V}}^?$   $v =$   
  match  $v$  with  
  | []  $\rightarrow$  false  
  |  $y :: w \rightarrow y = x$  or  $x \in_{\mathcal{V}}^? w$ 
```

```
let rec dfs  $v$   $l =$   
  match  $l$  with  
  | []  $\rightarrow v$   
  |  $x :: l \rightarrow$  if  $x \in_{\mathcal{V}}^? v$   
                 then dfs  $v$   $l$   
                 else dfs  $(x :: v)$  (succs  $x$  @  $l$ )
```

- ▶ For  $=_{\mathcal{V}}^? : \forall x y : \mathcal{V}, \{b \mid x = y \iff b = \text{true}\}$
- ▶ succs :  $\mathcal{V} \rightarrow \text{list } \mathcal{V}$  (directed graph structure)
- ▶ Specification is not obvious
  - ▶ When/why does it terminate?
  - ▶ What is the output?

# From the algo. to its computational graph

- From the dfs algorithm only

```
let rec dfs v l =  
  match l with  
  | []      → v  
  | x :: l → if x ∈? l  
              then dfs v l  
              else dfs (x :: v) (succs x @ l)
```

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

**The computational graph**

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# From the algo. to its computational graph

- From the dfs algorithm only

```
let rec dfs v / =  
  match / with  
  | [] → v  
  | x :: l → if x ∈? v  
              then dfs v /  
              else dfs (x :: v) (succs x @ l)
```

- Graph  $\mathbb{G}_{\text{dfs}} : \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{Prop}$

$$\frac{}{\mathbb{G}_{\text{dfs}} v [] v} \quad \frac{x \in<sup>?</sup> v \quad \mathbb{G}_{\text{dfs}} v / o}{\mathbb{G}_{\text{dfs}} v (x :: l) o}$$
$$\frac{x \notin<sup>?</sup> v \quad \mathbb{G}_{\text{dfs}} (x :: v) (\text{succs } x ++ l) o}{\mathbb{G}_{\text{dfs}} v (x :: l) o}$$

# From the algo. to its computational graph

- ▶ From the dfs algorithm only

```
let rec dfs v / =  
  match / with  
  | []      → v  
  | x :: l → if x ∈? v  
              then dfs v /  
              else dfs (x :: v) (succs x @ l)
```

- ▶ Graph  $\mathbb{G}_{\text{dfs}} : \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{Prop}$

$$\frac{}{\mathbb{G}_{\text{dfs}} v [] v} \qquad \frac{x \in<sup>?</sup> v \quad \mathbb{G}_{\text{dfs}} v / o}{\mathbb{G}_{\text{dfs}} v (x :: l) o}$$
$$\frac{x \notin<sup>?</sup> v \quad \mathbb{G}_{\text{dfs}} (x :: v) (\text{succs } x ++ l) o}{\mathbb{G}_{\text{dfs}} v (x :: l) o}$$

- ▶ functional:  $\mathbb{G}_{\text{dfs}} v / o_1 \rightarrow \mathbb{G}_{\text{dfs}} v / o_2 \rightarrow o_1 = o_2$ .

# From the graph to the domain predicate

► Graph  $\mathbb{G}_{\text{dfs}}$

$$\frac{\mathbb{G}_{\text{dfs}} \ v \ [] \ v}{x \notin_v^? \ v \ \mathbb{G}_{\text{dfs}} \ (x :: v) \ (\text{succs } x \ ++ \ l) \ o}$$
$$\frac{x \in_v^? \ v \ \mathbb{G}_{\text{dfs}} \ v \ / \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$
$$\frac{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

**The computational graph**

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# From the graph to the domain predicate

- ▶ Graph  $\mathbb{G}_{\text{dfs}}$

$$\frac{\mathbb{G}_{\text{dfs}} \ v \ [] \ v}{x \notin_{\mathcal{V}}^? \ v \ \mathbb{G}_{\text{dfs}} \ (x :: v) \ (\text{succs } x \ ++ \ l) \ o}$$
$$\frac{x \in_{\mathcal{V}}^? \ v \ \mathbb{G}_{\text{dfs}} \ v \ / \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$
$$\frac{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$

- ▶ We erase the **output parameter**!
  - ▶ i.e. we project on the first two parameters

# From the graph to the domain predicate

- ▶ Graph  $\mathbb{G}_{\text{dfs}}$

$$\frac{}{\mathbb{G}_{\text{dfs}} \ v \ [] \ v} \quad \frac{x \in \mathcal{V} \ v \ \mathbb{G}_{\text{dfs}} \ v \ / \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$

$$\frac{x \notin \mathcal{V} \ v \ \mathbb{G}_{\text{dfs}} \ (x :: v) \ (\text{succs } x \ ++ \ l) \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$

- ▶ We erase the **output parameter!**
  - ▶ i.e. we project on the first two parameters
- ▶ Domain  $\mathbb{D}_{\text{dfs}} : \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{Prop}$

$$\frac{}{\mathbb{D}_{\text{dfs}} \ v \ []} \langle \mathbb{D}_{\text{dfs}}^1 \rangle \quad \frac{x \in \mathcal{V} \ v \ \mathbb{D}_{\text{dfs}} \ v \ /}{\mathbb{D}_{\text{dfs}} \ v \ (x :: l)} \langle \mathbb{D}_{\text{dfs}}^2 \rangle$$

$$\frac{x \notin \mathcal{V} \ v \ \mathbb{D}_{\text{dfs}} \ (x :: v) \ (\text{succs } x \ ++ \ l)}{\mathbb{D}_{\text{dfs}} \ v \ (x :: l)} \langle \mathbb{D}_{\text{dfs}}^3 \rangle$$

# From the graph to the domain predicate

- ▶ Graph  $\mathbb{G}_{\text{dfs}}$

$$\frac{}{\mathbb{G}_{\text{dfs}} \ v \ [] \ v}$$

$$\frac{x \in \mathcal{V} \ v \ \mathbb{G}_{\text{dfs}} \ v \ / \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$

$$\frac{x \notin \mathcal{V} \ v \ \mathbb{G}_{\text{dfs}} \ (x :: v) \ (\text{succs } x \ ++ \ l) \ o}{\mathbb{G}_{\text{dfs}} \ v \ (x :: l) \ o}$$

- ▶ We erase the **output parameter!**
  - ▶ i.e. we project on the first two parameters
- ▶ Domain  $\mathbb{D}_{\text{dfs}} : \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{Prop}$

$$\frac{}{\mathbb{D}_{\text{dfs}} \ v \ [] \ \langle \mathbb{D}_{\text{dfs}}^1 \rangle}$$

$$\frac{x \in \mathcal{V} \ v \ \mathbb{D}_{\text{dfs}} \ v \ /}{\mathbb{D}_{\text{dfs}} \ v \ (x :: l) \ \langle \mathbb{D}_{\text{dfs}}^2 \rangle}$$

$$\frac{x \notin \mathcal{V} \ v \ \mathbb{D}_{\text{dfs}} \ (x :: v) \ (\text{succs } x \ ++ \ l)}{\mathbb{D}_{\text{dfs}} \ v \ (x :: l) \ \langle \mathbb{D}_{\text{dfs}}^3 \rangle}$$

- ▶ We will show:  $\mathbb{D}_{\text{dfs}} \ v \ / \iff \exists o, \mathbb{G}_{\text{dfs}} \ v \ / \ o$



DFS packed with conformity to  $\mathbb{G}_{\text{dfs}}$ 

- ▶  $\text{dfs\_pwc} : \forall v l, \mathbb{D}_{\text{dfs}} v l \rightarrow \{o \mid \mathbb{G}_{\text{dfs}} v l o\}$
- ▶ By **structural induction** on the domain predicate  $D$

Fixpoint  $\text{dfs\_pwc } v l (\underline{D} : \mathbb{D}_{\text{dfs}} v l) : \{o \mid \mathbb{G}_{\text{dfs}} v l o\}$ .

```
Proof. refine(
  match l with
  | []    =>  $\lambda D, \text{exist } _ v \mathcal{O}_1^?$ 
  | x :: l =>  $\lambda D,$ 
    match  $x \in_v^?$  v as b return  $x \in_v^? v = b \rightarrow _$  with
    | true =>  $\lambda E,$ 
      let  $(o, G_o) := \text{dfs\_pwc } v l \mathcal{T}_2^?$ 
      in exist _ o  $\mathcal{O}_2^?$ 
    | false =>  $\lambda E,$ 
      let  $(o, G_o) := \text{dfs\_pwc } (x :: v) (\text{succs } x \# l) \mathcal{T}_3^?$ 
      in exist _ o  $\mathcal{O}_3^?$ 
    end eq_refl
  end D).
(* Proof obligations *)
```

Qed.

- ▶ But **not by pattern matching** on  $D!$

# Proof obligations: postconditions $\mathcal{O}_{1,2,3}^?$

- ▶ Postcondition e.g.  $\mathcal{O}_2^?$

$[\mathcal{O}_2^?] : \dots, E : x \in_V^? \ v = \text{true}, G_o : \mathbb{G}_{\text{dfs}} \ v / o \vdash \mathbb{G}_{\text{dfs}} \ v (x::l) \ o$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

**The computational graph**

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# Proof obligations: postconditions $\mathcal{O}_{1,2,3}^?$

- ▶ Postcondition e.g.  $\mathcal{O}_2^?$

$$[\mathcal{O}_2^?] : \dots, E : x \in_V^? v = \text{true}, G_o : \mathbb{G}_{\text{dfs}} v / o \vdash \mathbb{G}_{\text{dfs}} v (x :: l) o$$

- ▶ is trivial to handle
- ▶ second constructor of the graph  $\mathbb{G}_{\text{dfs}}$ :

$$\frac{x \in_V^? v \quad \mathbb{G}_{\text{dfs}} v / o}{\mathbb{G}_{\text{dfs}} v (x :: l) o}$$

# Proof obligations: postconditions $\mathcal{O}_{1,2,3}^?$

- ▶ Postcondition e.g.  $\mathcal{O}_2^?$

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- ▶ same holds for  $\mathcal{O}_1^?$  and  $\mathcal{O}_3^?$

# Proof obligations: postconditions $\mathcal{O}_{1,2,3}^?$

- ▶ Postcondition e.g.  $\mathcal{O}_2^?$

$$[\mathcal{O}_2^?] : \dots, E : x \in_V^? v = \text{true}, G_o : \mathbb{G}_{\text{dfs}} v / o \vdash \mathbb{G}_{\text{dfs}} v (x :: l) o$$

- ▶ is trivial to handle
- ▶ second constructor of the graph  $\mathbb{G}_{\text{dfs}}$ :

$$\frac{x \in_V^? v \quad \mathbb{G}_{\text{dfs}} v / o}{\mathbb{G}_{\text{dfs}} v (x :: l) o}$$

- ▶ same holds for  $\mathcal{O}_1^?$  and  $\mathcal{O}_3^?$
- ▶ Termination certificates  $\mathcal{T}_2^?$  and  $\mathcal{T}_3^?$ :
  - ▶ much more complicated to handle

# Proof obligations: termination certificates

- ▶ Termination certificates  $\mathcal{T}_2^?$  and  $\mathcal{T}_3^?$

$$[\mathcal{T}_2^?]: \dots, D : \mathbb{D}_{\text{dfs}} \ v \ (x :: l), E : x \in_v^? \ v = \text{true} \vdash \mathbb{D}_{\text{dfs}} \ v \ l$$

# Proof obligations: termination certificates

- ▶ Termination certificates  $\mathcal{T}_2^?$  and  $\mathcal{T}_3^?$

$$[\mathcal{T}_2^?]: \dots, D : \mathbb{D}_{\text{dfs}} v (x :: l), E : x \in_v^? v = \text{true} \vdash \mathbb{D}_{\text{dfs}} v l$$

- ▶ We need to provide a term of type:

$$\pi_{\mathbb{D}_{\text{dfs}}-2} : \forall v x l, \mathbb{D}_{\text{dfs}} v (x :: l) \rightarrow x \in_v^? v = \text{true} \rightarrow \mathbb{D}_{\text{dfs}} v l$$

# Proof obligations: termination certificates

- ▶ Termination certificates  $\mathcal{T}_2^?$  and  $\mathcal{T}_3^?$

$$[\mathcal{T}_2^?]: \dots, D : \mathbb{D}_{\text{dfs}} v (x :: l), E : x \in_{\mathcal{V}}^? v = \text{true} \vdash \mathbb{D}_{\text{dfs}} v l$$

- ▶ We need to provide a term of type:

$$\pi_{\mathbb{D}_{\text{dfs}}-2} : \forall v x l, \mathbb{D}_{\text{dfs}} v (x :: l) \rightarrow x \in_{\mathcal{V}}^? v = \text{true} \rightarrow \mathbb{D}_{\text{dfs}} v l$$

- ▶ The projection  $\pi_{\mathbb{D}_{\text{dfs}}-2}$  inverts the constructor  $\mathbb{D}_{\text{dfs}}^2$ :

$$\frac{x \in_{\mathcal{V}}^? v \quad \mathbb{D}_{\text{dfs}} v l}{\mathbb{D}_{\text{dfs}} v (x :: l)} \langle \mathbb{D}_{\text{dfs}}^2 \rangle \quad \frac{x \in_{\mathcal{V}}^? v \quad \mathbb{D}_{\text{dfs}} v (x :: l)}{\mathbb{D}_{\text{dfs}} v l} \langle \pi_{\mathbb{D}_{\text{dfs}}-2} \rangle$$

- ▶ Fixpoint guard cond.:  $\pi_{\mathbb{D}_{\text{dfs}}-2} v x l D E <_{\text{struct}} D$ 
  - ▶ inversion tactic works but unreadable
  - ▶ Small inversions give a **human checkable** term



# Small Inversions (J.F. Monin)

- ▶ Termination certificate  $\mathcal{T}_2^?$  by dep. pattern matching
- ▶ Generic code which **explicit**s structural decrease

```
Let shape (b : bool) v l :=
  match l with
  | []    => False
  | x :: l => x ∈v? v = b
  end.
```

```
Let p_tl {b v l} : shape b v l → list v :=
  match l with
  | []    => λ s, match s : False with end
  | _ :: l => λ _, l
  end.
```

```
Let πDdfs-2-gen {v l} (Dv l : Ddfs v l) : ∀ s : shape true v l, Ddfs v (p_tl s) :=
  match Dv l in Ddfs v' l' with
  return ∀ s : shape true v' l', Ddfs v' (p_tl s)
  with
  | Ddfs1 v          => λ s, match s : False with end
  | Ddfs2 v x l _ D => λ _, D
  | Ddfs3 v x l H _ => λ s, match not_mem_true H s : False with end
  end.
```

```
Let πDdfs-2 v x l : Ddfs v (x :: l) → x ∈v? v = true → Ddfs v l := πDdfs-2-gen.
```

## Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

## ∞-loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

**Termination certificates**

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

## Paulson's normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# The partial DFS algorithm

►  $\text{dfs\_pwc} : \forall v /, \mathbb{D}_{\text{dfs}} v / \rightarrow \{o \mid \mathbb{G}_{\text{dfs}} v / o\}$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

**The partial dfs algo.**

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

$\text{nm.pwc}$

Logical contents

IR scheme

Extraction

# The partial DFS algorithm

- ▶  $\text{dfs\_pwc} : \forall v /, \mathbb{D}_{\text{dfs}} v / \rightarrow \{o \mid \mathbb{G}_{\text{dfs}} v / o\}$
- ▶ We define  $\text{dfs } v / D := \pi_1(\text{dfs\_pwc } v / D)$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

**The partial dfs algo.**

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

$\text{nm.pwc}$

Logical contents

IR scheme

Extraction

# The partial DFS algorithm

- ▶  $\text{dfs\_pwc} : \forall v \ l, \mathbb{D}_{\text{dfs}} \ v \ l \rightarrow \{o \mid \mathbb{G}_{\text{dfs}} \ v \ l \ o\}$
- ▶ We define  $\text{dfs} \ v \ l \ D := \pi_1(\text{dfs\_pwc} \ v \ l \ D)$
- ▶ get conformity via  $\pi_2$  (low-level):

$$\text{dfs\_spec} : \forall v \ l \ D, \mathbb{G}_{\text{dfs}} \ v \ l \ (\text{dfs} \ v \ l \ D)$$

- ▶ Then fixpoint eqs and proof irrelevance

$$\text{dfs\_pirr} : \text{dfs} \ v \ l \ D_1 = \text{dfs} \ v \ l \ D_2.$$

$$\text{dfs\_fix\_1} : \text{dfs} \ v \ [] \ (\mathbb{D}_{\text{dfs}}^1 \ v) = v.$$

$$\text{dfs\_fix\_2} : \text{dfs} \ v \ (x :: l) \ (\mathbb{D}_{\text{dfs}}^2 \ v \ x \ l \ HD) = \text{dfs} \ v \ l \ D.$$

$$\text{dfs\_fix\_3} : \text{dfs} \ v \ (x :: l) \ (\mathbb{D}_{\text{dfs}}^3 \ v \ x \ l \ HD) = \text{dfs} \ (x :: v) \ (\text{succs} \ x \ ++ \ l) \ D.$$

# The partial DFS algorithm

- ▶  $\text{dfs\_pwc} : \forall v \mid l, \mathbb{D}_{\text{dfs}} v \mid l \rightarrow \{o \mid \mathbb{G}_{\text{dfs}} v \mid o\}$
- ▶ We define  $\text{dfs } v \mid D := \pi_1(\text{dfs\_pwc } v \mid D)$
- ▶ get conformity via  $\pi_2$  (low-level):

$$\text{dfs\_spec} : \forall v \mid D, \mathbb{G}_{\text{dfs}} v \mid (\text{dfs } v \mid D)$$

- ▶ Then fixpoint eqs and proof irrelevance

$$\text{dfs\_pirr} : \text{dfs } v \mid D_1 = \text{dfs } v \mid D_2.$$

$$\text{dfs\_fix\_1} : \text{dfs } v \mid (\mathbb{D}_{\text{dfs}}^1 v) = v.$$

$$\text{dfs\_fix\_2} : \text{dfs } v \mid (x :: l) (\mathbb{D}_{\text{dfs}}^2 v \mid x \mid HD) = \text{dfs } v \mid D.$$

$$\text{dfs\_fix\_3} : \text{dfs } v \mid (x :: l) (\mathbb{D}_{\text{dfs}}^3 v \mid x \mid HD) = \text{dfs } (x :: v) (\text{succs } x \mid l).$$

- ▶  $\mathbb{D}_{\text{dfs}}$  has a dependent recursion principle

Theorem  $\mathbb{D}_{\text{dfs\_rect}} (P : \forall v \mid l, \mathbb{D}_{\text{dfs}} v \mid l \rightarrow \text{Type}) :$

$$\begin{aligned} & (\forall v \mid D_1 D_2, P \ v \mid D_1 \rightarrow P \ v \mid D_2) \\ & \rightarrow (\forall v, P \ \_ \ (\mathbb{D}_{\text{dfs}}^1 v)) \\ & \rightarrow (\forall v \mid HD, P \ \_ \ D \rightarrow P \ \_ \ (\mathbb{D}_{\text{dfs}}^2 v \mid x \mid HD)) \\ & \rightarrow (\forall v \mid HD, P \ \_ \ D \rightarrow P \ \_ \ (\mathbb{D}_{\text{dfs}}^3 v \mid x \mid HD)) \\ & \rightarrow (\forall v \mid D, P \ v \mid D). \end{aligned}$$

## Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

 $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

Termination certificates

**The partial dfs algo.**

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# Simulated Inductive-Recursive Scheme

- Thus we simulate the IR-scheme (Dybjer 2000)

$$\begin{aligned} \text{Inductive } \mathbb{D}_{\text{dfs}} : \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{Prop} := & \\ | \mathbb{D}_{\text{dfs}}^1 : \forall v, & \quad \mathbb{D}_{\text{dfs}} v [] \\ | \mathbb{D}_{\text{dfs}}^2 : \forall v \times l, x \in^?_{\mathcal{V}} v \rightarrow \mathbb{D}_{\text{dfs}} v l & \\ & \rightarrow \mathbb{D}_{\text{dfs}} v (x :: l) \\ | \mathbb{D}_{\text{dfs}}^3 : \forall v \times l, x \notin^?_{\mathcal{V}} v \rightarrow \mathbb{D}_{\text{dfs}} (x :: v) (\text{succs } x \uparrow\uparrow l) & \\ & \rightarrow \mathbb{D}_{\text{dfs}} v (x :: l) \end{aligned}$$

with Fixpoint  $\text{dfs } v \ l \ (D : \mathbb{D}_{\text{dfs}} v \ l) : \text{list } \mathcal{V} :=$   
match  $D$  with

$$\begin{aligned} | \mathbb{D}_{\text{dfs}}^1 v & \quad \Rightarrow v \\ | \mathbb{D}_{\text{dfs}}^2 v \times l \_ D & \Rightarrow \text{dfs } v \ l \ D \\ | \mathbb{D}_{\text{dfs}}^3 v \times l \_ D & \Rightarrow \text{dfs } (x :: v) (\text{succs } x \uparrow\uparrow l) \ D \end{aligned}$$

end

# Simulated Inductive-Recursive Scheme

- ▶ Thus we simulate the IR-scheme (Dybjer 2000)

$$\begin{aligned} \text{Inductive } \mathbb{D}_{\text{dfs}} : \text{list } \mathcal{V} \rightarrow \text{list } \mathcal{V} \rightarrow \text{Prop} := & \\ | \mathbb{D}_{\text{dfs}}^1 : \forall v, & \quad \mathbb{D}_{\text{dfs}} v [] \\ | \mathbb{D}_{\text{dfs}}^2 : \forall v x l, x \in^? \mathcal{V} & \quad v \rightarrow \mathbb{D}_{\text{dfs}} v l \\ & \quad \rightarrow \mathbb{D}_{\text{dfs}} v (x :: l) \\ | \mathbb{D}_{\text{dfs}}^3 : \forall v x l, x \notin^? \mathcal{V} & \quad v \rightarrow \mathbb{D}_{\text{dfs}} (x :: v) (\text{succs } x \uparrow\uparrow l) \\ & \quad \rightarrow \mathbb{D}_{\text{dfs}} v (x :: l) \end{aligned}$$

with Fixpoint  $\text{dfs } v l (D : \mathbb{D}_{\text{dfs}} v l) : \text{list } \mathcal{V} :=$

match  $D$  with

$$\begin{aligned} | \mathbb{D}_{\text{dfs}}^1 v & \quad \Rightarrow v \\ | \mathbb{D}_{\text{dfs}}^2 v x l \_ D & \Rightarrow \text{dfs } v l D \\ | \mathbb{D}_{\text{dfs}}^3 v x l \_ D & \Rightarrow \text{dfs } (x :: v) (\text{succs } x \uparrow\uparrow l) D \end{aligned}$$

end

- ▶ Degenerate here because no nesting

# High-level partial correctness for DFS

- ▶ Partial correctness by induction on  $\mathbb{D}_{\text{dfs}} v /$ 
  - ▶ when `dfs` terminates
  - ▶ it computes a minimal invariant for `succs`



# High-level partial correctness for DFS

- ▶ Partial correctness by induction on  $\mathbb{D}_{\text{dfs}} v /$ 
  - ▶ when dfs terminates
  - ▶ it computes a minimal invariant for succs

Definition  $\text{dfs\_invariant}_t (v / i : \text{list } \mathcal{V}) :=$   
 $v \subseteq i \wedge / \subseteq i \wedge (\forall x, x \in_v^? i \rightarrow x \in_v^? v \vee \text{succs } x \subseteq i).$

Theorem  $\text{dfs\_invariant } v / (D : \mathbb{D}_{\text{dfs}} v /) :$   
 $\wedge \left\{ \begin{array}{l} \text{dfs\_invariant}_t v / (\text{dfs } v / D) \\ \forall i, \text{dfs\_invariant}_t v / i \rightarrow \text{dfs } v / D \subseteq i. \end{array} \right.$

# High-level partial correctness for DFS

- ▶ Partial correctness by induction on  $\mathbb{D}_{\text{dfs}} v /$ 
  - ▶ when dfs terminates
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- ▶ We can characterize termination (harder)
  - ▶ when there is an invariant
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- ▶ We can characterize termination (harder)
  - ▶ when there is an invariant
  - ▶ then dfs terminates

Theorem  $\mathbb{D}_{\text{dfs\_domain}} v / :$   
 $\mathbb{D}_{\text{dfs}} v / \iff \exists i, \text{dfs\_invariant}_t v / i.$

# Second take home ideas

- ▶ From the computational graph  $G_\varphi$
- ▶ We derive the inductive domain  $D_\varphi$

## Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

## $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

## Paulson's normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# Second take home ideas

- ▶ From the computational graph  $\mathbb{G}_\varphi$
- ▶ We derive the inductive domain  $\mathbb{D}_\varphi$ 
  - ▶ by projecting on the input values
  - ▶ in every rule defining  $\mathbb{G}_\varphi$

## Introduction

Recursion in Coq  
Extraction  
The Braga method  
First example: F91

## $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm  
The computational graph  
Termination certificates  
The partial dfs algo.  
Simulating Ind.-Recursion  
High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

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Inductive domain  
nm.pwc  
Logical contents  
IR scheme  
Extraction

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- ▶ From the computational graph  $\mathbb{G}_\varphi$
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  - ▶ Partial correctness could be used for termination
- ▶ Beware with nested algos. (see later)
  - ▶ Projecting the graph a bit more complicated

# Back to F91 (generalized)

- ▶  $a : X \rightarrow \text{bool}$  and  $b, c : X \rightarrow X$

$$f\ x = \text{if } a\ x \text{ then } b\ x \text{ else } f(f(c\ x))$$

- ▶ The graph  $\mathbb{G}_f : X \rightarrow X \rightarrow \text{Prop}$  of  $f$

$$\frac{a\ x = \text{true}}{\mathbb{G}_f\ x\ (b\ x)} \quad \frac{a\ x = \text{false} \quad \mathbb{G}_f\ (c\ x)\ y \quad \mathbb{G}_f\ y\ o}{\mathbb{G}_f\ x\ o}$$

- ▶ The domain  $\mathbb{D}_f : X \rightarrow \text{Prop}$  of  $f$

$$\frac{a\ x = \text{true}}{\mathbb{D}_f\ x} \quad \frac{a\ x = \text{false} \quad \mathbb{D}_f\ (c\ x) \quad \forall y, \mathbb{G}_f\ (c\ x)\ y \rightarrow \mathbb{D}_f\ y}{\mathbb{D}_f\ x}$$



# Simulated IR Scheme for F91 (gen.)

- ▶ We simulate the following IR-scheme

```
Inductive  $\mathbb{D}_f : X \rightarrow \text{Prop} :=$   
  |  $\mathbb{D}_f^0 : \forall x, a\ x = \text{true} \rightarrow \mathbb{D}_f\ x$   
  |  $\mathbb{D}_f^1 : \forall x, a\ x = \text{false}$   
     $\rightarrow \forall dc : \mathbb{D}_f(c\ x), \mathbb{D}_f(f(c\ x)\ dc) \rightarrow \mathbb{D}_f\ x$ 
```

```
with Fixpoint  $f\ x\ (d : \mathbb{D}_f\ x) : X :=$   
  match  $d$  with  
  |  $\mathbb{D}_f^0\ x\ e \Rightarrow b\ x$   
  |  $\mathbb{D}_f^1\ x\ e\ (dc : \mathbb{D}_f(c\ x))\ (df : \mathbb{D}_f(f(c\ x)\ dc))$   
     $\Rightarrow f(f(c\ x)\ dc)\ df$   
  end
```

- ▶ But restricted to proof-irrelevant predicates (PIRR)
  - ▶  $f$  itself is PIRR:  $f\ x\ d_1 = f\ x\ d_2$

# Third take home ideas

- ▶ Domain  $\mathbb{D}$  for nested schemes
  - ▶ use  $\mathbb{G}$  to characterize (nested) output values
  - ▶ and define  $\mathbb{D}$  after&using  $\mathbb{G}$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# Third take home ideas

- ▶ Domain  $\mathbb{D}$  for nested schemes
  - ▶ use  $\mathbb{G}$  to characterize (nested) output values
  - ▶ and define  $\mathbb{D}$  after&using  $\mathbb{G}$
- ▶ Correctness of nested schemes
  - ▶ can be studied independently of termination
  - ▶ hence, can be used to establish termination

# Conclusion

- ▶ The Braga method separates tasks
  - ▶ definition of the function in Coq
  - ▶ prove its partial correctness (IR or graph ind.)
  - ▶ prove (partial) termination (from correctness)

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Dominique  
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Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

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  - ▶ give access to partial algorithms
  - ▶ incl. nested and mutual

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  - ▶ definition of the function in Coq
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  - ▶ give access to partial algorithms
  - ▶ incl. nested and mutual
- ▶ Perspectives
  - ▶ better integrate with existing tools
  - ▶ more examples, e.g. Knuth  $k_{91}$ ,  $\mu$ -rec. algos.
  - ▶ partial functions as guarded total functions

# Larry Paulson's normalization (1985)

let rec nm e = match e with

|  $\alpha$   $\rightarrow \alpha$   
|  $\omega(\alpha, y, z)$   $\rightarrow \omega(\alpha, \text{nm } y, \text{nm } z)$   
|  $\omega(\omega(a, b, c), y, z)$   $\rightarrow \text{nm}(\omega(a, \text{nm}(\omega(b, y, z)), \text{nm}(\omega(c, y, z))))$

- ▶ Expressions in  $\Omega : b, x, y ::= \alpha \mid \omega b x y$ 
  - ▶  $\alpha$  is atomic expression
  - ▶  $\omega b x y$  denotes “if  $b$  then  $x$  else  $y$ ”



# Larry Paulson's normalization (1985)

let rec nm e = match e with

$$\begin{array}{l} | \alpha \qquad \qquad \qquad \rightarrow \alpha \\ | \omega(\alpha, y, z) \qquad \rightarrow \omega(\alpha, \text{nm } y, \text{nm } z) \\ | \omega(\omega(a, b, c), y, z) \rightarrow \text{nm}(\omega(a, \text{nm}(\omega(b, y, z)), \\ \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \text{nm}(\omega(c, y, z)))) \end{array}$$

- ▶ Expressions in  $\Omega : b, x, y ::= \alpha \mid \omega b x y$ 
  - ▶  $\alpha$  is atomic expression
  - ▶  $\omega b x y$  denotes “if  $b$  then  $x$  else  $y$ ”
- ▶ Interest of this algorithm:
  - ▶ recurring example (Giesl 97, B&C 05...)
  - ▶ has nested recursion but still compact
  - ▶ idealized but meaningful

# Inductive capture of $\mathbb{D}_{nm} : \Omega \rightarrow \text{Prop}$

- ▶ Using the computational graph  $\mathbb{G}_{nm} : \Omega \rightarrow \Omega \rightarrow \text{Prop}$

$$\frac{\frac{\frac{}{\mathbb{G}_{nm} \alpha \alpha}}{\mathbb{G}_{nm} (\omega b y z) n_b} \quad \frac{\frac{\mathbb{G}_{nm} y n_y \quad \mathbb{G}_{nm} z n_z}{\mathbb{G}_{nm} (\omega \alpha y z) (\omega \alpha n_y n_z)}}{\mathbb{G}_{nm} (\omega c y z) n_c} \quad \mathbb{G}_{nm} (\omega a n_b n_c) n_a}{\mathbb{G}_{nm} (\omega (\omega a b c) y z) n_a}}$$

# Inductive capture of $\mathbb{D}_{\text{nm}} : \Omega \rightarrow \text{Prop}$

- ▶ Using the computational graph  $\mathbb{G}_{\text{nm}} : \Omega \rightarrow \Omega \rightarrow \text{Prop}$

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- ▶ Define  $\mathbb{D}_{\text{nm}} \simeq \lambda e, \exists n, \mathbb{G}_{\text{nm}} e n$  inductively by:

$$\frac{\frac{}{\mathbb{D}_{\text{nm}} \alpha} \quad \frac{\mathbb{D}_{\text{nm}} y \quad \mathbb{D}_{\text{nm}} z}{\mathbb{D}_{\text{nm}} (\omega \alpha y z)} \quad \frac{\mathbb{D}_{\text{nm}} (\omega b y z) \quad \mathbb{D}_{\text{nm}} (\omega c y z)}{\forall n_b n_c, \mathbb{G}_{\text{nm}} (\omega b y z) n_b \rightarrow \mathbb{G}_{\text{nm}} (\omega c y z) n_c \rightarrow \mathbb{D}_{\text{nm}} (\omega a n_b n_c)}}{\mathbb{D}_{\text{nm}} (\omega (\omega a b c) y z)}$$

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- ▶ The rules for  $\mathbb{D}_{\text{nm}}$  use  $\mathbb{G}_{\text{nm}}$  for nested calls

Def.  $\text{nm\_pwc} : \forall e, \mathbb{D}_{\text{nm}} e \rightarrow \{n \mid \mathbb{G}_{\text{nm}} e n\}$

Fixpoint  $\text{nm\_pwc } e (\underline{D} : \mathbb{D}_{\text{nm}} e) : \{n \mid \mathbb{G}_{\text{nm}} e n\}$ .

```

refine(
  match e as e' return  $\mathbb{D}_{\text{nm}} e' \rightarrow \{n \mid \mathbb{G}_{\text{nm}} e' n\}$  with
  |  $\alpha$             $\Rightarrow \lambda D, \text{ exist } - \alpha \mathcal{O}_0^?$ 
  |  $\omega \alpha y z$      $\Rightarrow \lambda D, \text{ let } (n_y, C_y) := \text{nm\_pwc } y \mathcal{T}_y^? \text{ in}$ 
       $\text{let } (n_z, C_z) := \text{nm\_pwc } z \mathcal{T}_z^?$ 
       $\text{in exist } - (\omega \alpha n_y n_z) \mathcal{O}_1^?$ 
  |  $\omega (\omega a b c) y z \Rightarrow \lambda D, \text{ let } (n_b, C_b) := \text{nm\_pwc } (\omega b y z) \mathcal{T}_b^? \text{ in}$ 
       $\text{let } (n_c, C_c) := \text{nm\_pwc } (\omega c y z) \mathcal{T}_c^? \text{ in}$ 
       $\text{let } (n_a, C_a) := \text{nm\_pwc } (\omega a n_b n_c) \mathcal{T}_a^?$ 
       $\text{in exist } - n_a \mathcal{O}_2^?$ 
  end D); simpl in *.

```

Proof. of certificates  $\mathcal{T}_y^?, \mathcal{T}_z^?, \mathcal{T}_b^?, \mathcal{T}_c^?, \mathcal{T}_a^?$  and post-conditions  $\mathcal{O}_0^?, \mathcal{O}_1^?, \mathcal{O}_2^?$  Qed.

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  |  $\omega (\omega a b c) y z \Rightarrow \lambda D, \text{ let } (n_b, C_b) := \text{nm\_pwc } (\omega b y z) \mathcal{T}_b^? \text{ in}$ 
                         $\text{ let } (n_c, C_c) := \text{nm\_pwc } (\omega c y z) \mathcal{T}_c^? \text{ in}$ 
                         $\text{ let } (n_a, C_a) := \text{nm\_pwc } (\omega a n_b n_c) \mathcal{T}_a^?$ 
                         $\text{ in exist } - n_a \mathcal{O}_2^?$ 
end D); simpl in *.

```

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► use of dependent pattern matching

Def.  $\text{nm\_pwc} : \forall e, \mathbb{D}_{\text{nm}} e \rightarrow \{n \mid \mathbb{G}_{\text{nm}} e n\}$

Fixpoint  $\text{nm\_pwc } e (D : \mathbb{D}_{\text{nm}} e) : \{n \mid \mathbb{G}_{\text{nm}} e n\}$ .

```

refine(
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                         $\text{ in exist } - (\omega \alpha n_y n_z) \mathcal{O}_1^?$ 
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                         $\text{ let } (n_a, C_a) := \text{nm\_pwc } (\omega a n_b n_c) \mathcal{T}_a^?$ 
                         $\text{ in exist } - n_a \mathcal{O}_2^?$ 
end D); simpl in *.

```

Proof. of certificates  $\mathcal{T}_y^?, \mathcal{T}_z^?, \mathcal{T}_b^?, \mathcal{T}_c^?, \mathcal{T}_a^?$  and post-conditions  $\mathcal{O}_0^?, \mathcal{O}_1^?, \mathcal{O}_2^?$  Qed.

- ▶ use of dependent pattern matching
- ▶ LC (i.e. proof obligations) separated from CC

## Introduction

Recursion in Coq  
Extraction  
The Braga method  
First example: F91

 $\infty$ -loops

## Take Home 1

## Depth-First Search

The algorithm  
The computational graph  
Termination certificates  
The partial dfs algo.  
Simulating Ind.-Recursion  
High-level correctness

## Take Home 2

## F91 abstracted

## Take Home 3

## Conclusion

Paulson's  
normalisation

Inductive domain  
**nm.pwc**  
Logical contents  
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     $\text{ exist } - (\omega \alpha n_y n_z) \mathcal{O}_1^?$ 
  |  $\omega (\omega a b c) y z \Rightarrow \lambda D, \text{ let } (n_b, C_b) := \text{nm\_pwc } (\omega b y z) \mathcal{T}_b^? \text{ in}$ 
     $\text{ let } (n_c, C_c) := \text{nm\_pwc } (\omega c y z) \mathcal{T}_c^? \text{ in}$ 
     $\text{ let } (n_a, C_a) := \text{nm\_pwc } (\omega a n_b n_c) \mathcal{T}_a^? \text{ in}$ 
     $\text{ exist } - n_a \mathcal{O}_2^?$ 
  end D); simpl in *.

```

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- ▶ use of dependent pattern matching
- ▶ LC (i.e. proof obligations) separated from CC
- ▶ LC divided: termination certificates, post-conditions



# Proof obligations (Logical Contents)

## ► Post-conditions by the constructors of $\mathbb{G}_{nm}$

$$\begin{aligned} \mathcal{O}_0^? & // \dots \vdash \mathbb{G}_{nm} \alpha \alpha \\ \mathcal{O}_1^? & // \dots, C_y : \mathbb{G}_{nm} y n_y, C_z : \mathbb{G}_{nm} z n_z \vdash \mathbb{G}_{nm} (\omega \alpha y z) (\omega \alpha n_y n_z) \\ \mathcal{O}_2^? & // \dots, C_b : \mathbb{G}_{nm} (\omega b y z) n_b, C_c : \mathbb{G}_{nm} (\omega c y z) n_c, \dots \\ & \quad \dots C_a : \mathbb{G}_{nm} (\omega a n_b n_c) n_a \vdash \mathbb{G}_{nm} (\omega (\omega a b c) y z) n_a \end{aligned}$$

# Proof obligations (Logical Contents)

- ▶ Post-conditions by the constructors of  $\mathbb{G}_{\text{nm}}$

$$\begin{aligned} \mathcal{O}_{00}^? & // \dots \vdash \mathbb{G}_{\text{nm}} \alpha \alpha \\ \mathcal{O}_1^? & // \dots, C_y : \mathbb{G}_{\text{nm}} y n_y, C_z : \mathbb{G}_{\text{nm}} z n_z \vdash \mathbb{G}_{\text{nm}} (\omega \alpha y z) (\omega \alpha n_y n_z) \\ \mathcal{O}_2^? & // \dots, C_b : \mathbb{G}_{\text{nm}} (\omega b y z) n_b, C_c : \mathbb{G}_{\text{nm}} (\omega c y z) n_c, \dots \\ & \quad \dots C_a : \mathbb{G}_{\text{nm}} (\omega a n_b n_c) n_a \vdash \mathbb{G}_{\text{nm}} (\omega (\omega a b c) y z) n_a \end{aligned}$$

- ▶ Termination certificates

$$\begin{aligned} \mathcal{T}_y^? & // \dots, D : \mathbb{D}_{\text{nm}} (\omega \alpha y z) \vdash \mathbb{D}_{\text{nm}} y \\ \mathcal{T}_b^? & // \dots, D : \mathbb{D}_{\text{nm}} (\omega (\omega a b c) y z) \vdash \mathbb{D}_{\text{nm}} (\omega b y z) \\ \mathcal{T}_a^? & // \dots, D : \mathbb{D}_{\text{nm}} (\omega (\omega a b c) y z), H_b : \mathbb{G}_{\text{nm}} (\omega b y z) n_b, \dots \\ & \quad \dots H_c : \mathbb{G}_{\text{nm}} (\omega c y z) n_c \vdash \mathbb{D}_{\text{nm}} (\omega a n_b n_c) \end{aligned}$$

- ▶ beware of structural decrease in term. certificates
  - ▶ by the inversion tactic
  - ▶ or “small inversion” (human readable)

# Simulated IR scheme

- ▶  $\text{nm} \ e \ D := \pi_1(\text{nm\_pwc} \ e \ D)$  and  $\pi_2 : \mathbb{G}_{\text{nm}} \ e \ (\text{nm} \ e \ D)$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

`nm_pwc`

Logical contents

**IR scheme**

Extraction

# Simulated IR scheme

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|  $\mathbb{D}_{\text{nm}}^1$  :  $\mathbb{D}_{\text{nm}} \ \alpha$   
|  $\mathbb{D}_{\text{nm}}^2 \ y \ z$  :  $\mathbb{D}_{\text{nm}} \ y \rightarrow \mathbb{D}_{\text{nm}} \ z \rightarrow \mathbb{D}_{\text{nm}}(\omega \ \alpha \ y \ z)$   
|  $\mathbb{D}_{\text{nm}}^3 \ a \ b \ c \ y \ z \ D_b \ D_c$  :  $\mathbb{D}_{\text{nm}}(\omega \ a \ (\text{nm } (\omega \ b \ y \ z) \ D_b) \ (\text{nm } (\omega \ c \ y \ z) \ D_c))$   
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► Constructors, dep. elim. scheme and fixpoint equations *retrieved*

# Extraction unaltered by $\mathbb{D}_{nm}$ in Prop

- ▶ In  $nm$   $e$  ( $D : \mathbb{D}_{nm} e$ ) `extract.` erases  $D : \mathbb{D}_{nm} e : Prop$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

`nm.pwc`

Logical contents

IR scheme

Extraction



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`let rec nm e = match e with`

```
|  $\alpha$            →  $\alpha$   
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  |  $\alpha$        →  $\omega(\alpha, nm\ y, nm\ z)$   
  |  $\omega(a, b, c)$  →  $nm(\omega(a, nm(\omega(b, y, z)), nm(\omega(c, y, z))))$ 
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- ▶ The proof term  $D : \mathbb{D}_{\text{nm}} e$ 
  - ▶ has **no impact** on extracted algorithm
  - ▶ great complexity does not matter
  - ▶ use high-level tool (lex. prod, WQOs)

# Termination postponed after definition

- ▶ Proving termination of `nm` at `e` is a term  $D : \mathbb{D}_{nm} e$ 
  - ▶ a “meaningful” characterization of  $\mathbb{D}_{nm} e$
  - ▶ for partial fun.:  $P : \Omega \rightarrow \text{Prop}$  and  $P \subseteq \mathbb{D}_{nm}$
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- ▶ Tools from IR:
  - ▶ constructors
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# Partial correction postponed after def.

- ▶ Partial correction = higher-level charac. of  $\text{nm}$  e  $D$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

$\text{nm.pwc}$

Logical contents

IR scheme

Extraction



# Partial correction postponed after def.

- ▶ Partial correction = higher-level charac. of  $\text{nm } e \ D$ 
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  - ▶ by induction on  $\mathbb{G}_{\text{nm}} e (\text{nm } e \ D)$
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  - ▶  $\forall e (D : \mathbb{D}_{\text{nm}} e), \mathbb{S} e (\text{nm } e \ D)$

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# Partial correction of nm on $\mathbb{D}_{nm}$

- ▶ dep. elim.  $\mathbb{D}_{nm\_rect}$  for partial correction (IR)

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

nm.pwc

Logical contents

IR scheme

Extraction

# Partial correction of `nm` on $\mathbb{D}_{nm}$

- ▶ dep. elim.  `$\mathbb{D}_{nm}$ _rect` for partial correction (IR)
- ▶ `nm_normal` :  $\forall e (D : \mathbb{D}_{nm} e), \text{normal} (nm\ e\ D)$ 
  - ▶ the shape  $\omega (\omega \_ \_ \_) \_ \_$  is forbidden

# Partial correction of nm on $\mathbb{D}_{nm}$

- ▶ dep. elim.  $\mathbb{D}_{nm\_rect}$  for partial correction (IR)
- ▶  $nm\_normal : \forall e (D : \mathbb{D}_{nm} e), normal (nm e D)$ 
  - ▶ the shape  $\omega (\omega \_ \_ \_) \_ \_$  is forbidden
- ▶  $nm\_equiv : \forall e (D : \mathbb{D}_{nm} e), e \simeq_{\Omega} nm e D$ 
  - ▶ the normal form is computationally equiv.

# Partial correction of nm on $\mathbb{D}_{nm}$

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- ▶  $nm\_equiv : \forall e (D : \mathbb{D}_{nm} e), e \simeq_{\Omega} nm e D$ 
  - ▶ the normal form is computationally equiv.
- ▶  $nm\_dec : \forall e (D : \mathbb{D}_{nm} e), |nm e D| \leq |e|$ 
  - ▶ some “size”  $|\cdot| : \Omega \rightarrow nat$  is preserved (Giesl 97)

$$|\alpha| = 1 \quad |\omega x y z| = |x| \cdot (1 + |y| + |z|)$$

# Totality of $\mathbb{D}_{nm}$ / Termination of $nm$

$$\mathbb{D}_{nm\_total} : \forall e, \mathbb{D}_{nm} e$$

- ▶ By induction on the size  $|e|$

The Braga method

Dominique  
Larchey-Wendling

Introduction

Recursion in Coq

Extraction

The Braga method

First example: F91

$\infty$ -loops

Take Home 1

Depth-First Search

The algorithm

The computational graph

Termination certificates

The partial dfs algo.

Simulating Ind.-Recursion

High-level correctness

Take Home 2

F91 abstracted

Take Home 3

Conclusion

Paulson's  
normalisation

Inductive domain

$nm\_pwc$

Logical contents

IR scheme

Extraction



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  - ▶ and  $|\omega x y z| \leq |\omega x' y' z'|$  (monotonic)
    - ▶ i.e. when  $|x| \leq |x'|$ ,  $|y| \leq |y'|$ ,  $|z| \leq |z'|$
  - ▶ and  $|\omega u y z| < |\omega v y z|$  when  $|u| < |v|$
  - ▶ and  $|y| < |\omega x y z|$  and  $|z| < |\omega x y z|$
  - ▶ &  $|\omega a (\omega b y z) (\omega c y z)| < |\omega (\omega a b c) y z|$
- ▶ Partial correction / termination indep. of definition

$$paulson\_nm : \forall e : \Omega, \{n_e : \Omega \mid e \simeq_{\Omega} n_e \wedge \text{normal } e\}$$